

2008 FOR PROFIT CORPORATION ANNUAL REPORT

FILED
Apr 28, 2008 8:00 am
Secretary of State

04-28-2008 90395 043 ***150.00

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1. Entity Name
MMC SECURITIES CORP.



Principal Place of Business
**1166 AVENUE OF THE AMERICAS
NEW YORK, NY 10036**

Mailing Address
**121 RIVER STREET
TAX DEPT-5TH FLOOR
HOBOKEN, NJ 07030**

2. Principal Place of Business - No P.O. Box #

3. Mailing Address
121 RIVER STREET

Suite, Apt. #, etc.

Suite, Apt. #, etc.
TAX DEPT - 11th FL.

City & State

City & State
HOBOKEN, NJ

Zip

Country

Zip
07030

Country

04152008

Chg-P

CR2E034 (12/06)

4. FEI Number

06-1685865

Applied For

Not Applicable

5. Certificate of Status Desired ☐

**\$8.75 Additional
Fee Required**

6. Name and Address of Current Registered Agent

**C T CORPORATION-SYSTEM
1200 SOUTH PINE ISLAND ROAD
PLANTATION, FL 33324**

7. Name and Address of New Registered Agent

Name

Street Address (P.O. Box Number is Not Acceptable)

City

FL

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. I am familiar with, and accept the obligations of registered agent.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

**FILE NOW!!! FEE IS \$150.00
After May 1, 2008 Fee will be \$550.00**

9. Election Campaign Financing
Trust Fund Contribution. ☐

\$5.00 May Be
Added to Fees

10. OFFICERS AND DIRECTORS

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
**PDT
BAKER, STEPHEN G
1166 AVENUE OF THE AMERICAS
NEW YORK, NY 10036** ☒ Delete

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
**SD
HAPPE, MARK J
1166 AVENUE OF THE AMERICAS
NEW YORK, NY 10036** ☒ Delete

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
**D
ASAFF, ERNEST-M
1166 AVENUE OF THE AMERICAS
NEW YORK, NY 10036** ☒ Delete

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
**D
LEDBETTER, CHARLES
1225 17TH STREET
DENVER, CO 80202** ☐ Delete

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
☐ Delete

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
☐ Delete

11. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
**PRES/DIR
MARK HAPPE
1166 AVENUE OF THE AMERICAS
NEW YORK, NY 10036** ☒ Change ☐ Addition

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
**SEC
MARIANE LIEBOWITZ
1166 AVENUE OF THE AMERICAS
NEW YORK, NY 10036** ☒ Change ☐ Addition

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
**CONTROLLER
CHRISTINE O'KEEFE
121 RIVER STREET
HOBOKEN, NJ 07030** ☐ Change ☒ Addition

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
☐ Change ☐ Addition

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
☐ Change ☐ Addition

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
☐ Change ☐ Addition

12. I hereby certify that the information supplied with this filing does not qualify for the exemptions contained in Chapter 119, Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 10 or Block 11 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE: Christine O'Keefe
SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

4/21/08
Date

Daytime Phone #