

2003 FOR PROFIT CORPORATION UNIFORM BUSINESS REPORT (UBR)

FILED
Jan 27, 2003 8:00 am
Secretary of State

01-27-2003 90171 005 ***150.00

DOCUMENT # F02000002955

1. Entity Name

MARSH & MCLENNAN SECURITIES CORPORATION



Principal Place of Business

**1166 AVENUE OF THE AMERICAS, 19TH FLOOR
NEW YORK NY 10036**

Mailing Address

**1166 AVENUE OF THE AMERICAS, 19TH FLOOR
NEW YORK NY 10036**

2. Principal Place of Business

3. Mailing Address

Suite, Apt. #, etc.

Suite, Apt. #, etc.

City & State

City & State

Zip

Country

Zip

Country

4. FEI Number

36-2666422

Applied For

Not Applicable

5. Certificate of Status Desired ☐

\$8.75 Additional
Fee Required

☐ CHECK HERE IF MAKING CHANGES

6. Name and Address of Current Registered Agent

**C T CORPORATION SYSTEM
1200 SOUTH PINE ISLAND ROAD
PLANTATION FL 33324**

7. Name and Address of New Registered Agent

Name

Street Address (P.O. Box Number is Not Acceptable)

City

FL

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. I am familiar with, and accept the obligations of registered agent.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

FILE NOW!!! FEE IS \$150.00

After May 1, 2003. Fee will be \$550.00

Make Check Payable to Florida Department of State

9. Election Campaign Financing
Trust Fund Contribution. ☐

\$5.00 May Be
Added to Fees

10. OFFICERS AND DIRECTORS

TITLE NAME	MD ASAFF, ERNEST	<input type="checkbox"/> Delete
STREET ADDRESS	1166 AVENUE OF THE AMERICAS, 19TH FLOOR	
CITY-ST-ZIP	NEW YORK NY 10036	
TITLE NAME	MD BALL, TIMOTHY	<input type="checkbox"/> Delete
STREET ADDRESS	33 ALDGATE HIGH STREET	
CITY-ST-ZIP	LONDON EC3N 1AQ ENGLAND	
TITLE NAME	MD BARRY, JON	<input type="checkbox"/> Delete
STREET ADDRESS	1166 AVENUE OF THE AMERICAS, 19TH FLOOR	
CITY-ST-ZIP	NEW YORK NY 10036	
TITLE NAME	AS BORIK, MICHAEL	<input type="checkbox"/> Delete
STREET ADDRESS	44 WHIPPANY ROAD	
CITY-ST-ZIP	MORRISTOWN NJ 07692	
TITLE NAME	SV DEBENEDETTO, GERARD	<input type="checkbox"/> Delete
STREET ADDRESS	1166 AVENUE OF THE AMERICAS, 19TH FLOOR	
CITY-ST-ZIP	NEW YORK NY 10036	
TITLE NAME	SV DUBIN, DAVID	<input type="checkbox"/> Delete
STREET ADDRESS	1166 AVENUE OF THE AMERICAS, 19TH FLOOR	
CITY-ST-ZIP	NEW YORK NY 10036	

11. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE NAME	SVP Ghosh, Partho	<input type="checkbox"/> Change <input checked="" type="checkbox"/> Addition
STREET ADDRESS	1166 Avenue of the Americas, 19th Fl	
CITY-ST-ZIP	NEW YORK NY 10036	
TITLE NAME	P Jamshid Ehsani	<input type="checkbox"/> Change <input checked="" type="checkbox"/> Addition
STREET ADDRESS	1166 AVENUE OF THE AMERICAS	
CITY-ST-ZIP	NEW YORK, N.Y. 10036	
TITLE NAME	SVP Richard Goldfarb	<input type="checkbox"/> Change <input checked="" type="checkbox"/> Addition
STREET ADDRESS	1166 AVENUE OF THE AMERICAS	
CITY-ST-ZIP	NEW YORK, N.Y. 10036	
TITLE NAME	V JAMES HALL	<input type="checkbox"/> Change <input checked="" type="checkbox"/> Addition
STREET ADDRESS	1166 AVENUE OF THE AMERICAS	
CITY-ST-ZIP	NEW YORK, N.Y. 10036	
TITLE NAME	V/T DEEMA ISMAIL	<input type="checkbox"/> Change <input checked="" type="checkbox"/> Addition
STREET ADDRESS	1166 AVENUE OF THE AMERICAS	
CITY-ST-ZIP	NEW YORK, N.Y. 10036	
TITLE NAME	M PATRICK JORDAN	<input type="checkbox"/> Change <input checked="" type="checkbox"/> Addition
STREET ADDRESS	1166 AVENUE OF THE AMERICAS	
CITY-ST-ZIP	NEW YORK, N.Y. 10036	

12. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 10 or Block 11 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE:

SIGNATURE REQUIRED
SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

Date

Daytime Phone #

1/9/03 (212) 323-1692

CR2E034 (10/02)

Marsh & McLennan Securities Corporation List of Officers and Directors

As of December 31, 2002

List of Officers

Attachment
10013596
F6200000295

Name	Position	Address
Ernest Asaff	Managing Director	1166 Avenue of the Americas New York, NY 10036
Timothy Ball	Managing Director	Aldgate House 33 Aldgate High Street London EC3N 1AQ ENGLAND
Jon Barry	Managing Director	1166 Avenue of the Americas New York, NY 10036
Vacant	Secretary	
Michael Borik	Assistant Secretary	44 Whippany Road PO Box 1966 Morristown, NJ 07692
Gerard DeBenedetto	Senior Vice President	1166 Avenue of the Americas New York, NY 10036
David Dubin	Senior Vice President	1166 Avenue of the Americas New York, NY 10036
Jamshid Ehsani	President & CEO	1166 Avenue of the Americas New York, NY 10036
Partho Ghosh	Senior Vice President	1166 Avenue of the Americas New York, NY 10036
Richard Goldfarb	Senior Vice President	1166 Avenue of the Americas New York, NY 10036
James Hall	Vice President	1166 Avenue of the Americas New York, NY 10036
Deema Ismail	Vice President, CFO, Treasurer & Controller	1166 Avenue of the Americas New York, NY 10036
Patrick Jordan	Managing Director	1166 Avenue of the Americas New York, NY 10036
Ram Kelkar	Senior Vice President	500 West Monroe Street 24 th Floor Chicago, IL 60661

Marsh & McLennan Securities Corporation List of Officers and Directors

As of December 31, 2002

Name	Position	Address
Christopher McGhee	Managing Director	114 W. 47 th Street New York, NY 10036
Dilip Naib	Senior Vice President	1166 Avenue of the Americas New York, NY 10036
Douglas O'Brien	Senior Vice President	1166 Avenue of the Americas New York, NY 10036
Joseph Peiser	Managing Director	1166 Avenue of the Americas New York, NY 10036
Marc Rakotomalala	Senior Vice President	1166 Avenue of the Americas New York, NY 10036
Paul Sclafani	Managing Director	1166 Avenue of the Americas New York, NY 10036
Barry Wood	Senior Vice President	3475 Piedmont Road, NE 10 th Floor Atlanta, GA 30305
LoriAnn Vaughan-Lowery	Managing Director	1166 Avenue of the Americas New York, NY 10036

List of Directors¹

Jamshid Ehsani, Patrick Jordan and Vacant

¹ Please see List of Officers for address.