

2003 FOR PROFIT CORPORATION UNIFORM BUSINESS REPORT (UBR)

FILED
Apr 07, 2003 8:00 am
Secretary of State

04-07-2003 90980 015 ***150.00

DOCUMENT # F02000005617

1. Entity Name
AMBAC SECURITIES, INC.



Principal Place of Business
**905 MARCONI AVENUE
RONKONKOMA, NY 11779**

Mailing Address
**905 MARCONI AVENUE
RONKONKOMA, NY 11779**

2. Principal Place of Business

3. Mailing Address

Suite, Apt. #, etc.

Suite, Apt. #, etc.

City & State

City & State

Zip

Country

Zip

Country



☐ CHECK HERE IF MAKING CHANGES

4. FEI Number
13-3910429

Applied For
☐ Not Applicable

5. Certificate of Status Desired ☐

\$8.75 Additional
Fee Required

6. Name and Address of Current Registered Agent

7. Name and Address of New Registered Agent

**C T CORPORATION SYSTEM
1200 SOUTH PINE ISLAND ROAD
PLANTATION, FL 33324**

Name

Street Address (P.O. Box Number is Not Acceptable)

City

FL

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. I am familiar with, and accept the obligations of registered agent.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent's signature required when resigning)

DATE

FILE NOW!!! FEE IS \$150.00
After May 1, 2003 Fee will be \$550.00
Make Check Payable to Florida Department of State

9. Election Campaign Financing
Trust Fund Contribution. ☐

\$5.00 May Be
Added to Fees

10. OFFICERS AND DIRECTORS

11. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE **P** ☐ Delete
NAME **BURKE, KEVIN J**
STREET ADDRESS **905 MARCONI AVENUE**
CITY-ST-ZIP **RONKONKOMA, NY 11779**

TITLE ☐ Change ☐ Addition
NAME
STREET ADDRESS
CITY-ST-ZIP

TITLE **T** ☐ Delete
NAME **GANDOLFO, THOMAS J**
STREET ADDRESS **905 MARCONI AVENUE**
CITY-ST-ZIP **RONKONKOMA, NY 11779**

TITLE ☐ Change ☐ Addition
NAME
STREET ADDRESS
CITY-ST-ZIP

TITLE **MD** ☐ Delete
NAME **DYMANT, STEVEN L**
STREET ADDRESS **905 MARCONI AVENUE**
CITY-ST-ZIP **RONKONKOMA, NY 11779**

TITLE ☐ Change ☐ Addition
NAME
STREET ADDRESS
CITY-ST-ZIP

TITLE **MD** ☐ Delete
NAME **GORDON, SCOTT**
STREET ADDRESS **905 MARCONI AVENUE**
CITY-ST-ZIP **RONKONKOMA, NY 11779**

TITLE ☐ Change ☐ Addition
NAME
STREET ADDRESS
CITY-ST-ZIP

TITLE **MD** ☐ Delete
NAME **KUMASAKI, ROD**
STREET ADDRESS **905 MARCONI AVENUE**
CITY-ST-ZIP **RONKONKOMA, NY 11779**

TITLE ☐ Change ☐ Addition
NAME
STREET ADDRESS
CITY-ST-ZIP

TITLE **MD** ☐ Delete
NAME **RAMOS, EULOGIO A**
STREET ADDRESS **905 MARCONI AVENUE**
CITY-ST-ZIP **RONKONKOMA, NY 11779**

TITLE ☐ Change ☐ Addition
NAME
STREET ADDRESS
CITY-ST-ZIP

12. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 10 or Block 11 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE:

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

Fred Jensen, V.P.

Date

4/3/03

Daytime Phone #

1800 221 4524

CR2E034 (10/02)

Attachment

30049786

#FD000005617

AMBAC SECURITIES, INC.

The following is a current listing of all Officers and Directors of the above referenced Delaware corporation as of January 2003, with their principal place of business being 905 Marconi Avenue, Ronkonkoma, New York 11779:

Kevin J. Burke	Chairman, President and Chief Executive Officer
Thomas J. Gandolfo	Senior Vice President, Treasurer And Chief Financial Officer
Steven L. Dymant	Managing Director
Scott Gordon	Managing Director
Rod Kumasaki	Managing Director
Cathy Matanle	Managing Director
Rajendra Persaud	Managing Director
Eulogio A. Ramos	Managing Director and Chief Investment Officer
Michael J. Schozer	Managing Director
Douglas C. Renfield-Miller	Managing Director
Robert G. Shoback	Managing Director
Frederick J. Bailey	First Vice President
Diane F. Barlow	First Vice President and Head of Credit
Robert C. Brownlee	First Vice President
Danielle Buell	First Vice President, Financial Controller and Assistant Treasurer
Michael Cush	First Vice President
Robert G. Donovan	First Vice President
Brian Feit	First Vice President
Florence Fong-Lopez	First Vice President
Anne G. Gill, Esq.	First Vice President, General Counsel and Secretary
George Grimblias	First Vice President
Gordon Hoo	First Vice President
Eric Hurst	First Vice President
Wes Kirchhoff	First Vice President, Director of Taxes
Barry Levine	First Vice President
Chetan Marfatia	First Vice President
Alexander Masri	First Vice President
Gregory Mayer	First Vice President
Jennifer Meyer	First Vice President
Peter R. Poillon	First Vice President
David Salz	First Vice President
Beth A. Smith	First Vice President

ATTACHMENT

30049786
FO 2000005617

Timothy J. Stevens
Timothy P. Sullivan

First Vice President
First Vice President, Institutional Sales and
Relationship Manager
First Vice President

John Tsigakos

Donald E. Adams
Nicholas J. Aloe
Bob D. Brison
Donald N. Bungum
Robert Cloherty
Clifford Dale
Robert DeLasho
John A. DeNuzzo
George J. Dittenhoefer
Lois Eisel
Robert Fruh
Dalton F. Ham
Donn Hanson
Mark S. Harries
Darlene W. Janulis
Dale Jensen
Fred S. Jensen

Vice President
Vice President
Vice President and General Manager
Vice President
Vice President
Vice President
Vice President
Vice President
Vice President
Vice President
Vice President
Vice President
Vice President
Vice President
Vice President
Vice President, Chief Compliance Officer and
Assistant Secretary

Richard Kelly
James L. Kenney
Dr. Daniel A. Knueppel
Richard L. Learn
Christopher S. Lee
Robert Leli
Donald Levielle
Dr. Jimmie Linsin
Carole Lochr
Yu-Shih Liu
John R. McClellan
William McGee
Lorne Potash
Alex Rekada
Evelyn R. Robertson
Thomas Staskowski
Janet Veihman
Stephen R. Weiss

Vice President
Vice President
Vice President and General Manager
Vice President
Vice President
Vice President
Vice President
Vice President
Vice President
Vice President
Vice President
Vice President
Vice President
Vice President
Vice President
Vice President
Vice President

Maria T. Carrara

Assistant Vice President and
Assistant Controller

Jennifer L. Scheffel

Assistant Vice President and
Assistant Secretary

Adelade V. Sullivan
Jing Wen
Bongiwe E. Zungu

Assistant Vice President
Assistant Vice President
Assistant Secretary