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TRANSMITTAL LETTER

TO: Registration Section
Division of Corporations

SUBJECT: RBC Centura Securities Inc
(Name of corporation - must include suffix)

Dear Sir or Madam:

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida", "Certificate of Existence", and check are submitted to register the above referenced foreign corporation to transact business in Florida.

Please return all correspondence concerning this matter to the following:

Laura Meyer Sims
(Name of Person)
RBC Centura Securities Inc
(Firm/Company)
7852 Arboretum Dr 2nd Floor
(Address)
Charlotte NC 28270
(City/State and Zip code)

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For further information concerning this matter, please call:

Laura Sims at (704) 686-1455
(Name of Person) (Area Code & Daytime Telephone Number)

STREET ADDRESS:

Registration Section
Division of Corporations
409 E. Gaines St.
Tallahassee, FL 32399

MAILING ADDRESS:

Registration Section
Division of Corporations
P.O. Box 6327
Tallahassee, FL 32314

Enclosed is a check for the following amount:

- ☐ \$70.00 Filing Fee ☐ \$78.75 Filing Fee & Certificate of Status ☐ \$78.75 Filing Fee & Certified Copy ☒ \$87.50 Filing Fee, Certificate of Status & Certified Copy

**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT
BUSINESS IN FLORIDA**

*IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO
REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.*

1. RBC Centura Securities Inc
(Name of corporation; must include the word "INCORPORATED", "COMPANY", "CORPORATION" or words or abbreviations of like import in language as will clearly indicate that it is a corporation instead of a natural person or partnership if not so contained in the name at present.)
2. North Carolina 3. 56-1863246
(State or country under the law of which it is incorporated) (FEI number, if applicable)
4. January 27, 1994 5. Perpetual
(Date of incorporation) (Duration: Year corp. will cease to exist or "perpetual")
6. upon qualification
(Date first transacted business in Florida. If corporation has not transacted business in Florida, insert "upon qualification."
(SEE SECTIONS 607.1501, 607.1502 and 817.155, F.S.)
7. 7852 Arboretum Dr., 2nd FL Charlotte NC 28270
(Principal office address)
7852 Arboretum Dr., 2nd Floor Charlotte NC 28270
(Current mailing address)
8. Securities dealer and investment adviser
(Purpose(s) of corporation authorized in home state or country to be carried out in state of Florida)
9. Name and street address of Florida registered agent: (P.O. Box or Mail Drop Box NOT acceptable)
Name: CT Corporation System
Office Address: 1200 South Pine Island Rd
Plantation, Florida 33324
(City) (Zip code)

10. Registered agent's acceptance:

Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.


(Registered agent's signature)

Allan Farnell, Vice President

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

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12. Names and business addresses of officers and/or directors:

A. DIRECTORS

Director
Chairman: Terry Scott Earley

Address: 1417 Centura Highway
Rocky Mount NC 27804

Director
Vice-Chairman: Shauneen Elizabeth Bruder

Address: 1417 Centura Highway
Rocky Mount NC 27804

Director: H. Kel Lordis III

Address: 1417 Centura Highway
Rocky Mount NC 27804

Director: Scott Meredith Custer

Address: 1417 Centura Highway
Rocky Mount NC 27804

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B. OFFICERS

President: Joseph Grant Manning

Address: 7852 Armoretum Dr., 2nd FL
Charlotte NC 28270

Vice President/Tax: Barbara Arthur Rich

Address: 1417 Centura Highway
Rocky Mount NC 27804

Secretary: Lawrence M. Lowman

Address: 7852 Armoretum Dr., 2nd FL Charlotte NC 28270

Treasurer: Joseph Grant Manning

Address: 7852 Armoretum Dr., 2nd FL Charlotte NC 28270

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13. Lawrence M. Lowman
(Signature of Chairman, Vice Chairman, or any officer listed in number 12 of the application)

14. Lawrence M. Lowman, Secretary
(Typed or printed name and capacity of person signing application)

Application by Foreign Corporation for Authorization to Transact Business in Florida
RBC Centura Securities, Inc.
FEI: 56-186-3246

Addendum page to #12:

A. Director: Paul Stuart Musgrove
Address: 1417 Centura Highway
Rocky Mount, NC 27804

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State of North Carolina

Department of The Secretary of State

CERTIFICATE OF EXISTENCE

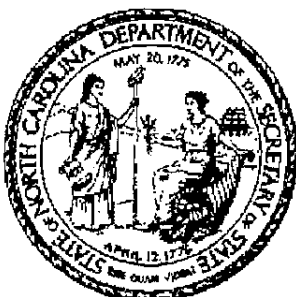
I, **ELAINE F. MARSHALL**, Secretary of State of the State of North Carolina, do hereby certify that

RBC CENTURA SECURITIES, INC.

is a corporation duly incorporated under the laws of the State of North Carolina, having been incorporated on the 27th day of January, 1994, with its period of duration being Perpetual.

I **FURTHER** certify that, as of the date set forth hereunder, the said corporation's articles of incorporation are not suspended for failure to comply with the Revenue Act of the State of North Carolina; that the said corporation is not administratively dissolved for failure to comply with the provisions of the North Carolina Business Corporation Act; that its most recent annual report required by N.C.G.S. 55-16-22 **has been** delivered to the Secretary of State; and that the said corporation has not filed articles of dissolution as of the date of this certificate.

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IN WITNESS WHEREOF, I have hereunto set my hand and affixed my official seal at the City of Raleigh, this 30th day of January, 2003.

Elaine F. Marshall

Secretary of State