

# F03000002237

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(Requestor's Name)

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(City/State/Zip/Phone #)

PICK-UP     WAIT     MAIL

\_\_\_\_\_  
(Business Entity Name)

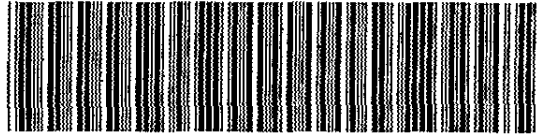
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Certified Copies \_\_\_\_\_ Certificates of Status \_\_\_\_\_

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Application Agent	DCC
W. P. Verifier	DCC



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05/02/03--01077--001 \*\*87.50

**FILED**  
03 MAY - 2 PM 1:00  
SECRETARY OF STATE  
TALLAHASSEE, FLORIDA

TRANSMITTAL LETTER

TO: Registration Section  
Division of Corporations

SUBJECT: OBERWEIS SECURITIES, INC.  
(Name of corporation - must include suffix)

Dear Sir or Madam:

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida", "Certificate of Existence", and check are submitted to register the above referenced foreign corporation to transact business in Florida.

Please return all correspondence concerning this matter to the following:

PATRICK B. JOYCE  
(Name of Person)

OBERWEIS SECURITIES, INC.  
(Firm/Company)

951 ICE CREAM DR., SUITE 200  
(Address)

NORTH AURORA, IL. 60542  
(City/State and Zip code)

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03 MAY -2 PM 1:00  
SECRETARY OF STATE  
TALLAHASSEE, FLORIDA

For further information concerning this matter, please call:

PATRICK B. JOYCE at ( 630 ) 801-6080  
(Name of Person) (Area Code & Daytime Telephone Number)

**STREET ADDRESS:**  
Registration Section  
Division of Corporations  
409 E. Gaines St.  
Tallahassee, FL 32399

**MAILING ADDRESS:**  
Registration Section  
Division of Corporations  
P.O. Box 6327  
Tallahassee, FL 32314

Enclosed is a check for the following amount:

- \$70.00 Filing Fee
- \$78.75 Filing Fee & Certificate of Status
- \$78.75 Filing Fee & Certified Copy
- \$87.50 Filing Fee, Certificate of Status & Certified Copy

APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT BUSINESS IN FLORIDA

IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.

1. OBERWEIS Securities, INC. (Name of corporation; must include the word "INCORPORATED", "COMPANY", "CORPORATION" or words or abbreviations of like import in language as will clearly indicate that it is a corporation instead of a natural person or partnership if not so contained in the name at present.)

2. ILLINOIS (State or country under the law of which it is incorporated) 3. 36-4106772 (FEI number, if applicable)

4. 09/24/1996 (Date of incorporation) 5. Perpetual (Duration: Year corp. will cease to exist or "perpetual")

6. Upon Qualification (Date first transacted business in Florida. If corporation has not transacted business in Florida, insert "upon qualification.") (SEE SECTIONS 607.1501, 607.1502 and 817.155, F.S.)

7. 951 Ice Cream Dr., Suite 200 (Principal office address)

North Aurora, IL. 60542 (Current mailing address)

8. Securities, Broker Dealer (Purpose(s) of corporation authorized in home state or country to be carried out in state of Florida)

9. Name and street address of Florida registered agent: (P.O. Box or Mail Drop Box NOT acceptable)

Name: RALPH VON LUTZOW

Office Address: 2477 Stickney Point Road #217B

SARASOTA, Florida 34203 (City) (Zip code)

10. Registered agent's acceptance: Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.

Ralph Von Lutzow (Registered agent's signature)

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

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12. Names and business addresses of officers and/or directors:

A. DIRECTORS

Chairman: \_\_\_\_\_

Address: \_\_\_\_\_

Vice Chairman: \_\_\_\_\_

Address: \_\_\_\_\_

Director: JAMES W. OBERWEIS

Address: 951 ICE CREAM DR., SUITE 200  
NORTH AURORA, IL. 60542

Director: PATRICK B. JOYCE

Address: 951 ICE CREAM DR., SUITE 200  
NORTH AURORA, IL. 60542

B. OFFICERS

President: JAMES W. OBERWEIS

Address: 951 ICE CREAM DR., SUITE 200  
NORTH AURORA, IL. 60542

EXECUTIVE Vice President: PATRICK B. JOYCE

Address: 951 ICE CREAM DR., SUITE 200  
NORTH AURORA, IL. 60542

Secretary: PATRICK B. JOYCE

Address: 951 ICE CREAM DR., SUITE 200 NORTH AURORA, IL. 60542

Treasurer: PATRICK B. JOYCE

Address: 951 ICE CREAM DR., SUITE 200 NORTH AURORA, IL. 60542

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

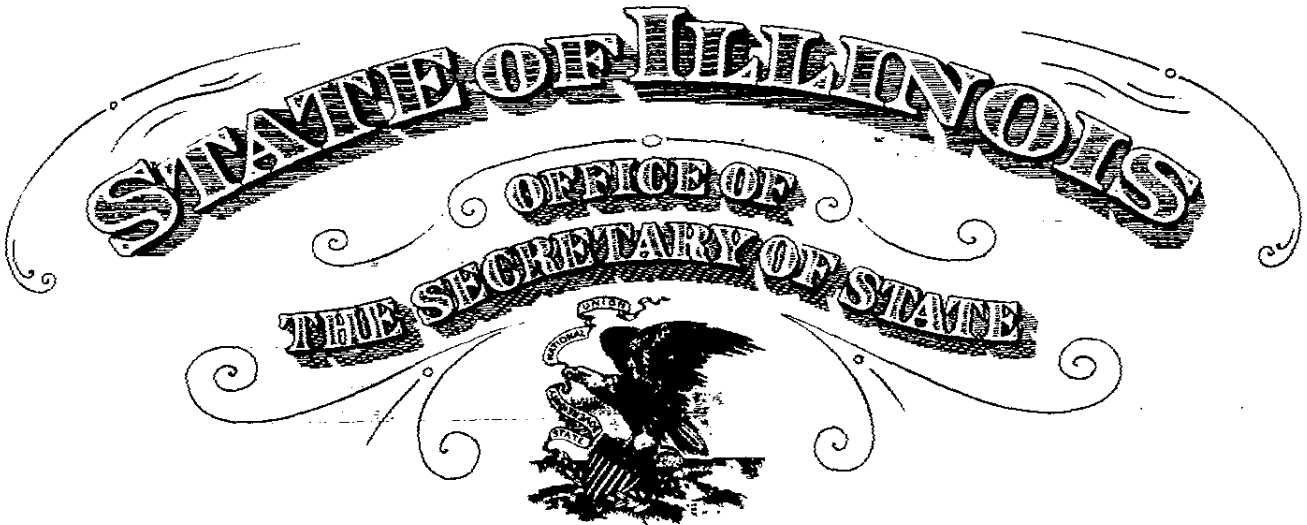
13. Patrick B. Joyce  
(Signature of Chairman, Vice Chairman, or any officer listed in number 12 of the application)

14. PATRICK B. JOYCE EXECUTIVE VICE PRESIDENT  
(Typed or printed name and capacity of person signing application)

FILED  
03 MAY -2 PM 1:00  
SECRETARY OF STATE  
TALLAHASSEE, FLORIDA

File Number

5904-853-8



To all to whom these Presents Shall Come, Greeting:

I, Jesse White, Secretary of State of the State of Illinois, do hereby certify that

OBERWEIS SECURITIES, INC., A DOMESTIC CORPORATION, INCORPORATED UNDER THE LAWS OF THIS STATE SEPTEMBER 24, 1996, APPEARS TO HAVE COMPLIED WITH ALL THE PROVISIONS OF THE BUSINESS CORPORATION ACT OF THIS STATE RELATING TO THE FILING OF ANNUAL REPORTS AND PAYMENT OF FRANCHISE TAXES, AND AS OF THIS DATE, IS IN GOOD STANDING AS A DOMESTIC CORPORATION IN THE STATE OF ILLINOIS\*\*\*\*\*

FILED  
 03 MAY -2 PM  
 SECRETARY OF STATE  
 TALLAHASSEE, FLORIDA



In Testimony Whereof, I hereto set my hand and cause to be affixed the Great Seal of the State of Illinois, this 28TH day of APRIL A.D. 2003

*Jesse White*

SECRETARY OF STATE