

2010 FOR PROFIT CORPORATION ANNUAL REPORT

DOCUMENT# F03000002813

FILED
Apr 23, 2010
Secretary of State

Entity Name: STERNE, AGEE & LEACH, INC.

Current Principal Place of Business:

800 SHADES CREEK PARKWAY
SUITE 550
BIRMINGHAM, AL 35209

New Principal Place of Business:

Current Mailing Address:

800 SHADES CREEK PARKWAY
SUITE 550
BIRMINGHAM, AL 35209

New Mailing Address:

FEI Number: 63-0463600

FEI Number Applied For ()

FEI Number Not Applicable ()

Certificate of Status Desired (X)

Name and Address of Current Registered Agent:

C T CORPORATION SYSTEM
1200 SOUTH PINE ISLAND ROAD
PLANTATION, FL 33324 US

Name and Address of New Registered Agent:

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE:

Electronic Signature of Registered Agent

Date

Election Campaign Financing Trust Fund Contribution ().

OFFICERS AND DIRECTORS:

Title: CEO
Name: HOLBROOK, JAMES S JR.
Address: 800 SHADES CREEK PARKWAY, SUITE 700
City-St-Zip: BIRMINGHAM, AL 35209

Title: SEC
Name: HOLBROOK, WILLIAM K
Address: 800 SHADES CREEK PARKWAY, SUITE 700
City-St-Zip: BIRMINGHAM, AL 35209

Title: DIR
Name: HOLBROOK, JAMES S JR
Address: 800 SHADES CREEK PARKWAY, SUITE 700
City-St-Zip: BIRMINGHAM, AL 35209

Title: CFO
Name: WAGSTAFF, C. FRED III
Address: 800 SHADES CREEK PARKWAY, SUITE 550
City-St-Zip: BIRMINGHAM, AL 35209

Title: A TR
Name: BARZE, BRIAN K
Address: 800 SHADES CREEK PARKWAY, SUITE 700
City-St-Zip: BIRMINGHAM, AL 35209

I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.

SIGNATURE: WILLIAM K HOLBROOK

SEC

04/23/2010

Electronic Signature of Signing Officer or Director

Date