2004 FOR PROFIT CORPORATION

FILED Apr 15, 2004 8:00 am Secretary of State ANNUAL REPORT

04-15-2004 90030 046 ***150 00 DOCUMENT # F03000003697 1. Entity Name WINDWARD SECURITIES CORPORATION Principal Place of Business Mailing Address 94052555 ONE GRANITE PLACE ONE GRANITE PLACE CONCORD, NH 03301 CONCORD, NH 03301 2. Principal Place of Business 3. Mailing Address Suite, Apt. #, etc. Suite, Apt. #, etc. 04082004 Cha-P CR2E034 (10/03) Applied For City & State 4. FEI Number City & State 86-1068004 Not Applicable Zip Country Zip Country \$8.75 Additional 5. Certificate of Status Desired Fee Required 7. Name and Address of New Registered Agent 6. Name and Address of Current Registered Agent Name CT CORPORATION SYSTEM Street Address (P.O. Box Number is Not Acceptable) 1200 SOUTH PINE ISLAND ROAD PLANTATION, FL 33324 Zip Code FI 8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. I am familiar with, and accept the obligations of registered agent. SIGNATURE Signature, typed or printed name of registered agent and title if applicable (NOTE: Registered Agent signature required when reinstating) DATE 9. Election Campaign Financing \$5.00 May Be FILE NOW!!! FEE IS \$150.00 Trust Fund Contribution. Added to Fees After May 1, 2004 Fee will be \$550.00 OFFICERS AND DIRECTORS ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11 10. 11. **DCEO** Addition TITLE ☐ Delete TILE ☐ Change Frederick C. Tedeschi One Granite Place ANGARELLA, RONALD R NAME NAME ONE GRANITE PLACE STREET ADDRESS STREET ADDRESS Concord, NH 03301 CONCORD, NH 03301 CITY-ST-ZIP CITY-ST-ZIP DΡ □ Change Addition TITLE □ Delete TITLE John A. Weston BOOTH, DAVID K NAME NAME One Granite Place ONE GRANITE PLACE STREET ADDRESS STREET ADDRESS CITY-ST-ZIP CONCORD, NH 03301 CITY-ST-7IP Concord, NH 03301 VΡ TITLE ☐ Change TITLE ☐ Addition □ Detete NAME ARMSTRONG, DAVID NAME ONE GRANITE PLACE STREET ADDRESS STREET ADDRESS CONCORD, NH 03301 CITY-ST-7IP CITY-ST-ZIP TITLE ☐ Change ☐ Addition VE ☐ Defete TITLE NAME BOULTER, W. THOMAS NAME STREET ADDRESS ONE GRANITE PLACE STREET ADDRESS CITY-ST-ZIP CONCORD, NH 03301 CITY-ST-ZIP DVP TITLE **K**KChange ☐ Addition TITLE Delete Charles C. Cornelio 100 N. Greene Street NAME COMELIO, CHARLES C NAME STREET ADDRESS STREET ADDRESS ONE GRANITE PLACE Greensboro, NC 27401 CITY-ST-ZIP CONCORD, NH 03301 CITY-ST-ZIP DVP □ Delete TITLE ☐ Change □ Addition TITLE HARDIMAN, CAROL R NAME NAME ONE GRANITE PLACE STREET ADDRESS STREET ADDRESS

12. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 10 or Block 11 if changed, or on an attachment with an address, with all other like empowered.

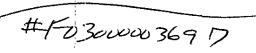
CITY-ST-7IP

CONCORD, NH 03301

SIGNATURE

Frederick C. Tedeschi 4/8/04 603-226-5105 Affachment

Windward Securities Corporation One Granite Place Concord, New Hampshire 03301



Officers

Ronald R. Angarella One Granite Place Concord, NH 03301 - Chairman & CEO

David K. Booth One Granite Place Concord, NH 03301 - President

David Armstrong One Granite Place Concord, NH 03301 - Vice President

W. Thomas Boulter One Granite Place Concord, NH 03301 - Vice President & Chief Compliance Officer

Charles C. Cornelio 100 North Greene Street Greensboro, NC 27401 - Vice President

Carol R. Hardiman One Granite Place Concord, NH 03301 - Vice President

Frederick C. Tedeschi One Granite Place Concord, NH 03301 - Vice President & Secretary

John A. Weston One Granite Place Concord, NH 03301 - Treasurer

Donna M. Wilbur One Granite Place Concord, NH 03301

- Assistant Treasurer

Lisa S. Clifford One Granite Place Concord, NH 03301 - Assistant Vice President

Marty Kennedy One Granite Place Concord, NH 03301 - Assistant Vice President

Michael F. Murray One Granite Place Concord, NH 03301 - Assistant Vice President

Affachment

K. Jay Salamy
One Granite Place
Concord, NH 03301
Timothy L. Stickney
One Granite Place
Concord, NH 03301

- Assistant Vice President

Fa 300000 3697

Concord, NH 03301 Margaret A. Salamy

One Granite Place Concord, NH 03301 - Assistant Vice President

Assistant Vice President

Board of Directors

Ronald R. Angarella One Granite Place Concord, NH 03301

David K. Booth One Granite Place Concord, NH 03301

Charles C. Cornelio 100 North Greene Street Greensboro, NC 27401

Carol R. Hardiman One Granite Place Concord, NH 03301