

Fb3 0000002098

(Requestor's Name)

(Address)

(Address)

(City/State/Zip/Phone #)

☐

PICK-UP

☐

WAIT

☐

MAIL

(Business Entity Name)

(Document Number)

Certified Copies _____ Certificates of Status _____

Special Instructions to Filing Officer:

Office Use Only



600016102076

04/28/03--01076--004 **70.00

RECEIVED
03 APR 28 PM 11:32
DIVISION OF CONSUMPTION

FILED
03 APR 28 PM 12:57
TALLAHASSEE FLORIDA

Fb3-2098
or

CT CORPORATION

April 28, 2003

Secretary of State, Florida
409 East Gaines Street
Tallahassee FL 32399

Re: Order #: 5837888 SO
Customer Reference 1:
Customer Reference 2:

Dear Secretary of State, Florida:

Please file the attached:

U.S. Bancorp Piper Jaffray Companies Inc. (DE)
Qualification
Florida

Enclosed please find a check for the requisite fees. Please return evidence of filing(s) to my attention.

If for any reason the enclosed cannot be filed upon receipt, please contact me immediately at (850) 222-1092. Thank you very much for your help.

Sincerely,

Jeffrey J Netherton
Sr. Fulfillment Specialist
Jeff_Netherton@cch-lis.com

660 East Jefferson Street
Tallahassee, FL 32301
Tel. 850 222 1092
Fax 850 222 7615

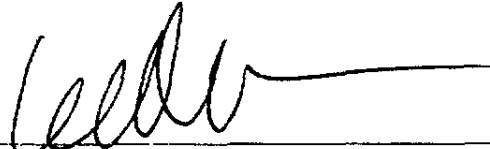
FILED
03 APR 28 PM 12:57
TALLAHASSEE, FLORIDA

**CERTIFIED RESOLUTION
OF
SOLE DIRECTOR**

I, the undersigned Lee Mitau, sole Director of U.S. Bancorp Piper Jaffray Companies Inc. do hereby certify that the following resolution by the sole director of U.S. Bancorp Piper Jaffray Companies Inc. a corporation duly organized and existing under the laws of the State of Delaware was duly adopted on the 16th day of April, 2003

RESOLVED, that U.S. Bancorp Piper Jaffray Companies Inc., organized and existing in the State of Delaware, hereby adopts the name U.S. Bancorp Piper Jaffray Companies of Delaware Inc. for use in Florida.

Dated: April 16, 2003



Lee Mitau, Sole Director

ALLAHASSEE, FLORIDA

03 APR 28 PM 12:57

FILED

**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT
BUSINESS IN FLORIDA**

*IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO
REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.*

1. U.S. BANCORP PIPER JAFFRAY COMPANIES INC.

(Name of corporation; must include the word "INCORPORATED", "COMPANY", "CORPORATION" or words or abbreviations of like import in language as will clearly indicate that it is a corporation instead of a natural person or partnership if not so contained in the name at present.)

2. Delaware **3. 41-1233380**
(State or country under the law of which it is incorporated) (FEI number, if applicable)

4. February 25, 1974 **5. Perpetual**
(Date of incorporation) (Duration: Year corp. will cease to exist or "perpetual")

6. upon qualification
(Date first transacted business in Florida. If corporation has not transacted business in Florida, insert "upon qualification.")
(SEE SECTIONS 607.1501, 607.1502 and 817.155, F.S.)

7. 800 Nicollet Mall, Minneapolis, Minnesota 55402-7020
(Principal office address)

800 Nicollet Mall, Minneapolis, Minnesota 55402-7020
(Current mailing address)

8. Insurance agency services
(Purpose(s) of corporation authorized in home state or country to be carried out in state of Florida)

9. Name and street address of Florida registered agent: (P.O. Box or Mail Drop Box NOT acceptable)

Name: C T Corporation System

Office Address: 1200 South Pine Island Road,

Plantation, Florida 33324
(City) (Zip code)

10. Registered agent's acceptance:

Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.

C T Corporation System

By: Carol Record **Carol Record**
(Registered agent's signature) **Assistant Secretary**

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

12. Names and business addresses of officers and/or directors:

A. DIRECTORS

Chairman: N/A

Address: N/A
N/A

Vice Chairman: N/A

Address: N/A
N/A

Director: Lee Mitau

Address: 800 Nicollet Mall
Minneapolis, Minnesota 55402-7020

Director: N/A

Address: N/A
N/A

B. OFFICERS

President: Andrew S. Duff

Address: 800 Nicollet Mall
Minneapolis, Minnesota 55402-7020

Vice President: N/A

Address: N/A
N/A

Secretary: James L. Chosy

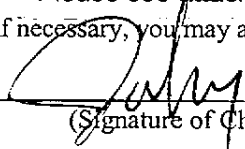
Address: 800 Nicollet Mall, Minneapolis, Minnesota 55402-7020

Treasurer: Sandra G. Sponem

Address: 800 Nicollet Mall, Minneapolis, Minnesota 55402-7020

Please see attached Exhibit A for additional list of officers

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13. 
(Signature of Chairman, Vice Chairman, or any officer listed in number 12 of the application)

14. James L. Chosy, Secretary
(Typed or printed name and capacity of person signing application)

FILED
03 APR 28 PM 12:57
CLERK OF DISTRICT COURT
JULIA HASSE, CLERK
TALLAHASSEE, FLORIDA

EXHIBIT A

Officers (Cont. from 12B):

Title	Name	Address
Controller	Timothy L. Carter	800 Nicollet Mall, Minneapolis, MN 55402-7020
Asst. Treasurer	Susan G. Weinberg	800 Nicollet Mall, Minneapolis, MN 55402-7020
Asst. Secretary	Patrice D. Blaeser	800 Nicollet Mall, Minneapolis, MN 55402-7020

FILED
03 APR 28 PM 12:57
TALLAHASSEE, FLORIDA

Delaware

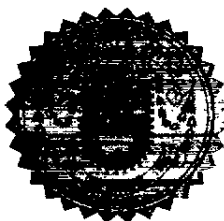
PAGE 1

The First State

I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY "U.S. BANCORP PIPER JAFFRAY COMPANIES INC." IS DULY INCORPORATED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL CORPORATE EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE TWENTY-FOURTH DAY OF APRIL, A.D. 2003.

AND I DO HEREBY FURTHER CERTIFY THAT THE ANNUAL REPORTS HAVE BEEN FILED TO DATE.

AND I DO HEREBY FURTHER CERTIFY THAT THE FRANCHISE TAXES HAVE BEEN PAID TO DATE.



0799035 8300

030267720

Harriet Smith Windsor

Harriet Smith Windsor, Secretary of State

AUTHENTICATION: 2382443

DATE: 04-24-03