

# F0300000 1300

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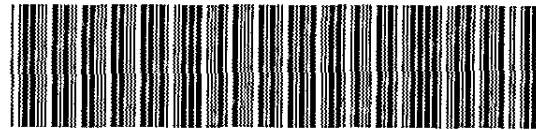
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TALLAHASSEE, FLORIDA

## TRANSMITTAL LETTER

**TO:** Registration Section  
Division of Corporations

**SUBJECT:** BANIF SECURITIES INC.  
(Name of corporation - must include suffix)

Dear Sir or Madam:

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida", "Certificate of Existence", and check are submitted to register the above referenced foreign corporation to transact business in Florida.

Please return all correspondence concerning this matter to the following:

William J. Dockery  
(Name of Person)

(Firm/Company)

17 Battery Place (1226)  
(Address)

New York, New York 10004  
(City/State and Zip code)

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TALLAHASSEE, FLORIDA

For further information concerning this matter, please call:

William J. Dockery at (212 ) 425-6150  
(Name of Person) (Area Code & Daytime Telephone Number)

**STREET ADDRESS:**  
Registration Section  
Division of Corporations  
409 E. Gaines St.  
Tallahassee, FL 32399

**MAILING ADDRESS:**  
Registration Section  
Division of Corporations  
P.O. Box 6327  
Tallahassee, FL 32314

Enclosed is a check for the following amount:

- ☐ \$70.00 Filing Fee    ☐ \$78.75 Filing Fee & Certificate of Status    ☐ \$78.75 Filing Fee & Certified Copy    ☒ \$87.50 Filing Fee, Certificate of Status & Certified Copy

# APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT BUSINESS IN FLORIDA

IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.

1. BANIF SECURITIES INC.

(Name of corporation; must include the word "INCORPORATED", "COMPANY", "CORPORATION" or words or abbreviations of like import in language as will clearly indicate that it is a corporation instead of a natural person or partnership if not so contained in the name at present.)

2. New York

(State or country under the law of which it is incorporated)

3. 13-3781548

(FEI number, if applicable)

4. August 5, 1994

(Date of incorporation)

5. Perpetual

(Duration: Year corp. will cease to exist or "perpetual")

6. Upon qualification

(Date first transacted business in Florida. If corporation has not transacted business in Florida, insert "upon qualification.")  
(SEE SECTIONS 607.1501, 607.1502 and 817.155, F.S.)

7. 40 Wall Street, 33rd Floor, New York, New York 10005-1305

(Principal office address)

Same

(Current mailing address)

8. To engage in any lawful act or activity for which corporation may be organized under the Business Corporation Law of the State of New York and the State of Florida

(Purpose(s) of corporation authorized in home state or country to be carried out in state of Florida)

9. Name and street address of Florida registered agent: (P.O. Box or Mail Drop Box **NOT** acceptable)

Name: Hugo Del Priore

Office Address: Banif Financial Services, Inc.

1001 Brickell Bay Drive, Suite 1712

Miami, Florida 33131

(City)

(Zip code)

10. Registered agent's acceptance:

Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.

X

(Registered agent's signature)

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

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TALLAHASSEE, FLORIDA

## 12. Names and business addresses of officers and/or directors:

## A. DIRECTORS

Chairman: SERGIO CAPELAAddress: c/o Banif Securities, Inc.40 Wall Street, 33rd Floor, New York, N.Y. 10005-1305

Vice Chairman: \_\_\_\_\_

Address: \_\_\_\_\_

Director: JULIO RODRIGUESAddress: c/o Banif Securities, Inc.40 Wall Street, 33rd Floor, New York, N.Y. 10005-1305Director: ALFONSO G. FINOCCHIAROAddress: c/o Banif Securities, Inc.40 Wall Street, 33rd Floor, New York, N.Y. 10005-1305

## B. OFFICERS

President, CEO SERGIO CAPELA& Treasurer c/o Banif Securities, Inc.Address: 40 Wall Street, 33rd Floor, New York, N.Y. 10005-1305Vice President: GEORGE E. REXINGAddress: c/o Banif Securities, Inc.40 Wall Street, 33rd Floor, New York, N.Y. 10005-1305Vice President & Secretary: RICHARD KAILER c/o Banif Securities, Inc.Address: 40 Wall Street, 33rd Floor, New York, N.Y. 10005-1305Vice President EDWIN J. GONZALEZ SR. c/o Banif Securities, Inc.Address: 40 Wall Street, 33rd Floor, New York, N.Y. 10005-1305

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13. 

(Signature of Chairman, Vice Chairman, or any officer listed in number 12 of the application)

14. SERGIO CAPELA, PRESIDENT & CEO

(Typed or printed name and capacity of person signing application)

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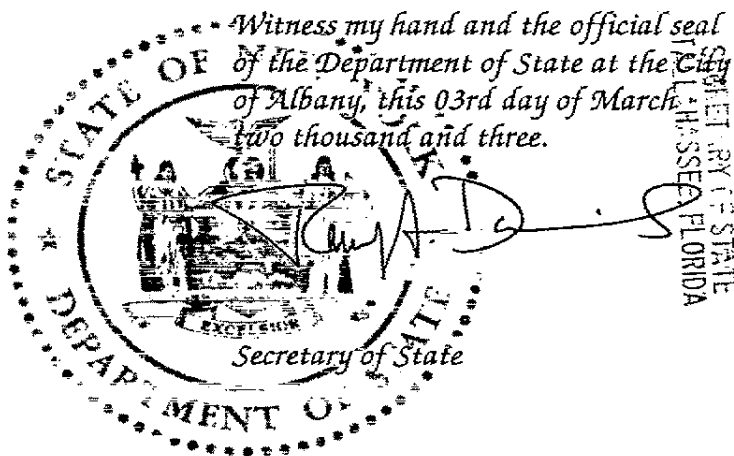
State of New York  
Department of State | ss:

I hereby certify, that the Certificate of Incorporation of BANIF SECURITIES, INC. was filed on 08/05/1994, under the name of INDUSVAL USA CORP., with perpetual duration, and that a diligent examination has been made of the Corporate index for documents filed with this Department for a certificate, order, or record of a dissolution, and upon such examination, no such certificate, order or record has been found, and that so far as indicated by the records of this Department, such corporation is a subsisting corporation.

A Certificate of Amendment INDUSVAL USA CORP., changing its name to BANIF SECURITIES, INC., was filed 05/03/2002.

The Biennial Statement is past due.

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