

2005 FOR PROFIT CORPORATION ANNUAL REPORT

FILED
Apr 06, 2005 8:00 am
Secretary of State

04-06-2005 90110 018 ***150.00

DOCUMENT # F04000000477 1. Entity Name HARRIS NESBITT CORP.					
Principal Place of Business 3 TIMES SQUARE NEW YORK, NY 10036			Mailing Address 3 TIMES SQUARE NEW YORK, NY 10036		
2. Principal Place of Business Suite, Apt. #, etc.			3. Mailing Address Suite, Apt. #, etc.		
City & State			City & State		
Zip	Country	Zip	Country	4. FEI Number 13-3459853	
5. Certificate of Status Desired ☐				Applied For ☐ Not Applicable	
6. Name and Address of Current Registered Agent C T CORPORATION SYSTEM 1200 SOUTH PINE ISLAND ROAD PLANTATION, FL 33324				7. Name and Address of New Registered Agent Name Street Address (P.O. Box Number is Not Acceptable) City FL Zip Code	
8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. I am familiar with, and accept the obligations of registered agent.					
SIGNATURE _____ Signature, typed or printed name of registered agent and title if applicable. (NOTE: Registered Agent signature required when reinstating)					
FILE NOW!!! FEE IS \$150.00 After May 1, 2005 Fee will be \$550.00			9. Election Campaign Financing Trust Fund Contribution. ☐ \$5.00 May Be Added to Fees		
10. OFFICERS AND DIRECTORS			11. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11		
TITLE	CD ☐ Delete		TITLE	☐ Change ☐ Addition	
NAME	DOWNE, WILLIAM A		NAME	see attached list	
STREET ADDRESS	111 WEST MONROE, FLOOR 3W		STREET ADDRESS		
CITY-ST-ZIP	CHICAGO, IL 60603		CITY-ST-ZIP		
TITLE	V ☒ Delete		TITLE	☐ Change ☐ Addition	
NAME	BENEDETTO, RENE D		NAME		
STREET ADDRESS	3 TIMES SQUARE		STREET ADDRESS		
CITY-ST-ZIP	NEW YORK, NY 10036		CITY-ST-ZIP		
TITLE	V ☒ Delete		TITLE	☐ Change ☐ Addition	
NAME	BROWN, TIMOTHY		NAME		
STREET ADDRESS	3 TIMES SQUARE		STREET ADDRESS		
CITY-ST-ZIP	NEW YORK, NY 10036		CITY-ST-ZIP		
TITLE	V ☒ Delete		TITLE	☐ Change ☐ Addition	
NAME	BUSA, JOSEPH		NAME		
STREET ADDRESS	3 TIMES SQUARE		STREET ADDRESS		
CITY-ST-ZIP	NEW YORK, NY 10036		CITY-ST-ZIP		
TITLE	V ☒ Delete		TITLE	☐ Change ☐ Addition	
NAME	CECIL, DAVID		NAME		
STREET ADDRESS	700 LOUISIANA STREET, SUITE 4400		STREET ADDRESS		
CITY-ST-ZIP	HOUSTON, TX 77002		CITY-ST-ZIP		
TITLE	V ☒ Delete		TITLE	☐ Change ☐ Addition	
NAME	CERRONE, MARIA		NAME		
STREET ADDRESS	115 SOUTH LASALLE STREET, #19W		STREET ADDRESS		
CITY-ST-ZIP	CHICAGO, IL 60603		CITY-ST-ZIP		
12. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 10 or Block 11 if changed, or on an attachment with an address, with all other like empowered.					
SIGNATURE: <u>Michael M. Zeiss</u> SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR			3/29/05 212-605-1658 Date Daytime Phone #		

ATTACHMENT

HARRIS NESBITT CORP. Directors and Principal Officers

240048481

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<u>Directors</u>	<u>Residential Address</u>	<u>Business Address</u>	<u>Position Held</u>
DOWNE, William A	530 Willow Rd. Winnetka, IL USA 60093	111 West Monroe Floor 3W Chicago, IL USA 60603	Chairman of the Board
COSTELLO, Ellen	247 East 71st Street New York, NY USA 10021	3 Times Square New York, NY USA 10036	Vice Chair
HARTLEY, David	1077 River Road Unit 511N Edgewater, NJ USA 07020	3 Times Square New York, New York USA 10036	Executive Managing Director and Chief Operating Officer
HYMA, David R.	1657 Covington Terrace Mississauga, Ontario Canada L5M 3S4	1 First Canadian Place Toronto, Ontario Canada M5X 1A1	Director only
PERSAUD, Devanand	408 Quebec Avenue Toronto, Ontario Canada M6P 2V4	1 First Canadian Place Toronto, Ontario Canada M5X 1H3	Director only
MILROY, Thomas	73 Lynwood Avenue Toronto, Ontario Canada M4V 1K5	1 First Canadian Place Toronto, Ontario Canada M5X 1H3	Director only
GERARD, Emanuel "Manny"	1 East End Avenue Apt. 9C New York, NY USA 10021	360 Madison Avenue 5 th Floor New York, NY USA 10017	Director only
TECHAR, Frank J.	111 Abingdon Ave. Chicago, IL USA 60043	111 West Monroe St. Floor 4W Chicago, IL USA 60603	Director only

ATTACHMENT

HARRIS NESBITT CORP.

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Principal Officers
(who are not Directors)

Residential Address

Business Address

Position Held

EPISCOPIO, Douglas

39-33 222nd St.
Bayside, NY
USA 11361

3 Times Square
New York, NY
USA 10036

Vice President and
Controller

LEAVY, Keith C.

20 Gleeeful Lane
Valley Cottage, NY
USA 10989

3 Times Square
New York, NY
USA 10036

Managing Director
and Chief Compliance
Officer

UMBS, THERESE R.

519 Bloomfield Avenue
Apt. 5C
Caldwell, NJ
USA 07006

3 Times Square
New York, NY
USA 10036

Assistant Secretary

VORSTER, Susanne H.

111-09 76th Road
Apt. E-1
Forest Hills, NY
USA 11375

3 Times Square
New York, NY
28th Floor
USA 10036

Vice- President and
Chief Financial
Officer

ZEISS, Michael George

368 Carter St.
New Canaan, Ct.
USA 06840

3 Times Square
28th Floor
New York, NY
USA 10036

Managing Director, Chief
Legal Officer and Secretary