
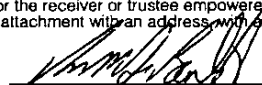


2007 FOR PROFIT CORPORATION ANNUAL REPORT

FILED
Apr 30, 2007 8:00 am
Secretary of State

04-30-2007 90420 036 ***150.00

DOCUMENT # F02000005225					
1. Entity Name SEGAL ADVISORS, INC.					
Principal Place of Business ONE PARK AVE. NEW YORK, NY 10016-5895			Mailing Address ONE PARK AVE. NEW YORK, NY 10016-5895		
2. Principal Place of Business - No P.O. Box #		3. Mailing Address			
Suite, Apt. #, etc.		Suite, Apt. #, etc.			
City & State		City & State			
Zip	Country	Zip	Country	4. FEI Number 13-2646110	
5. Certificate of Status Desired <input type="checkbox"/>				\$8.75 Additional Fee Required	
6. Name and Address of Current Registered Agent CORPORATION SERVICE COMPANY 1201 HAYS STREET TALLAHASSEE, FL 32301-2525			7. Name and Address of New Registered Agent Name Street Address (P.O. Box Number is Not Acceptable) City FL Zip Code		
8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. I am familiar with, and accept the obligations of registered agent.					
SIGNATURE _____ <small>Signature, typed or printed name of registered agent and title if applicable. (NOTE: Registered Agent signature required when reinstating.) DATE</small>					
FILE NOW!!! FEE IS \$150.00 After May 1, 2007 Fee will be \$550.00		9. Election Campaign Financing Trust Fund Contribution. <input type="checkbox"/>		\$5.00 May Be Added to Fees	
10. OFFICERS AND DIRECTORS			11. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11		
TITLE NAME STREET ADDRESS CITY-ST-ZIP	PCED LOCICERO, JOSEPH A ONE PARK AVE. NEW YORK, NY 100165895	<input type="checkbox"/> Delete			
TITLE NAME STREET ADDRESS CITY-ST-ZIP	DC KRINSKY, ROBERT ONE PARK AVE. NEW YORK, NY 100165895	<input checked="" type="checkbox"/> Delete			
TITLE NAME STREET ADDRESS CITY-ST-ZIP	SVPT DIBARTOLO, RICARDO M ONE PARK AVE. NEW YORK, NY 100165895	<input type="checkbox"/> Delete			
TITLE NAME STREET ADDRESS CITY-ST-ZIP	SVPS MILBERG, FRANCES ONE PARK AVE. NEW YORK, NY 100165895	<input type="checkbox"/> Delete			
TITLE NAME STREET ADDRESS CITY-ST-ZIP		<input type="checkbox"/> Delete			
TITLE NAME STREET ADDRESS CITY-ST-ZIP		<input type="checkbox"/> Delete			
TITLE NAME STREET ADDRESS CITY-ST-ZIP		<input type="checkbox"/> Delete			
TITLE NAME STREET ADDRESS CITY-ST-ZIP		<input type="checkbox"/> Delete			
12. I hereby certify that the information supplied with this filing does not qualify for the exemptions contained in Chapter 119, Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 10 or Block 11 if changed, or on an attachment with an address with all other like empowered.			SEE LIST ATTACHED		
SIGNATURE: 			RICARDO M. DIBARTOLO		
<small>SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR</small>			04/24/07 (212) 251-5461		
			<small>Date Daytime Phone #</small>		

40089639



04182007 Chg-P CR2E034 (12/06)

4. FEI Number
13-2646110

Applied For
Not Applicable

5. Certificate of Status Desired ☐ **\$8.75 Additional Fee Required**

6. Name and Address of Current Registered Agent

CORPORATION SERVICE COMPANY
1201 HAYS STREET
TALLAHASSEE, FL 32301-2525

7. Name and Address of New Registered Agent

Name
Street Address (P.O. Box Number is Not Acceptable)
City **FL** Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. I am familiar with, and accept the obligations of registered agent.

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Signature, typed or printed name of registered agent and title if applicable. (NOTE: Registered Agent signature required when reinstating.) DATE

FILE NOW!!! FEE IS \$150.00
After May 1, 2007 Fee will be \$550.00

9. Election Campaign Financing
Trust Fund Contribution. ☐ **\$5.00 May Be Added to Fees**

10. OFFICERS AND DIRECTORS

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
PCED
LOCICERO, JOSEPH A
ONE PARK AVE.
NEW YORK, NY 100165895

☐ Delete

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
DC
KRINSKY, ROBERT
ONE PARK AVE.
NEW YORK, NY 100165895

☒ Delete

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
SVPT
DIBARTOLO, RICARDO M
ONE PARK AVE.
NEW YORK, NY 100165895

☐ Delete

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
SVPS
MILBERG, FRANCES
ONE PARK AVE.
NEW YORK, NY 100165895

☐ Delete

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP

☐ Delete

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP

☐ Delete

11. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
☐ Change ☐ Addition

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
SEE LIST ATTACHED ☐ Change ☐ Addition

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
☐ Change ☐ Addition

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
☐ Change ☐ Addition

TITLE
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STREET ADDRESS
CITY-ST-ZIP
☐ Change ☐ Addition

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
☐ Change ☐ Addition

12. I hereby certify that the information supplied with this filing does not qualify for the exemptions contained in Chapter 119, Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 10 or Block 11 if changed, or on an attachment with an address with all other like empowered.

SIGNATURE:  **RICARDO M. DIBARTOLO**

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

04/24/07 (212) 251-5461

Date Daytime Phone #

ATTACHMENT
 400891639
 # F02000005225
 SEGAL ADVISORS, INC.

Effective June 16, 2006 through 2007 Annual Meeting

<u>Name</u>	<u>Office</u>	<u>Address</u>
Howard Fluhr	Director	One Park Ave., New York, NY 10016
Joseph A. LoCicero	Director	One Park Ave., New York, NY 10016
J. Tim Biddle	Director	120 Montgomery St., Suite 500, San Francisco, CA 94104
Myrna Hellerman	Director	101 N. Wacker Dr., Ste. 400, Chicago, IL 60606
John H. Cotton	Director	116 Huntington Ave., 8 th Fl., Boston, MA 02116
John DeMairo	Director	One Park Ave., New York, NY 10016
Ann Gineo	Director	30 Waterside Dr., Ste. 300, Farmington, CT 06032
Andrew Sherman	Director	116 Huntington Ave., 8 th Fl., Boston, MA 02116
Howard Fluhr	Chairman	One Park Ave., New York, NY 10016
Joseph A. LoCicero	President and Chief Executive Officer	One Park Ave., New York, NY 10016
John DeMairo	Senior Vice President, Chief Operating Officer and Chief Compliance Officer	One Park Ave., New York, NY 10016
Ricardo M. DiBartolo	Senior Vice President Treasurer and CFO	One Park Ave., New York, NY 10016
Frances Milberg	Senior Vice President, General Counsel and Secretary	One Park Ave., New York, NY 10016