

F03000004111

(Requestor's Name)

(Address)

(Address)

(City/State/Zip/Phone #)

☐

PICK-UP

☐

WAIT

☐

MAIL

(Business Entity Name)

(Document Number)

Certified Copies _____ Certificates of Status _____

Special Instructions to Filing Officer:

Office Use Only

FF \$70



200021694882

08/12/03--01022--015 **70.00

03 AUG 12 PM 1:09
SECRETARY OF STATE
DIVISION OF CORPORATIONS

W 8/18



**SILVERGATE
BANK**

August 4, 2003

Registration Section
Division of Corporations
P.O. Box 6327
Tallahassee, FL 32314

Re: Application by Foreign Corporation to Transact Business in Florida

To Whom It May Concern:

Silvergate Bank, DBA Silvergate Bank Corporation would like to apply for qualification to transact business in the state of Florida. We have enclosed the application, transmittal letter, a Certificate of Corporate Existence and a letter stating that we have permission from the Florida Department of Financial Services to use the word "Bank" in our name. Silvergate Bank was previously qualified in Florida as Silvergate Bank Corporation on 10/11/1999 and subsequently withdrew on 8/18/2000. We were informed that it was necessary to re-apply in this manner to become qualified again under the same name. Please contact me at the number listed below if you have any questions in regards to this request.

Thank you,

Allison Neumann
Compliance Analyst
858-362-6300 x1136

FILED
SECRETARY OF STATE
DIVISION OF CORPORATIONS
03 AUG 12 PM 1:10

Enc: Transmittal Letter and Application
Directors List cont'd
Check for Fee of \$70
Certificate of Corporate Existence
Letter from Dept of Financial Services



DEPARTMENT OF FINANCIAL SERVICES

July 30, 2003

Ms. Allison Neumann
Silvergate Bank
4275 Executive Square, Suite 800
La Jolla, California 92037

Re: Silvergate Bank Corporation

Dear Ms. Neumann:

Reference is made to your recent letter/fax requesting approval of the above-referenced corporate name which is a California state-chartered commercial bank located in La Jolla, California.

Section 655.922, Florida Statutes, exempts a financial institution, holding company or its subsidiaries from the prohibition of using the word "bank," "banker," "banking," "trust company," "savings and loan association," "savings bank," or "credit union" in its corporate name. Therefore, the Office will not object to the use of the above corporate name being registered as a foreign corporation in the state of Florida.

Sincerely,

A handwritten signature in cursive script, reading "Linda B. Charity".

Linda B. Charity
Deputy Director

FILED
SECRETARY OF STATE
DIVISION OF CORPORATIONS
03 AUG 12 PM 1:10

LBC: ker

cc: Karon Beyer - Chief, Bureau of Commercial Recordings
Division of Corporations, Secretary of State's Office

TRANSMITTAL LETTER

TO: Registration Section
Division of Corporations

SUBJECT: Silvergate Bank, DBA Silvergate Bank Corporation
(Name of corporation - must include suffix)

Dear Sir or Madam:

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida", "Certificate of Existence", and check are submitted to register the above referenced foreign corporation to transact business in Florida.

Please return all correspondence concerning this matter to the following:

Allison Neumann

(Name of Person)

Silvergate Bank

(Firm/Company)

4275 Executive Square, Suite 800

(Address)

La Jolla, CA 92037

(City/State and Zip code)

For further information concerning this matter, please call:

Allison Neumann

(Name of Person)

at (858) 362-6300 x1136

(Area Code & Daytime Telephone Number)

STREET ADDRESS:

Registration Section
Division of Corporations
409 E. Gaines St.
Tallahassee, FL 32399

MAILING ADDRESS:

Registration Section
Division of Corporations
P.O. Box 6327
Tallahassee, FL 32314

Enclosed is a check for the following amount:

- ☒ \$70.00 Filing Fee ☐ \$78.75 Filing Fee & Certificate of Status ☐ \$78.75 Filing Fee & Certified Copy ☐ \$87.50 Filing Fee, Certificate of Status & Certified Copy

FILED
SECRETARY OF STATE
DIVISION OF CORPORATIONS
03 AUG 12 PM 1:10

**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT
BUSINESS IN FLORIDA**

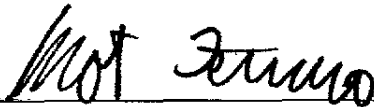
*IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO
REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.*

1. Silvergate Bank, DBA Silvergate Bank Corporation
(Name of corporation; must include the word "INCORPORATED", "COMPANY", "CORPORATION" or words or abbreviations of like import in language as will clearly indicate that it is a corporation instead of a natural person or partnership if not so contained in the name at present.)
2. California 3. 33-0244449
(State or country under the law of which it is incorporated) (FEI number, if applicable)
4. 4/1/1987 5. perpetual
(Date of incorporation) (Duration: Year corp. will cease to exist or "perpetual")
6. upon qualification
(Date first transacted business in Florida. If corporation has not transacted business in Florida, insert "upon qualification.")
(SEE SECTIONS 607.1501, 607.1502 and 817.155, F.S.)
7. 4275 Executive Square, Suite 800, La Jolla, CA 92037
(Principal office address)
4275 Executive Square, Suite 800, La Jolla, CA 92037
(Current mailing address)
8. Wholesale Mortgage Banking; To accept and fund loans from licensed mortgage brokers.
(Purpose(s) of corporation authorized in home state or country to be carried out in state of Florida)
9. Name and street address of Florida registered agent: (P.O. Box or Mail Drop Box **NOT** acceptable)
Name: CT Corporation System
Office Address: 1200 South Pine Island Road
Plantation, Florida 33324
(City) (Zip code)

FILED
SECRETARY OF STATE
DIVISION OF CORPORATIONS
JUN 12 PM 1:10

10. Registered agent's acceptance:

Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.



(Registered agent's signature)

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

12. Names and business addresses of officers and/or directors:

A. DIRECTORS

Chairman: Dennis S. Frank

Address: 4275 Executive Square, Suite 800
La Jolla, CA 92037

Vice Chairman: Derek J. Eisele

Address: 4275 Executive Square, Suite 800
La Jolla, CA 92037

Director: John D. Eudy

Address: 4275 Executive Square, Suite 800
La Jolla, CA 92037

Director: Robert C. Campbell

Address: 4275 Executive Square, Suite 800
La Jolla, CA 92037

FILED
SECRETARY OF STATE
DIVISION OF CORPORATIONS
08 AUG 12 PM 1:10

B. OFFICERS

President: John M. Bonino

Address: 4275 Executive Square, Suite 800
La Jolla, CA 92037

Vice President: _____

Address: _____

Secretary: Catherine Anthony

Address: 4275 Executive Square, Suite 800, La Jolla, CA 92037

Treasurer: Michael Appanaitis

Address: 4275 Executive Square, Suite 800, La Jolla, CA 92037

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13. _____

(Signature of Chairman, Vice Chairman, or any officer listed in number 12 of the application)

14. _____

(Typed or printed name and capacity of person signing application)

SILVERGATE BANK
ADDITIONAL DIRECTORS

NAME AND ADDRESS

TITLE

W. Michael Herrick
4275 Executive Square, Suite 800
La Jolla, CA 92037

Non-Employee Director

Thomas C. Dircks
4275 Executive Square, Suite 800
La Jolla, CA 92037

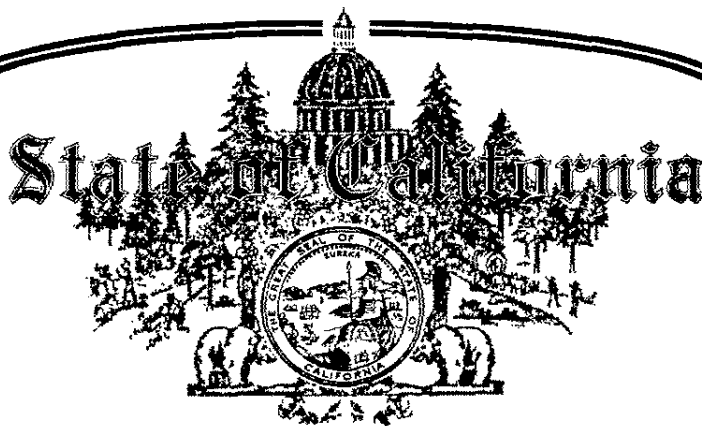
Non-Employee Director

John M. Bonino
4275 Executive Square, Suite 800
La Jolla, CA 92037

President and Director

FILED
SECRETARY OF STATE
DIVISION OF CORPORATIONS
03 AUG 12 PM 1:10

State of California



SECRETARY OF STATE CERTIFICATE OF STATUS DOMESTIC CORPORATION

I, KEVIN SHELLEY, Secretary of State of the State of California, hereby certify:

That on the **1ST day of APRIL, 1987, SILVERGATE BANK** became incorporated under the laws of the State of California by filing its Articles of Incorporation in this office; and

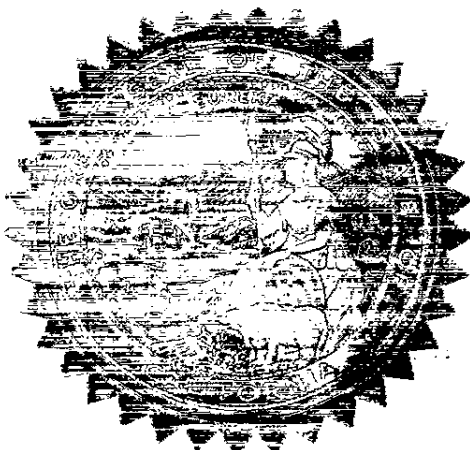
That no record exists in this office of a certificate of dissolution of said corporation nor of a court order declaring dissolution thereof, nor of a merger or consolidation which terminated its existence; and

That said corporation's corporate powers, rights and privileges are not suspended on the records of this office; and

That according to the records of this office, the said corporation is authorized to exercise all its corporate powers, rights and privileges and is in good legal standing in the State of California; and

That no information is available in this office on the financial condition, business activity or practices of this corporation.

FILED
SECRETARY OF STATE
DIVISION OF CORPORATIONS
03 AUG 12 PM 1:10



IN WITNESS WHEREOF, I execute this certificate and affix the Great Seal of the State of California this day of **July 23, 2003.**

Kevin Shelley

KEVIN SHELLEY
Secretary of State