

2011 FOR PROFIT CORPORATION ANNUAL REPORT

DOCUMENT# F03000002813

FILED
Mar 30, 2011
Secretary of State

Entity Name: STERNE, AGEE & LEACH, INC.

Current Principal Place of Business:

800 SHADES CREEK PARKWAY
SUITE 550
BIRMINGHAM, AL 35209

New Principal Place of Business:

800 SHADES CREEK PARKWAY
SUITE 700
BIRMINGHAM, AL 35209

Current Mailing Address:

800 SHADES CREEK PARKWAY
SUITE 550
BIRMINGHAM, AL 35209

New Mailing Address:

FEI Number: 63-0463600

FEI Number Applied For ()

FEI Number Not Applicable ()

Certificate of Status Desired ()

Name and Address of Current Registered Agent:

NRAI SERVICES, INC.
515 E. PARK AVENUE
TALLAHASSEE, FL 32301 US

Name and Address of New Registered Agent:

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE:

Electronic Signature of Registered Agent

Date

OFFICERS AND DIRECTORS:

Title: CPD
Name: HOLBROOK, JAMES S JR.
Address: 800 SHADES CREEK PARKWAY, SUITE 700
City-St-Zip: BIRMINGHAM, AL 35209

Title: S
Name: HOLBROOK, WILLIAM K
Address: 800 SHADES CREEK PARKWAY, SUITE 700
City-St-Zip: BIRMINGHAM, AL 35209

Title: D
Name: COOPER, J. CARY
Address: 800 SHADES CREEK PARKWAY
City-St-Zip: BIRMINGHAM, AL 35209

Title: CFOT
Name: WAGSTAFF, C. FRED III
Address: 800 SHADES CREEK PARKWAY, SUITE 550
City-St-Zip: BIRMINGHAM, AL 35209

Title: ATD
Name: BARZE, BRIAN K
Address: 800 SHADES CREEK PARKWAY, SUITE 700
City-St-Zip: BIRMINGHAM, AL 35209

I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.

SIGNATURE: WILLIAM K HOLBROOK

S

03/30/2011

Electronic Signature of Signing Officer or Director

Date