

# F03000003298

(Requestor's Name)

(Address)

(Address)

(City/State/Zip/Phone #)

☐ PICK-UP

☐ WAIT

☐ MAIL

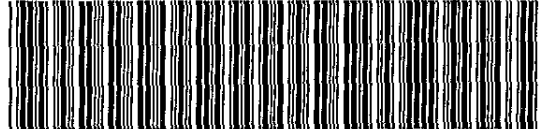
(Business Entity Name)

(Document Number)

Certified Copies \_\_\_\_\_ Certificates of Status \_\_\_\_\_

Special Instructions to Filing Officer:

Name	
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Document	
Examiner	
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Acknowledgement	DCC
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03 JUN 26 AM 8:00

SECRETARY OF STATE  
TALLAHASSEE, FLORIDA

**Principal**

**Financial  
Group**

**Principal Life  
Insurance Company**

June 20, 2003

Registration Section  
Division of Corporations  
PO Box 6327  
Tallahassee, FL 32314


RE: Application for Authorization to Transact Business  
Principal International, Inc.

Dear Sir or Madam:

Enclosed herein please find our Application for Authorization to Transact Business for Principal International, Inc., along with our check for filing fees and an original Certificate of Existence from our domicile state of Iowa. Please review our documents and upon your approval, return a file stamped copy to me via the self addressed envelope also enclosed herein.

Should you require anything further to process our request or have any questions regarding this filing, please contact me. You may reach me by writing to Amber Ostercamp, Legal Assistant, Principal Financial Group, S-006-N10, 711 High Street, Des Moines, Iowa 50392, by phone at 800-247-1211 ext. 75089 or by Fax at (515) 248-3011.

Respectfully submitted,

  
Amber Ostercamp  
Legal Assistant

Enclosures

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03 JUN 26 AM  
SECRETARY OF  
TALLAHASSEE, FL

## TRANSMITTAL LETTER

**TO:** Registration Section  
Division of Corporations

**SUBJECT:** Principal International, Inc.  
(Name of corporation - must include suffix)

Dear Sir or Madam:

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida", "Certificate of Existence", and check are submitted to register the above referenced foreign corporation to transact business in Florida.

Please return all correspondence concerning this matter to the following:

<u>Patricia A. Barry</u>	(Name of Person)	<b>FILED</b> 03 JUN 26 AM 8:00 SECRETARY OF STATE TALLAHASSEE, FLORIDA
<u>Principal International, Inc.</u>	(Firm/Company)	
<u>711 High Street</u>	(Address)	
<u>Des Moines, IA 50392</u>	(City/State and Zip code)	

For further information concerning this matter, please call:

Amber Ostercamp at ( 515 ) 247-5089  
(Name of Person) (Area Code & Daytime Telephone Number)

**STREET ADDRESS:**  
Registration Section  
Division of Corporations  
409 E. Gaines St.  
Tallahassee, FL 32399

**MAILING ADDRESS:**  
Registration Section  
Division of Corporations  
P.O. Box 6327  
Tallahassee, FL 32314

Enclosed is a check for the following amount:

☐ \$70.00 Filing Fee    ☒ \$78.75 Filing Fee & Certificate of Status    ☐ \$78.75 Filing Fee & Certified Copy    ☐ \$87.50 Filing Fee, Certificate of Status & Certified Copy

**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT  
BUSINESS IN FLORIDA**

*IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO  
REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.*

1. Principal International, Inc.  
(Name of corporation; must include the word "INCORPORATED", "COMPANY", "CORPORATION" or words or abbreviations of like import in language as will clearly indicate that it is a corporation instead of a natural person or partnership if not so contained in the name at present.)
2. Iowa 3. 42-1348797  
(State or country under the law of which it is incorporated) (FEI number, if applicable)
4. 01/12/1990 5. perpetual  
(Date of incorporation) (Duration: Year corp. will cease to exist or "perpetual")
6. upon qualification  
(Date first transacted business in Florida. If corporation has not transacted business in Florida, insert "upon qualification.")  
(SEE SECTIONS 607.1501, 607.1502 and 817.155, F.S.)
7. 711 High Street, Des Moines, IA 50392  
(Principal office address)  
711 High Street, Des Moines, IA 50392  
(Current mailing address)
8. An Iowa corporation engaged in international business development.  
(Purpose(s) of corporation authorized in home state or country to be carried out in state of Florida)
9. **Name and street address of Florida registered agent:** (P.O. Box or Mail Drop Box **NOT** acceptable)  
Name: C T Corporation System  
Office Address: 1200 South Pine Island Road,  
Plantation, , Florida 33324  
(City) (Zip code)

**10. Registered agent's acceptance:**

*Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.*

C T Corporation System

By: Michael J. Smith Michael J. Smith, Asst. Secretary  
(Registered agent's signature)

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

12. Names and business addresses of officers and/or directors:

A. DIRECTORS

Chairman: See Attachment A

Address: \_\_\_\_\_

Vice Chairman: \_\_\_\_\_

Address: \_\_\_\_\_

Director: \_\_\_\_\_

Address: \_\_\_\_\_

Director: \_\_\_\_\_

Address: \_\_\_\_\_

B. OFFICERS

President: See Attachment A

Address: \_\_\_\_\_

Vice President: \_\_\_\_\_

Address: \_\_\_\_\_

Secretary: \_\_\_\_\_

Address: \_\_\_\_\_

Treasurer: \_\_\_\_\_

Address: \_\_\_\_\_

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13. Patricia A. Barry

(Signature of Chairman, Vice Chairman, or any officer listed in number 12 of the application)

14. Patricia A. Barry, Assistant Corporate Secretary

(Typed or printed name and capacity of person signing application)

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03 JUN 26 AM 8:00  
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FALL HASSELL FLOOR 10

**Attachment A**  
**Principal International, Inc.**  
**Directors and Officers**

03-Jun-03

<b>Director</b>	<b>Title</b>	<b>Date Elected</b>
Larry D. Zimpleman	Chairman of the Board	5/23/2003
Norman R. Sorensen		5/23/2003
John E. Aschenbrenner		5/23/2003
Michael T. Daley		5/23/2003
Michael H. Gersie		5/23/2003
Thomas J. Graf		5/23/2003
J. Barry Griswell		5/23/2003
Ellen Z. Lamale		5/23/2003
Julia M. Lawler		5/23/2003
James P. McCaughan		5/23/2003
Karen E. Shaff		5/23/2003
<b>Officer</b>	<b>Title</b>	<b>Date Elected</b>
Norman R. Sorensen	President	8/29/2002
Rex A. Auyeung	Senior Vice President - Asia	8/29/2002
Patrick C. Fraizer	Senior Vice President and Senior International Counsel	8/29/2002
Carlos F. Mickan	Senior Vice President and Chief Financial Officer	8/29/2002
Luis E. Valdes	Senior Vice President - Latin America	8/29/2002
David A. Hilbrink	Executive Director & Chief Actuary - Brazil	8/29/2002
Joyce N. Hoffman	Senior Vice President and Secretary	8/29/2002
Christopher J. Reddy	Chief Operating Officer - Asia	8/29/2002
J.C. Tupling	Second Vice President - Business Development	8/29/2002
Barbara A. McKenzie	Second Vice President and Managing Director - International Investments	8/29/2002
Eric W. Hays	Second Vice President, Chief Technology Officer	8/29/2002
Craig L. Bassett	Treasurer	8/29/2002
Patricia A. Barry	Assistant Secretary	8/29/2002
Klaus Rolando Bohner	Co-Managing Director - Argentina	8/29/2002
Pedro Esteban Borda	Managing Director - Mexico	8/29/2002
Juan Ignacio Eyzaguirre	Managing Director - Argentina	8/29/2002
Francisco Mozo	Managing Director - Chile	8/29/2002
Sanjay Sachdev	Managing Director - India	8/29/2002
Roberto A. Walker	Managing Director - Mexico	8/29/2002
Stanley C. Yip	Managing Director - Hong Kong	8/29/2002

**Corporation Address/Address for all Directors and Officers**

711 High Street, Des Moines, Iowa 50392

# IOWA

Date: 06/03/2003

## SECRETARY OF STATE

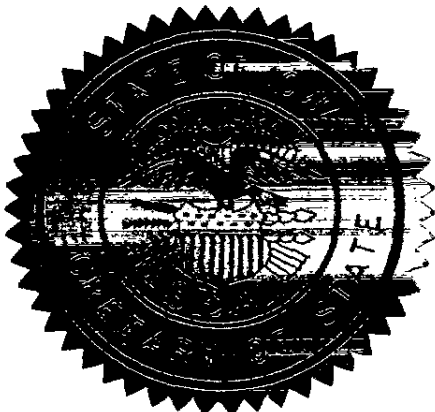
490 DP-000139400  
PRINCIPAL INTERNATIONAL, INC.  
CT CORPORATION SYSTEM  
NANCY  
2222 GRAND AVE  
DES MOINES, IA 50306

FILED  
03 JUN 26 11 8:00  
SECRETARY OF STATE  
DES MOINES, IOWA

### CERTIFICATE OF EXISTENCE

Name: PRINCIPAL INTERNATIONAL, INC.  
Begin date: 01/12/1990  
Expiration: PERPETUAL

I, CHESTER J. CULVER, secretary of state of the state of Iowa, custodian of the records of incorporations, certify that the corporation named on this certificate is in existence and was duly incorporated under the laws of Iowa on the date printed above, that all fees required by the Iowa Business Corporation Act have been paid by the corporation, that the most recent biennial corporate report has been filed by the secretary of state, and that articles of dissolution have not been filed.



A handwritten signature in cursive script, reading "Chester J. Culver", is written over a horizontal line.

CHESTER J. CULVER SECRETARY OF STATE

