

F03000004458

(Requestor's Name)

(Address)

(Address)

(City/State/Zip/Phone #)

☐ PICK-UP

☐ WAIT

☐ MAIL

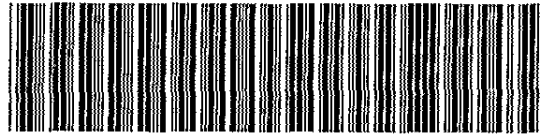
(Business Entity Name)

(Document Number)

Certified Copies _____ Certificates of Status _____

Special Instructions to Filing Officer:

Office Use Only



700022627917

09/05/03--01020--008 **78.75

RECEIVED
03 SEP -5 AM 10:47
DIVISION OF CORPORATION

FILED
03 SEP -5 PM 12:37
SEATTLE, WASHINGTON
TALLAHASSEE, FLORIDA

Handwritten signature



CORPORATION SERVICE COMPANY™

ACCOUNT NO. : 072100000032

REFERENCE : 229655 4324795

AUTHORIZATION : -

COST LIMIT : \$ PPD

ORDER DATE : September 4, 2003

ORDER TIME : 9:45 AM

ORDER NO. : 229655-005

CUSTOMER NO: 4324795

CUSTOMER: Ms. Bonnie J. Sachs
Gilford Securities, Inc.
850 Third Avenue

New York, NY 10022

FILED
03 SEP -5 PM 12:37
STATE
FLORIDA

FOREIGN FILINGS

NAME: GILFORD SECURITIES
INCORPORATED

XXXX QUALIFICATION (TYPE: CO)

PLEASE RETURN THE FOLLOWING AS PROOF OF FILING:

XX CERTIFIED COPY
 PLAIN STAMPED COPY
 CERTIFICATE OF GOOD STANDING

CONTACT PERSON: Susie Knight -- EXT# 1156

EXAMINER: _____

**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT
BUSINESS IN FLORIDA**

IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO
REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.

- 0
SEP - 5
PM 12:31
FILED
TALLAHASSEE
FLORIDA
1. Gilford Securities Incorporated
(Name of corporation; must include the word "INCORPORATED", "COMPANY", "CORPORATION" or words or abbreviations of like import in language as will clearly indicate that it is a corporation instead of a natural person or partnership if not so contained in the name at present.)
 2. New York 3. 13-2997507
(State or country under the law of which it is incorporated) (FEI number, if applicable)
 4. 9-24-79 5. perpetual
(Date of incorporation) (Duration: Year corp. will cease to exist or "perpetual")
 6. upon qualification
(Date first transacted business in Florida. If corporation has not transacted business in Florida, insert "upon qualification.")
(SEE SECTIONS 607.1501, 607.1502 and 817.155, F.S.)
 7. 850 Third Avenue New York, NY 10022
(Principal office address)
850 Third Avenue, New York, NY 10022
(Current mailing address)
 8. Securities Broker/Dealer
(Purpose(s) of corporation authorized in home state or country to be carried out in state of Florida)
 9. Name and street address of Florida registered agent: (P.O. Box or Mail Drop Box **NOT** acceptable)
Name: Corporation Service Company
Office Address: 1201 Hays Street
Tallahassee, Florida 32301
(City) (Zip code)
 10. Registered agent's acceptance:
Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.

Vera Norris
Vera Norris, (Registered agent's signature) Representative

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

12. Names and business addresses of officers and/or directors:

A. DIRECTORS

Chairman: Ralph Worthington IV

Address: 850 Third Avenue NY NY 10022

Director: Bonnie Sachs

Address: 850 Third Avenue NY NY 10022

Director: David Kaplan

Address: 850 Third Avenue, NY NY 10022

Director: Robert Maley

Address: 850 Third Avenue, NY NY 10022

B. OFFICERS

President: Ralph Worthington IV

Address: 850 Third Avenue

New York, NY 10022

Vice President: _____

Address: _____

Secretary: Lucinda Morrisey

Address: 850 Third Avenue, NY NY 10022

Treasurer: Ralph Worthington, IV

Address: 850 Third Avenue, NY NY 10022

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13. _____
(Signature of Chairman, Vice Chairman, or any officer listed in number 12 of the application)

14. Bonnie Sachs
(Typed or printed name and capacity of person signing application)

FILED
03 SEP 15 PM 12:31
STATE
TALLAHASSEE, FLORIDA

State of New York | **ss:**
Department of State

I hereby certify, that the Certificate of Incorporation of GILFORD SECURITIES INCORPORATED was filed on 09/24/1979, with perpetual duration, and that a diligent examination has been made of the Corporate index for documents filed with this Department for a certificate, order, or record of a dissolution, and upon such examination, no such certificate, order, or record has been found, and that so far as indicated by the records of this Department, such corporation is a subsisting corporation.

The Biennial Statement is past due.

Witness my hand and the official seal
of the Department of State at the City
of Albany, this 26th day of August
two thousand and three.



200308270440 52