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(Requestor's Name)

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(City/State/Zip/Phone #)

☐ PICK-UP

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(Business Entity Name)

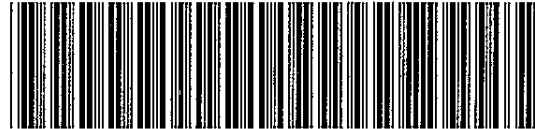
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FILED  
2004 JAN 30 PM 3:03  
DIVISION OF CORPORATIONS  
TALLAHASSEE, FLORIDA

W03-3171  
J. BRYAN OCT 29 2003

J. BRYAN FEB - 3 2004

## TRANSMITTAL LETTER

TO: Registration Section  
Division of Corporations

SUBJECT: CARLSON BROWN ASSOC., INC.  
(Name of corporation - must include suffix)

Dear Sir or Madam:

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida", "Certificate of Existence", and check are submitted to register the above referenced foreign corporation to transact business in Florida.

Please return all correspondence concerning this matter to the following:

MYRNA J. CARLSON  
(Name of Person)  
CARLSON BROWN ASSOCIATES, INC.  
(Firm/Company)  
5331 SOUNDVIEW AVE.  
(Address)  
ST. AUGUSTINE, FL 32080  
(City/State and Zip code)

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2004 JAN 30 PM 3:03  
DIVISION OF CORPORATIONS  
TALLAHASSEE, FLORIDA

For further information concerning this matter, please call:

MYRNA CARLSON at 904, 461-7053  
(Name of Person) (Area Code & Daytime Telephone Number)

**STREET ADDRESS:**  
Registration Section  
Division of Corporations  
409 E. Gaines St.  
Tallahassee, FL 32399

**MAILING ADDRESS:**  
~~Registration~~ Section  
Division of Corporations  
P.O. Box 6327  
Tallahassee, FL 32314

Enclosed is a check for the following amount:

- ☒ \$70.00 Filing Fee    ☐ \$78.75 Filing Fee & Certificate of Status    ☐ \$78.75 Filing Fee & Certified Copy    ☐ \$87.50 Filing Fee, Certificate of Status & Certified Copy



FLORIDA DEPARTMENT OF STATE

Glenda E. Hood  
Secretary of State

October 29, 2003

MYRNA J. CARLSON  
CARLSON BROWN ASSOCIATES, INC.  
5331 SOUNDVIEW AVE.  
ST. AUGUSTINE, FL 32080

SUBJECT: CARLSON BROWN ASSOCIATES, INC.  
Ref. Number: W03000031711

FILED  
2004 JAN 30 PM 3:03  
DIVISION OF CORPORATIONS  
TALLAHASSEE, FLORIDA

We have received your document for CARLSON BROWN ASSOCIATES, INC. and your check(s) totaling \$70.00. However, the document has not been filed and is being retained in this office for the following:

Pursuant to section 607.1502(4), 617.1502(4) or 608.502(4), Florida Statutes, this office collects a civil penalty of \$1000 for each year this entity transacted business or conducted its affairs in Florida prior to qualification and the appropriate annual report/uniform business report fees that would have been due this office had the entity qualified the year it began operations in this state. The amount due this office to cover both annual report/uniform business report and penalty fees is \$4,600.00.

Enclosed please find a copy of section 607.1501, 617.1501, or 608.502, Florida Statutes, which lists those activities that do not constitute transacting business in this state. If after reviewing this section you determine erroneous information was inserted on the application, a notarized affidavit containing the following information must be submitted: 1.) a statement indicating erroneous information was listed on the application; and 2.) the correct date the corporation began transacting business in Florida prior to the year the application was submitted did not constitute transacting business pursuant to section 607.1501, 617.1501 or 608.502, Florida Statutes.

If you have any questions concerning the filing of your document, please call (850) 245-6043.

Joey Bryan  
Document Specialist

Letter Number: 103A00058947

*Charles E. Pellicer*  
*Attorney at Law*

28 CORDOVA STREET  
POST OFFICE DRAWER 2230  
ST. AUGUSTINE, FLORIDA 32084-2230

TELEPHONE  
(904) 829-6054  
FAX  
(904) 829-5943

November 20, 2003

Joey Bryan  
Document Specialist  
Florida Department of State  
Division of Corporations  
P. O. Box 6327  
Tallahassee, FL 32314

FILED  
2004 JAN 30 PM 3:03  
DIVISION OF CORPORATIONS  
TALLAHASSEE, FLORIDA

Re: Carlson Brown Associates, Inc.  
Ref. No. W03000031711

Dear Mr. Bryan:

I represent Carlson Brown Associates, Inc. and I am writing in response to your letter of October 29, 2003. The company is a Georgia corporation that installs and maintains controls for heating and air conditioning systems and lighting in schools, churches and small office buildings.

When the company came into Florida to do business the officers were not aware that they had to obtain a certificate from the Secretary of State to register their corporation. However, they were aware that they had to file for a state tax number and they did so in June 1999. They have also filed state corporate income tax returns for each year they were in Florida. The first sales tax return was filed in July 1999. It is strange that when applying to the Department of Revenue for the sales tax number they were not informed that they had to also register this corporation with the Secretary of State, nor did anyone who reviewed their state tax return pick up on the fact that they had not filed a corporate notice to do business in Florida. The company holds a current occupational license in St. Johns County. I assume that you have the verification of the tax number and tax return filings. However, if you need additional documentation to verify this please let me know.

Except for the fact of not obtaining a certificate from the Secretary of State to do business in Florida the corporation has registered with each and every required state and local agency and they have filed all necessary tax returns. They were not trying to avoid any of their obligations, but they were just unaware of the necessity to file. I would have thought that with all of their contacts with the state agencies over the past few years someone would have questioned their corporate authority

John Bryan  
November 20, 2003  
Page 2

or advised them of the necessity to file. Unfortunately, that did not happen. Regardless, I believe that the corporate officers demonstrated a good faith effort to properly conduct business in the state of Florida. They have regularly filed and paid sales taxes and filed income tax returns. They have maintained local occupational licenses. I hope the Division will take this into consideration and assess the minimum penalty or consider waiving this altogether.

Thank you for your assistance and consideration in this matter.

Yours truly,



Charles E. Pellicer

CEP/ep

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2004 JAN 30 PM 3:03  
DIVISION OF CORPORATIONS  
TALLAHASSEE, FLORIDA



FLORIDA DEPARTMENT OF STATE

Glenda E. Hood  
Secretary of State

December 12, 2003

CHARLES E. PELLICER, ESQUIRE  
P. O. DRAWER 2230  
ST. AUGUSTINE, FL 32084-2230

SUBJECT: CARLSON BROWN ASSOCIATES, INC.  
Ref. Number: W03000031711

FILED  
2004 JAN 30 PM 3:03  
DIVISION OF CORPORATIONS  
TALLAHASSEE, FLORIDA

We have received your document for CARLSON BROWN ASSOCIATES, INC. and your check(s) totaling \$70.00. However, the document has not been filed and is being retained in this office for the following:

Based on the information you have provided and in accordance with s.607.1502(4), 608.502(4) or 617.1502(4), F.S., this office will reduce the civil penalty of \$1,000 per year to \$500 per year for each year this entity transacted business or conducted its affairs in Florida prior to qualification. Therefore, the total amount due to cover both annual report/uniform business report and penalty fees is \$2,600.00.

Please submit a check made payable to the Florida Department of State for \$2,600.00.

If you have any questions concerning the filing of your document, please call (850) 245-6911.

Brenda Tadlock  
Senior Section Administrator

Letter Number: 303A00066928

CBA

## Carlson Brown Associates, Inc.

5331 Soundview Ave. St. Augustine, FL 32080

(904) 461-7053

(904) 461-0350 fax

January 19, 2004

Brenda Tadlock  
Senior Section Administrator  
Florida Department of State  
Division of Corporations  
P. O. Box 6327  
Tallahassee, FL 32314

Re: Carlson Brown Associates, Inc.  
Ref. No. W03000031711

FILED  
2004 JAN 30 PM 3:03  
DIVISION OF CORPORATIONS  
TALLAHASSEE, FLORIDA

Dear Ms Tadlock:

Enclosed please find our check payable to the Florida Department of State for \$2600.

This is in payment of penalties in the above-referenced case. However, I must add that I am submitting it under protest. I, of course, appreciate the reduction of \$2,000 but the penalty still is exorbitant to me. We are a small family corporation, have complied with all state requirements that we were made aware of, paid all taxes, are contributing to the economic welfare of the state, harming no one, but because we failed to file this one form, we are being assessed this financial hardship.

I have wondered, however, if there may be a leniency or exception allowed due to the type of service we provide. We do the majority of our business with Florida school systems—i.e., Putnam County Schools and St. John's River Community College—where we install and monitor Energy Management Systems which control and use wisely their energy resources. We are the system provider for these services and look forward to continuing this relationship for years to come. Had we any inkling we were not in compliance with registration requirements, we would have remedied it instantly.

If there is any consideration that can be extended to lessen this penalty, it would be greatly appreciated. Otherwise, please process our application as submitted on October 21, 2003. If you require any further information, I can be reached at 904 461 7053.

Respectfully,



Myrna J. Carlson, Secretary-Treasurer  
Carlson Brown Assoc., Inc.

APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT  
BUSINESS IN FLORIDA

IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO  
REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.

1. CARLSON BROWN ASSOCIATES, INC.  
(Enter name of corporation; must include "INCORPORATED," "COMPANY," "CORPORATION,"  
"Inc.," "Co.," "Corp.," "Ltd.," "Co.," or "Corp.")
- (If name unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)
2. GEORGIA 3. 58-2001213  
(State or country under the law of which it is incorporated) (FEI number, if applicable)
4. 4/1/92 5. PERPETUAL  
(Date of incorporation) (Duration: Year corp. will cease to exist or "perpetual")
6. JUNE, 1999  
(Date first transacted business in Florida. If corporation has not transacted business in Florida, insert "upon qualification.")  
(SEE SECTIONS 607.1501, 607.1502 and 817.155, F.S.)
7. 5331 SOUNDVIEW AVE. ST. AUGUSTINE, FL 32080  
(Principal office address)  
5331 SOUNDVIEW AVE. ST. AUGUSTINE, FL 32080  
(Current mailing address)
8. TO DESIGN, MARKET, SELL, + INSTALL ELECTRONIC CONTROLS SYSTEMS.  
(Purpose(s) of corporation authorized in home state or country to be carried out in state of Florida)
9. Name and street address of Florida registered agent: (P.O. Box or Mail Drop Box NOT acceptable)  
Name: MYRNA J. CARLSON  
Office Address: 5331 SOUNDVIEW AVE  
ST. AUGUSTINE, Florida 32080  
(City) (Zip code)
10. Registered agent's acceptance:  
*Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.*
- Myrna J. Carlson  
(Registered agent's signature)
11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.
12. Names and business addresses of officers and/or directors:

**A. DIRECTORS**

Chairman: JAMES H. BROWN

Address: 5331 SOUNDVIEW AVE  
ST. AUGUSTINE, FL 32080

Vice Chairman: MYRNA J. CARLSON

Address: 5331 SOUNDVIEW AVE  
ST. AUGUSTINE, FL 32080

Director: \_\_\_\_\_

Address: \_\_\_\_\_

Director: \_\_\_\_\_

Address: \_\_\_\_\_

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2004 JAN 30 PM 3:03  
JIM HUGHES CORPORATION  
TALLAHASSEE, FLORIDA

**B. OFFICERS**

President: JAMES H. BROWN

Address: 5331 SOUNDVIEW AVE  
ST. AUGUSTINE, FL 32080

Vice President: \_\_\_\_\_

Address: \_\_\_\_\_


Secretary: MYRNA J. CARLSON

Address: 5331 SOUNDVIEW AVE ST. AUGUSTINE, FL 32080

Treasurer: MYRNA J. CARLSON

Address: 5331 SOUNDVIEW AVE ST. AUGUSTINE, FL 32080

**NOTE:** If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13.   
(Signature of Director or Officer listed in number 12 of the application)

14. MYRNA J. CARLSON SECY-TREAS.  
(Typed or printed name and capacity of person signing application)

**Secretary of State**  
**Corporations Division**  
**315 West Tower**  
**#2 Martin Luther King, Jr. Dr.**  
**Atlanta, Georgia 30334-1530**

DOCKET NUMBER : Q32891023  
CONTROL NUMBER : K214598  
DATE INC/AUTH/FILED: 07/23/1992  
JURISDICTION : GEORGIA  
PRINT DATE : 10/16/2003  
FORM NUMBER : 211

CARLSON BROWN ASSOCIATES, INC.  
MYRNA CARLSON  
5331 SOUNDVIEW AVE  
SAINT AUGUSTINE, FL 320807238

FILED  
2004 JAN 30 PM 3:03  
DIVISION OF CORPORATIONS  
TALLAHASSEE, FLORIDA

**CERTIFICATE OF EXISTENCE**

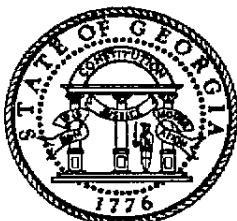
I, Cathy Cox, the Secretary of State of the State of Georgia, do hereby certify under the seal of my office that

**CARLSON BROWN ASSOCIATES, INC.**  
**A DOMESTIC PROFIT CORPORATION**

was formed in the jurisdiction stated above or was authorized to transact business in Georgia on the above date. Said entity is in compliance with the applicable filing and annual registration provisions of Title 14 of the Official Code of Georgia Annotated and has not filed articles of dissolution, certificate of cancellation or any other similar document with the office of the Secretary of State.

This certificate relates only to the legal existence of the above-named entity as of the date issued. It does not certify whether or not a notice of intent to dissolve, an application for withdrawal, a statement of commencement of winding up or any other similar document has been filed or is pending with the Secretary of State.

This certificate is issued pursuant to Title 14 of the Official Code of Georgia Annotated and is prima-facie evidence that said entity is in existence or is authorized to transact business in this state.



*Cathy Cox*

Cathy Cox  
Secretary of State