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(Requestor's Name)

(Address)

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(City/State/Zip/Phone #)

☐ PICK-UP ☐ WAIT ☐ MAIL

(Business Entity Name)

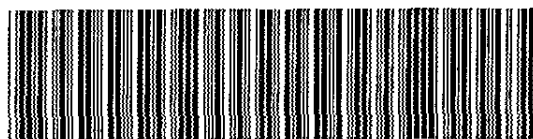
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03 MAR -5 AM 9:12
SECRETARY OF STATE
TALLAHASSEE, FLORIDA

STANTON
INVESTMENT
Services, Inc.

February 28, 2003

Registration Section
Division of Corporations
P. O. Box 6327
Tallahassee, FL 32314

Re: Certificate of Authority for Stanton Investment Services, Inc..

Dear Sir or Madam:

Enclosed please find the following documents for Stanton Investment Services, Inc. to obtain a certificate of authority in the State of Florida:

1. Our check in the amount of \$70.00 covering the filing fee.
2. A completed *Transmittal Letter*.
3. A completed *Application by Foreign Corporation for Authorization to Transact Business in Florida* along with a list of the officers and directors of Stanton Investment Services, Inc.
4. An original *Certificate of Existence* issued by the Iowa Secretary of State.
5. A pre-addressed mailing label for your convenience in mailing our certificate.

If you have any questions or require additional information please contact me at 763-278-4530 or e-mail me at mhanken@stanton-group.com.

Thank you for taking time to review our application. We look forward to hearing from you soon.

Sincerely,



Molly Hanken
Compliance/Registrations

Enclosures

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TALLAHASSEE, FLORIDA

TRANSMITTAL LETTER

TO: Registration Section
Division of Corporations

SUBJECT: Stanton Investment Services, Inc.
(Name of corporation - must include suffix)

Dear Sir or Madam:

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida", "Certificate of Existence", and check are submitted to register the above referenced foreign corporation to transact business in Florida.

Please return all correspondence concerning this matter to the following:

Molly Hanken
(Name of Person)

Stanton Investment Services, Inc.
(Firm/Company)

3405 Annapolis Lane North
(Address)

Minneapolis, MN 55447
(City/State and Zip code)

For further information concerning this matter, please call:

Molly Hanken at (763) 278-4530
(Name of Person) (Area Code & Daytime Telephone Number)

STREET ADDRESS:
Registration Section
Division of Corporations
409 E. Gaines St.
Tallahassee, FL 32399

MAILING ADDRESS:
Registration Section
Division of Corporations
P.O. Box 6327
Tallahassee, FL 32314

Enclosed is a check for the following amount:

- ☒ \$70.00 Filing Fee ☐ \$78.75 Filing Fee & Certificate of Status ☐ \$78.75 Filing Fee & Certified Copy ☐ \$87.50 Filing Fee, Certificate of Status & Certified Copy

**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT
BUSINESS IN FLORIDA**

*IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO
REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.*

1. Stanton Investment Services, Inc.
(Name of corporation; must include the word "INCORPORATED", "COMPANY", "CORPORATION" or words or abbreviations of like import in language as will clearly indicate that it is a corporation instead of a natural person or partnership if not so contained in the name at present.)

2. Iowa 3. 41-1798304
(State or country under the law of which it is incorporated) (FEI number, if applicable)

4. 08-08-1991 5. Perpetual
(Date of incorporation) (Duration: Year corp. will cease to exist or "perpetual")

6. Upon Qualification
(Date first transacted business in Florida. If corporation has not transacted business in Florida, insert "upon qualification" (SEE SECTIONS 607.1501, 607.1502 and 817.155, F.S.)

7. 3405 Annapolis Lane North, Plymouth, MN 55447
(Principal office address)

3405 Annapolis Lane North, Plymouth, MN 55447
(Current mailing address)

8. Securities Broker-Dealer
(Purpose(s) of corporation authorized in home state or country to be carried out in state of Florida)

9. **Name and street address of Florida registered agent:** (P.O. Box or Mail Drop Box **NOT** acceptable)

Name: C T Corporation System

Office Address: 1200 South Pine Island Road,
Plantation, , Florida 33324
(City) (Zip code)

10. Registered agent's acceptance:

Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.

C T Corporation System

By: Andrea Mittyng **Andrea Mittyng**
(Registered agent's signature) **Assistant Secretary**

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

12. Names and business addresses of officers and/or directors:

A. DIRECTORS

Chairman: See attached List of Officers and Directors

Address:

Vice Chairman:

Address:

Director:

Address:

Director:

Address:

B. OFFICERS

President:

Address:

Vice President:

Address:

Secretary:

Address:

Treasurer:

Address:

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13.



(Signature of Chairman, Vice Chairman, or any officer listed in number 12 of the application)

14. Catherine M. Zimmerman, CFO/CCO/Secretary

(Typed or printed name and capacity of person signing application)

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SECRETARY OF STATE
TALLAHASSEE, FLORIDA

Stanton Investment Services, Inc.

Officers & Directors

2003

<u>NAME</u>	<u>TITLE</u>	<u>BUSINESS ADDRESS</u>
J. Scott Spiker	CEO, Director	3405 Annapolis Lane N. Plymouth, MN 55447
Catherine M. Zimmerman	CFO, CCO, Secretary	3405 Annapolis Lane N. Plymouth, MN 55447
Ward D. Ring, Jr.	General Securities Principal and Sales Supervisor	3405 Annapolis Lane N. Plymouth, MN 55447
Stanton Group Holdings, Inc.	Shareholder	3405 Annapolis Lane N. Plymouth, MN 55447
Anne E. Nevin	Director	3405 Annapolis Lane N. Plymouth, MN 55447
Jeffrey R. Nevin	Director	3405 Annapolis Lane N. Plymouth, MN 55447
Thomas R. Singsank	Director	3405 Annapolis Lane N. Plymouth, MN 55447

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Date: 01/17/2003

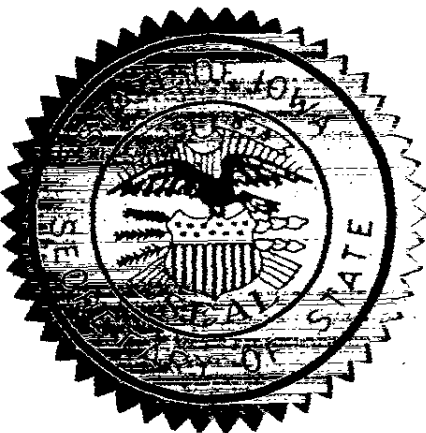
SECRETARY OF STATE

490 DP-000152165
STANTON INVESTMENT SERVICES, INC.
MOLLY HANKEN
3405 ANNAPOLIS LANE NORTH
PLYMOUTH, MN 55447

CERTIFICATE OF EXISTENCE

Name: STANTON INVESTMENT SERVICES, INC.
Begin date: 08/08/1991
Expiration: PERPETUAL

I, CHESTER J. CULVER, secretary of state of the state of Iowa, custodian of the records of incorporations, certify that the corporation named on this certificate is in existence and was duly incorporated under the laws of Iowa on the date printed above, that all fees required by the Iowa Business Corporation Act have been paid by the corporation, that the most recent biennial corporate report has been filed by the secretary of state, and that articles of dissolution have not been filed.



CHESTER J. CULVER SECRETARY OF STATE



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