

MGL

Consulting Corporation

10077 Grogan's Mill Road, Suite 300, The Woodlands, Texas 77380-1038 Phone 281-364-1452 Fax 281-364-1452 www.mglconsulting.com

F02000004587

September 4, 2002

Via Airborne Express

Florida Department of State  
Registration Section  
Division of Corporations  
409 East Gaines Street  
Tallahassee, FL 32399

300007570773--4  
-09/06/02--01053--001  
\*\*\*\*\*78.75 \*\*\*\*\*78.75

**RE: BB&T Financial Services, Inc. Application by Foreign Corporation For  
Authorization to Transact Business in Florida.**

Dear Sir/Madam:

With regard to the above, enclosed please find:

- The completed Application by Foreign Corporation For Authorization to Transact Business in Florida;
- A company check in the amount of \$78.75 filing & certified copy fee for the corporation; and
- One (1) Certificate of Existence dated 8/27/02 from the North Carolina Secretary of State, the state of domicile.
- One self-addressed airborne envelope for the return of the Certificate of Authorization.

SECRETARY OF STATE  
TALLAHASSEE, FLORIDA

02 SEP -6 AM 9:45

FILED

Our client is anxious to begin transacting business in Florida and would appreciate processing of this request as soon as possible.

Please return any correspondence to my attention at the following address:

MGL Consulting Corporation  
Attention: Gail Arcieri  
10077 Grogan's Mill Road, Suite 300  
The Woodlands, Texas 77380

Should you have any questions regarding this matter, please call me directly.

Sincerely,



Gail Arcieri  
Insurance Licensing Division  
GJA  
Enclosures (as stated)

F02-4587  
TC

## TRANSMITTAL LETTER

**TO:** Registration Section  
Division of Corporations

**SUBJECT:** BB&T Investment Services, Inc.  
(Name of corporation - must include suffix)

Dear Sir or Madam:

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida", "Certificate of Existence", and check are submitted to register the above referenced foreign corporation to transact business in Florida.

Please return all correspondence concerning this matter to the following:

Gail Arcieri  
(Name of Person)

MGL Consulting Corporation / Insurance Division  
(Firm/Company)

10077 Grogan's Mill Suite 300  
(Address)

The Woodlands, Texas 77380  
(City/State and Zip code)

For further information concerning this matter, please call:

Gail Arcieri at (281) 367-0380  
(Name of Person) (Area Code & Daytime Telephone Number)

**STREET ADDRESS:**  
Registration Section  
Division of Corporations  
409 E. Gaines St.  
Tallahassee, FL 32399

**MAILING ADDRESS:**  
Registration Section  
Division of Corporations  
P.O. Box 6327  
Tallahassee, FL 32314

Enclosed is a check for the following amount:

- ☐ \$70.00 Filing Fee      ☐ \$78.75 Filing Fee & Certificate of Status      ☒ \$78.75 Filing Fee & Certified Copy      ☐ \$87.50 Filing Fee, Certificate of Status & Certified Copy

MGL Consulting Corporation

02 SEP - 6 AM 9:45  
FILED  
SECRETARY OF STATE  
TALLAHASSEE, FLORIDA

APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT  
BUSINESS IN FLORIDA

IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO  
REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.

1. BB&T Investment Services, Inc.

(Name of corporation, - must include the word "INCORPORATED", "COMPANY", "CORPORATION" or  
words or abbreviations of like import in language as will clearly indicate that it is a corporation instead of a  
natural person or partnership if not so contained in the name at present.)

2. North Carolina

(State or country under the law of which it is incorporated)

3. 56-1815852

(FEI number, if applicable)

4. 03/08/1993

(Date of incorporation)

5. Perpetual

(Duration: Year corp. will cease to exist or "perpetual")

6. Upon Qualification

(Date first transacted business in Florida. If corporation has not transacted business in Florida, insert "upon qualification.")  
(SEE SECTIONS 607.1501, 607.1502 and 817.155, F.S.)

7. 200 S. College St. 8th Floor Charlotte, NC 28202

(Principal office address)

200 S. College St. 8th Floor Charlotte, NC 28202

(Current mailing address)

8. Firm is being formed to solicit business and conduct securities and insurance transactions

(Purpose(s) of corporation authorized in home state or country to be carried out in state of Florida)

9. Name and street address of Florida registered agent: (P.O. Box or Mail Drop Box **NOT** acceptable)

Name: CT Corporation System

Office Address: 1200 South Pine Island Road

Plantation

(City)

, Florida 33324

(Zip code)

SECRETARY OF STATE  
TALLAHASSEE, FLORIDA

02 SEP -6 AM 9:45

FILED

10. Registered agent's acceptance:

*Having been named as registered agent and to accept service of process for the above stated corporation at the place  
designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I  
further agree to comply with the provisions of all statutes relative to the proper and complete performance of my  
duties, and I am familiar with and accept the obligations of my position as registered agent.*

Mary R. Adams  
(Registered agent's signature)

MARY R. ADAMS  
ASSISTANT SECRETARY

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to  
the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction  
under the law of which it is incorporated.

## 12. Names and business addresses of officers and/or directors:

## A. DIRECTORS

Chairman: \*\*\*Please see attached listing of directors

Address:

Vice Chairman:

Address:

Director:

Address:

Director:

Address:

## B. OFFICERS

President: John D. Vaughn, Jr.

Address: 200 S. College St. 8th Floor Charlotte, NC 28202

Vice President: Scott E. Reed

Vice President/ Ch. Compliance Officer : Patrick C. Homan

Address: 150 S. Stratford Rd. #401 Winston-Salem, NC 27102 200 S. College St. 8th Floor Charlotte, NC 28202

Secretary: Deborah Taylor

Address: 200 S. College St. 8th Floor Charlotte, NC 28202

Treasurer: A. Grayson Kellar

Address: 3626 Dallas High Shoals Rd. Dallas, NC 28034

**NOTE:** If necessary, you may attach an addendum to the application listing additional officers and/or directors.13. 

(Signature of Chairman, Vice Chairman, or any officer listed in number 12 of the application)

14. Patrick C. Homan

(Typed or printed name and capacity of person signing application)

FILED  
02 SEP 06 AM 9:45  
SECRETARY OF STATE  
TALLAHASSEE, FLORIDA

FILED

02 SEP -- 6 AM 9:45

SECRETARY OF STATE  
TALLAHASSEE, FLORIDABBET Investment Services, Inc.  
Board\_of\_Directors\_Info

Chairman									
Name	Last Name	Company/Address	Company City	Company State	Company Postal Code	Company Ph. Num.	SSN Number	Spouse	
M.I.	Jones	P.O. Box 547	Wilson	NC	278940000	252-291-0038			
C.	Kellar	3626 Dallas High Shoals Rd., PO Box 535	Dallas	NC	280340000	704-922-3155			
Rayson	Marley	1100 Reynolds Blvd., 3rd Fl. Section C	Winston-Salem	NC	271040000	336-733-0717			
Leath	Miller, Jr.	150 S. Stratford Rd., Suite 401	Winston-Salem	NC	271020000	336-733-3083			
E.	Reed	150 S. Stratford Rd., Suite 401	Winston-Salem	NC	271020000	336-733-3108			
F.	Scarborough	1807 S. Tryon St., PO Box 36816	Charlotte	NC	282030000	704-333-9812			
E.	Teal								
D.	Vaughan, Jr.	200 S. College St., 8th Fl.	Charlotte	NC	282020000	704-954-1170			
Name	Last Name	Home Address	Home City	Home State	Home Postal Code	Home Ph. Num.	SSN Number	Spouse	
M.I.	Jones	1112 Lakeside St.	Wilson	NC	27893	252-237-4046			
ard	Kellar	1524 Pineola Lane	Gastonia	NC	28054-4811				
rayson	Marley	642 Carolina Circle	Winston-Salem	NC	27104	336-725-6247			
D.	Miller, Jr.	463 Bing Crosby Blvd.	Bermuda Run	NC	27006-8511	336-940-6942			
Leath	Reed	3861 Guinevere Ln.	Winston-Salem	NC	27104	336-768-3861			
E.	Scarborough	601 Moravian Ln.	Charlotte	NC	28207	704-372-7330			
F.	Teal	2535 Sherwood Ave.	Charlotte	NC	28207	704-375-2540			
E.	Vaughan, Jr.	10140 Berkley Forest Ln.	Charlotte	NC	28277	704-846-5270			



# North Carolina

## Department of The Secretary of State

### CERTIFICATE OF EXISTENCE

I, **ELAINE F. MARSHALL**, Secretary of State of the State of North Carolina, do hereby certify that

#### **BB&T INVESTMENT SERVICES, INC.**

is a corporation duly incorporated under the laws of the State of North Carolina, having been incorporated on the 8th day of March, 1993, with its period of duration being Perpetual.

I **FURTHER** certify that, as of the date set forth hereunder, the said corporation's articles of incorporation are not suspended for failure to comply with the Revenue Act of the State of North Carolina; that the said corporation is not administratively dissolved for failure to comply with the provisions of the North Carolina Business Corporation Act; that its most recent annual report required by N.C.G.S. 55-16-22 **has been** delivered to the Secretary of State; and that the said corporation has not filed articles of dissolution as of the date of this certificate.



IN WITNESS WHEREOF, I have hereunto set my hand and affixed my official seal at the City of Raleigh, this 27th day of August, 2002.

*Elaine F. Marshall*

Secretary of State