

# F030000001154

(Requestor's Name)

(Address)

(Address)

(City/State/Zip/Phone #)

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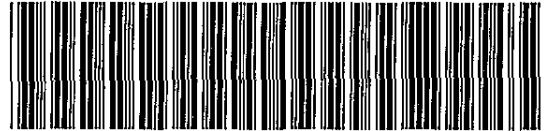
(Business Entity Name)

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03 MAR -7 AM 10:28  
DEPT. OF REVENUE  
DIVISION OF CORPORATIONS  
TALLAHASSEE, FLORIDA

*BN*

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SECRETARY OF STATE  
TALLAHASSEE, FLORIDA



CORPORATION SERVICE COMPANY™

ACCOUNT NO. : 072100000032

REFERENCE : 938521 4804310

AUTHORIZATION : *Patricia Pigute*

COST LIMIT : \$ 70.00

ORDER DATE : February 20, 2003

ORDER TIME : 9:16 AM

ORDER NO. : 938521-010

CUSTOMER NO: 4804310

CUSTOMER: Joseph Mignone, Legal Asst  
Alston & Bird LLP  
15th Floor  
90 Park Avenue  
New York, NY 10016-1387

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STATE  
FLORIDA  
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FOREIGN FILINGS

NAME: ATLANTIC TRUST ADVISORS,  
INC.

XXXX QUALIFICATION (TYPE: CO)

PLEASE RETURN THE FOLLOWING AS PROOF OF FILING:

XX PLAIN STAMPED COPY

CONTACT PERSON: Ginger Simmons -- EXT# 1139

EXAMINER: \_\_\_\_\_

**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT  
BUSINESS IN FLORIDA**

*IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO  
REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.*

1. ATLANTIC TRUST ADVISORS, INC.

(Name of corporation; must include the word "INCORPORATED", "COMPANY", "CORPORATION" or words or abbreviations of like import in language as will clearly indicate that it is a corporation instead of a natural person or partnership if not so contained in the name at present.)

2. New York

(State or country under the law of which it is incorporated)

3. 13-3996964

(FEI number, if applicable)

4. 02/12/1998

(Date of incorporation)

5. perpetual

(Duration: Year corp. will cease to exist or "perpetual")

6. upon qualification

(Date first transacted business in Florida. If corporation has not transacted business in Florida, insert "upon qualification.")  
(SEE SECTIONS 607.1501, 607.1502 and 817.155, F.S.)

7. 50 Rockefeller Plaza, 15th Floor, New York, NY 10020

(Principal office address)

same

(Current mailing address)

Investment Services

8. \_\_\_\_\_

(Purpose(s) of corporation authorized in home state or country to be carried out in state of Florida)

9. Name and street address of Florida registered agent: (P.O. Box or Mail Drop Box NOT acceptable)

Name: Corporation Service Company

Office Address: 1201 Hays Street

Tallahassee

(City)

, Florida 32301

(Zip code)

10. Registered agent's acceptance:

*Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.*

Corporation Service Company

(Registered agent's signature)

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

12. Names and business addresses of officers and/or directors:

A. DIRECTORS

Chairman: See attached officers/directors rider

Address: \_\_\_\_\_

Vice Chairman: \_\_\_\_\_

Address: \_\_\_\_\_

Director: \_\_\_\_\_

Address: \_\_\_\_\_

Director: \_\_\_\_\_

Address: \_\_\_\_\_

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B. OFFICERS

President: See attached officers/directors rider

Address: \_\_\_\_\_

Vice President: \_\_\_\_\_

Address: \_\_\_\_\_

Secretary: \_\_\_\_\_

Address: \_\_\_\_\_

Treasurer: \_\_\_\_\_

Address: \_\_\_\_\_

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13. \_\_\_\_\_

(Signature of Chairman, Vice Chairman, or any officer listed in number 12 of the application)

14. \_\_\_\_\_

(Typed or printed name and capacity of person signing application)

**RIDER**  
**ATLANTIC TRUST ADVISORS, INC.**

**OFFICERS:**

<u>Name</u>	<u>Office</u>
John Markwalter	President and Chief Executive Officer
Marc Keller	Vice President
Thomas Slaughter	Vice President
John Bini	Treasurer
Allan Kiser	Chief Compliance Officer
Paul Elmlinger	Secretary
Nancy Sloane	Assistant Secretary

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ADDRESS: 50 Rockefeller Plaza, 15<sup>th</sup> Floor, New York, NY 10020

**DIRECTORS:**

Marc Keller  
Steven Prostano  
Mark Santero

ADDRESS: 50 Rockefeller Plaza, 15<sup>th</sup> Floor, New York, NY 10020

**State of New York } ss:  
Department of State**

I hereby certify, that the Certificate of Incorporation of ATLANTIC TRUST ADVISORS, INC. was filed on 02/12/1998, under the name of DELPHI ASSET MANAGEMENT, INC., with perpetual duration, and that a diligent examination has been made of the Corporate index for documents filed with this Department for a certificate, order, or record of a dissolution, and upon such examination, no such certificate, order or record has been found, and that so far as indicated by the records of this Department, such corporation is a subsisting corporation.

A Certificate of Amendment DELPHI ASSET MANAGEMENT, INC., changing name to WHITEHALL ASSET MANAGEMENT, INC., was filed 12/28/1999.

A Certificate of Amendment WHITEHALL ASSET MANAGEMENT, INC., changing its name to ATLANTIC TRUST ADVISORS, INC., was filed 03/05/2003.



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*Witness my hand and the official seal  
of the Department of State at the City  
of Albany, this 05th day of March  
two thousand and three.*

*Secretary of State*

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SECRETARY OF STATE  
ALBANY, NEW YORK