

F03000000987

(Requestor's Name)

(Address)

(Address)

(City/State/Zip/Phone #)

☐ PICK-UP ☐ WAIT ☐ MAIL

(Business Entity Name)

(Document Number)

Certified Copies _____ Certificates of Status _____

Special Instructions to Filing Officer:

Office Use Only



600012555246

FILED
2003 FEB 26 PM 1:21
DIVISION OF CORPORATIONS
TALLAHASSEE, FLORIDA

RECEIVED
2003 FEB 25 PM 3:58
DIVISION OF CORPORATIONS
TALLAHASSEE, FLORIDA

J. BRYAN FEB 27 2003



CORPORATION SERVICE COMPANY™

ACCOUNT NO. : 072100000032

REFERENCE : 938521 4804310

AUTHORIZATION :

Patricia Pajuta

COST LIMIT : \$ 70.00

FILED
2003 FEB 26 PM 1:21
DIVISION OF CORPORATIONS
TALLAHASSEE, FLORIDA

ORDER DATE : February 20, 2003

ORDER TIME : :59 PM

ORDER NO. : 938521-035

CUSTOMER NO: 4804310

CUSTOMER: Joseph Mignone, Legal Asst
Alston & Bird LLP
90 Park Avenue
15th Floor
New York, NY 10016-1387

FOREIGN FILINGS

NAME: AT INVESTOR SERVICES, INC.

XXXX QUALIFICATION (TYPE: CO)

PLEASE RETURN THE FOLLOWING AS PROOF OF FILING:

 CERTIFIED COPY
XX PLAIN STAMPED COPY
 CERTIFICATE OF GOOD STANDING

CONTACT PERSON: Susie Knight -- EXT# 1156

EXAMINER: _____

**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT
BUSINESS IN FLORIDA**

*IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO
REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.*

1. AT Investor Services, Inc.

(Name of corporation; must include the word "INCORPORATED", "COMPANY", "CORPORATION" or words or abbreviations of like import in language as will clearly indicate that it is a corporation instead of a natural person or partnership if not so contained in the name at present.)

2. New York

(State or country under the law of which it is incorporated)

3. 13-3996866

(FEI number, if applicable)

4. 02/26/98

(Date of incorporation)

5. perpetual

(Duration: Year corp. will cease to exist or "perpetual")

6. Upon qualification

(Date first transacted business in Florida. If corporation has not transacted business in Florida, insert "upon qualification.")
(SEE SECTIONS 607.1501, 607.1502 and 817.155, F.S.)

7. 50 Rockefeller Plaza, 15th Floor, New York, NY 10020

(Principal office address)

same

(Current mailing address)

Investment Services

8. _____

(Purpose(s) of corporation authorized in home state or country to be carried out in state of Florida)

9. Name and street address of Florida registered agent: (P.O. Box or Mail Drop Box **NOT** acceptable)

Name: Corporation Service Company

Office Address: 1201 Hays Street

Tallahassee

(City)

Florida 32301

(Zip code)

10. Registered agent's acceptance:

Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.

Corporation Service Company

May J. Jones
(Registered agent's signature)

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

FILED
2003 FEB 26 PM 1:21
DIVISION OF CORPORATIONS
TALLAHASSEE, FLORIDA

12. Names and business addresses of officers and/or directors:

A. DIRECTORS

Chairman: See attached officers/directors rider

Address: _____

Vice Chairman: _____

Address: _____

Director: _____

Address: _____

Director: _____

Address: _____

B. OFFICERS

President: See attached officers/directors rider

Address: _____

Vice President: _____

Address: _____

Secretary: _____

Address: _____

Treasurer: _____

Address: _____

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13. Paul J. Elumkin
(Signature of Chairman, Vice Chairman, or any officer listed in number 12 of the application)

14. Paul J. Elumkin, Secy
(Typed or printed name and capacity of person signing application)

FILED
2009 FEB 26 PM 1:21
DIVISION OF CORPORATIONS
TALLAHASSEE, FLORIDA

**RIDER
AT INVESTOR SERVICES, INC.**

OFFICERS:

<u>Name</u>	<u>Office</u>
Stephen Proitano	President and Chief Executive Officer
Marc Keller	Vice President
John Bini	Treasurer
Allan Kiser	Chief Compliance Officer
Paul Elmlinger	Secretary
Nancy Sloane	Assistant Secretary

ADDRESS: 50 Rockefeller Plaza, 15th Floor, New York, NY 10020

DIRECTORS:

Marc Keller
John Markwalter
Anne Surrent

ADDRESS: 50 Rockefeller Plaza, 15th Floor, New York, NY 10020

FILED
2003 FEB 26 PM 1:21
DIVISION OF CORPORATIONS
TALLAHASSEE, FLORIDA

**State of New York } ss:
Department of State**

FILED
2003 FEB 26 PM 1:21
DIVISION OF CORPORATIONS
TALLAHASSEE, FLORIDA

I hereby certify, that the Certificate of Incorporation of AT INVESTOR SERVICES, INC. was filed on 02/26/1998, under the name of IBJ SCHRODER SECURITIES, INC., with perpetual duration, and that a diligent examination has been made of the Corporate index for documents filed with this Department for a certificate, order, or record of a dissolution, and upon such examination, no such certificate, order or record has been found, and that so far as indicated by the records of this Department, such corporation is a subsisting corporation.

A Certificate of Amendment IBJ SCHRODER SECURITIES, INC., changing its name to IBJ WHITEHALL SECURITIES, INC., was filed 12/30/1998.

A Certificate of Amendment IBJ WHITEHALL SECURITIES, INC., changing its name to AT INVESTOR SERVICES, INC., was filed 02/24/2003.



*Witness my hand and the official seal
of the Department of State at the City
of Albany, this 24th day of February
two thousand and three.*

Secretary of State