

FD20000003708

TRANSMITTAL LETTER

TO: Registration Section
Division of Corporations

SUBJECT: Sampers Financial Inc
(Name of corporation - must include suffix)

FILED
2002 JUL 19 PM 4:33
JUL 19 2002
JUL 19 2002
TALLAHASSEE, FLORIDA

Dear Sir or Madam:

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida", "Certificate of Existence", and check are submitted to register the above referenced foreign corporation to transact business in Florida.

Please return all correspondence concerning this matter to the following:

Mary Hughes
(Name of Person)
Sampers Financial Inc
(Firm/Company)
79 Midland Avenue
(Address)
Montclair, New Jersey 07042
(City/State and Zip code)

For further information concerning this matter, please call:

200005611102--2
-05/28/02--01012--003
*****78.75 *****78.75

Mary Hughes at (973) 744-1014
(Name of Person) (Area Code & Daytime Telephone Number)

STREET ADDRESS:
Registration Section
Division of Corporations
409 E. Gaines St.
Tallahassee, FL 32399

MAILING ADDRESS:
Registration Section
Division of Corporations
P.O. Box 6327
Tallahassee, FL 32314

Enclosed is a check for the following amount:

- ☐ \$70.00 Filing Fee ☒ \$78.75 Filing Fee & Certificate of Status ☐ \$78.75 Filing Fee & Certified Copy ☐ \$87.50 Filing Fee, Certificate of Status & Certified Copy

W02-16057
J. BRYAN JUN - 4 2002
J. BRYAN JUL 19 2002

SAMPERS FINANCIAL INC.

Wealth Management, Transfer
& Philanthropic Planning

79 Midland Avenue • Montclair, NJ 07042

973-744-1014 • Fax: 973-744-3410

www.sampersfinancial.com

July 16, 2002

Florida Department of State
Corporate Registration Section
P.O. Box 6327
Tallahassee, FL 32314

Re: W02000016057

To Whom It May Concern:

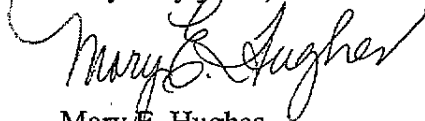
We are just now responding to your letter of June 4th (enclosed) as we have just received the Certificate of Existence for Sampers Financial, Inc. from the state of New Jersey.

Enclosed please find:

Your June 4, 2002 letter to us.
The Application form for a Foreign Corporation
Certificate of License Status
Original New Jersey Certificate of Existence

If at all possible, please forward the Authorization to transact business in Florida to my attention at the address above. We will appreciate your prompt attention to this matter in lieu of the 60 day return period. Please do not hesitate to call me if anything further is required. Thank you very much for your assistance.

Very truly yours,



Mary E. Hughes
New Business Manager
Enclosures

Principal of
Partners
Financial



Securities offered through NFP Securities, Inc. 1250 Capital of Texas Highway South
Building 2 Suite 600 Austin Texas 78746 Tel 512-328-5751 Fax 512-329-5782
MEMBER NASD/SIPC Sampers Financial, Inc. is not a subsidiary of NFP Securities, Inc.

Member of



Baltimore Boston Chicago Dallas Denver Houston Miami Nashville New York Philadelphia San Diego San Francisco Tampa

SAMPERS FINANCIAL INC.

Wealth Management, Transfer
& Philanthropic Planning

79 Midland Avenue • Montclair, NJ 07042

973-744-1014 • Fax: 973-744-3410

www.sampersfinancial.com

May 21, 2002

FILED
2002 JUL 19 PM 4:33
DIVISION OF CORPORATIONS
TALLAHASSEE, FLORIDA

Florida Department of State
Corporate Registration Section
P.O. Box 6327
Tallahassee, FL 32314

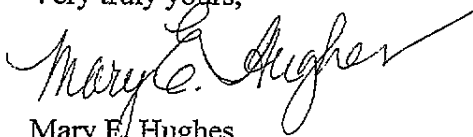
To Whom It May Concern:

Enclosed please find the following with regard to registering Sampers
Financial, Inc. to transact business in Florida.

A completed Application
A completed Transmittal Letter
NJ Certification of License Status
Check # 3431 for \$78.75 (Filing Fee and Certificate of Status)

Please be good enough to forward this license to our New Jersey Office to
my attention as the Sampers are currently traveling. Your prompt attention
to this matter will be appreciated.

Very truly yours,



Mary E. Hughes
New Business Manager
Enclosures

Principal of

Partners
Financial

Securities offered through NFP Securities, Inc. 1250 Capital of Texas Highway South
Building 2 Suite 600 Austin Texas 78746 Tel 512-328-5751 Fax 512-329-5782
MEMBER NASD/SIPC Sampers Financial, Inc. is not a subsidiary of NFP Securities, Inc.

Member of



Baltimore Boston Chicago Dallas Denver Houston Miami Nashville New York Philadelphia San Diego San Francisco Tampa



FLORIDA DEPARTMENT OF STATE

Katherine Harris
Secretary of State

June 4, 2002

MARY HUGHES
SAMPERS FINANCIAL INC.
79 MIDLAND AVE.
MONTCLAIR, NJ 07042

SUBJECT: SAMPERS FINANCIAL, INCORPORATED
Ref. Number: W02000016057

FILED
2002 JUL 19 PM 4:33
DIVISION OF CORPORATIONS
TALLAHASSEE, FLORIDA

We have received your document for SAMPERS FINANCIAL, INCORPORATED and your check(s) totaling \$78.75. However, the enclosed document has not been filed and is being returned for the following correction(s):

The entity's date of incorporation/organization must be listed in the document. ✓

The entity's period of duration must be listed on the application. Please insert the word "perpetual", if a specific date of dissolution or term of existence has not been specified. ✓

A brief description of the entity's nature of business must be included in the document. ✓

A certificate of existence or a certificate of good standing, dated no more than 90 days prior to the delivery of the application to the Department of State, duly authenticated by the secretary of state or other official having custody of the records in the jurisdiction under the laws of which it is incorporated/organized, must be submitted to this office. A translation of the certificate under oath of the translator must be attached to a certificate which is in a language other than the English language. A photocopy of this certificate is not acceptable.

Please return your document, along with a copy of this letter, within 60 days or your filing will be considered abandoned.

If you have any questions concerning the filing of your document, please call (850) 245-6043.

Joey Bryan
Document Specialist

Letter Number: 002A00035982

**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT
BUSINESS IN FLORIDA**

IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO
REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA

FILED
JUL 19 PM 4:33
TALLAHASSEE, FLORIDA
CORPORATION'S

1. Sampers Financial, Inc.
(Name of corporation; must include the word "INCORPORATED", "COMPANY", "CORPORATION" or words or abbreviations of like import in language as will clearly indicate that it is a corporation instead of a natural person or partnership if not so contained in the name at present.)
2. New Jersey 3. 13-4072125
(State or country under the law of which it is incorporated) (FEI number, if applicable)
4. 5/6/99 5. Perpetual
(Date of incorporation) (Duration: Year corp. will cease to exist or "perpetual")
6. upon qualification
(Date first transacted business in Florida. If corporation has not transacted business in Florida, insert "upon qualification.")
(SEE SECTIONS 607.1501, 607.1502 and 817.155, F.S.)
7. 79 Midland Avenue, Montclair, NJ 07042
(Principal office address)
79 Midland Avenue, Montclair, NJ 07042
(Current mailing address)
8. Financial Services
(Purpose(s) of corporation authorized in home state or country to be carried out in state of Florida)
9. Name and street address of Florida registered agent: (P.O. Box or Mail Drop Box **NOT** acceptable)
Name: Isidore Henry Sampers, Jr.
Office Address: 9115 Woodridge Run Drive
Tampa, Florida 33647-2283
(City) (Zip code)

10. Registered agent's acceptance:

Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.


(Registered agent's signature)

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

12. Names and business addresses of officers and/or directors:

A. DIRECTORS

Chairman: CEO Isidore Henry Sampers, Jr

Address: 9115 Woodridge Run Drive
Tampa, Florida 33647-2283

Vice Chairman: _____

Address: _____

Director: _____

Address: _____

Director: _____

Address: _____

B. OFFICERS

President: John H. Sampers

Address: 11 Lower Notch Road
Little Falls, N.J. 07424

Vice President: _____

Address: _____

Secretary: _____

Address: _____

Treasurer: _____

Address: _____

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13. Isidore Henry Sampers, Jr

(Signature of Chairman, Vice Chairman, or any officer listed in number 12 of the application)

14. Isidore Henry Sampers, Jr

(Typed or printed name and capacity of person signing application)

FILED
2002 JUL 19 PM 4:33
DIVISION OF CORPORATIONS
TALLAHASSEE, FLORIDA

STATE OF NEW JERSEY
DEPARTMENT OF TREASURY
SHORT FORM STANDING

2002 JUL 19
DIVISION OF CORPORATE AFFAIRS
TALLAHASSEE, FL

SAMPERS FINANCIAL, INC.

With the Previous or Alternate Name

SAMPERS MERGER CORP. (Previous Name)

SAMPERS FINANCIAL, INC. (Previous Name)

STRATEGIC FINANCIAL INITIATIVES, INC. (Previous Name)

*I, the Treasurer of the State of New Jersey,
do hereby certify that the above-named
New Jersey Domestic Profit Corporation was
registered by this office on May 6, 1999.*

*As of the date of this certificate, said business
continues as an active business in the State of New
Jersey. Annual Reports are outstanding for the
following year(s):*
2000

*I further certify that the registered agent and
registered office are:*

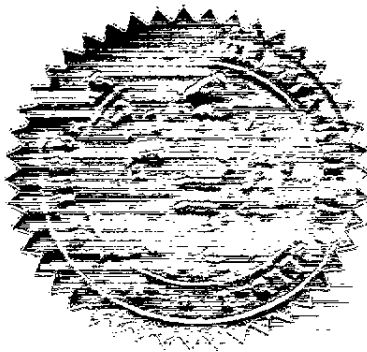
**The Corporation Trust Company
820 Bear Tavern Rd
West Trenton, NJ 08628**

Continued on next page . . .

STATE OF NEW JERSEY
DEPARTMENT OF TREASURY
SHORT FORM STANDING

SAMPERS FINANCIAL, INC.

2002 JUL 19
DIVISION OF CORPORATE
TALLAHASSEE, FLORIDA



IN TESTIMONY WHEREOF, I have
hereunto set my hand and
affixed my Official Seal
at Trenton, this
9th day of July, 2002

A handwritten signature in cursive script, appearing to read "John E. McCormac".

John E McCormac, CPA
State Treasurer