

F02000002955
TRANSMITTAL LETTER

TO: Registration Section
Division of Corporations

u/10

SUBJECT: Marsh & McLennan Securities Corporation
(Name of corporation - must include suffix)

Dear Sir or Madam:

FOR CORP

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida",
"Certificate of Existence", and check are submitted to register the above referenced foreign corporation
to transact business in Florida. **MJH**

Please return all correspondence concerning this matter to the following:

James P. Huang
(Name of Person)
Marsh & McLennan Securities Corporation
(Firm/Company)
1166 Avenue of the Americas, 19th Floor
(Address)
New York, NY 10036
(City/State and Zip)
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-06/10/02--01060--004
*****70.00 *****70.00

For further information concerning this matter, please call:

James P. Huang at (212) 345-6183
(Name of Person) (Area Code & Daytime Telephone Number)

STREET ADDRESS:
Registration Section
Division of Corporations
409 E. Gaines St.
Tallahassee, FL 32399

MAILING ADDRESS:
Registration Section
Division of Corporations
P.O. Box 6327
Tallahassee, FL 32314

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SECRETARY OF STATE
TALLAHASSEE FLORIDA

Enclosed is a check for the following amount:

- ☒ \$70.00 Filing Fee ☐ \$78.75 Filing Fee & Certificate of Status ☐ \$78.75 Filing Fee & Certified Copy ☐ \$87.50 Filing Fee, Certificate of Status & Certified Copy

**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT
BUSINESS IN FLORIDA**

*IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO
REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.*

1. Marsh & McLennan Securities Corporation
(Name of corporation; must include the word "INCORPORATED", "COMPANY", "CORPORATION" or words or abbreviations of like import in language as will clearly indicate that it is a corporation instead of a natural person or partnership if not so contained in the name at present.)
2. Delaware 3. 36-2666422
(State or country under the law of which it is incorporated) (FEI number, if applicable)
4. 02/24/1969 5. Perpetual
(Date of incorporation) (Duration: Year corp. will cease to exist or "perpetual")
6. Upon Qualification
(Date first transacted business in Florida.) (SEE SECTIONS 607.1501, 607.1502 and 817.155, F.S.)
7. 1166 Avenue of the Americas, 19th Floor, New York, NY 10036

(Current mailing address)

8. Insurance Broker and Securities Broker-Dealer
(Purpose(s) of corporation authorized in home state or country to be carried out in state of Florida)

9. Name and street address of Florida registered agent: (P.O. Box or Mail Drop Box **NOT** acceptable)

Name: C T Corporation System

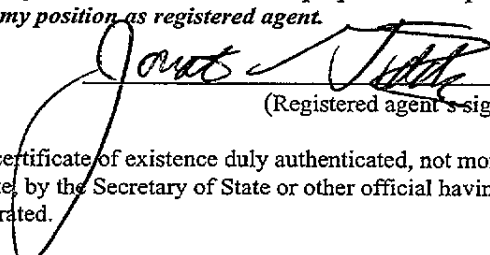
Office Address: 1200 South Pine Island Road

Plantation, Florida, 33324
(Zip code)

10. Registered agent's acceptance:

Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.

Jonathan R. Giddings
Assistant Secretary


(Registered agent's signature)

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

12. Names and addresses of officers and/or directors: (Street address **ONLY** - P.O. Box **NOT** acceptable)

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TALLAHASSEE FLORIDA

A. DIRECTORS (Street address only - P.O. Box NOT acceptable)

Chairman: PLEASE SEE ATTACHED.

Address: _____

Vice Chairman: _____

Address: _____

Director: _____

Address: _____

Director: _____

Address: _____

B. OFFICERS (Street address only - P.O. Box NOT acceptable)

President: PLEASE SEE ATTACHED

Address: _____

Vice President: _____

Address: _____

Secretary: _____

Address: _____

Treasurer: _____

Address: _____

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13. 

(Signature of Chairman, Vice Chairman, or any officer listed in number 12 of the application)

14. Molly A. McQueen, Secretary

(Typed or printed name and capacity of person signing application)

Marsh & McLennan Securities Corporation List of Officers and Directors

As of June 4, 2002

List of Officers

Name	Position	Address
Ernest Asaff	Managing Director	1166 Avenue of the Americas New York, NY 10036
Timothy Ball	Managing Director	Aldgate House 33 Aldgate High Street London EC3N 1AQ ENGLAND
Jon Barry	Managing Director	1166 Avenue of the Americas New York, NY 10036
Michael Borik	Assistant Secretary	44 Whippany Road PO Box 1966 Morristown, NJ 07692
Gerard DeBenedetto	Senior Vice President	1166 Avenue of the Americas New York, NY 10036
David Dubin	Senior Vice President	1166 Avenue of the Americas New York, NY 10036
Jamshid Ehsani	President & CEO	1166 Avenue of the Americas New York, NY 10036
Richard Goldfarb	Senior Vice President	1166 Avenue of the Americas New York, NY 10036
James Hall	Vice President	1166 Avenue of the Americas New York, NY 10036
Deema Ismail	Vice President, CFO, Treasurer & Controller	1166 Avenue of the Americas New York, NY 10036
Patrick Jordan	Managing Director	1166 Avenue of the Americas New York, NY 10036
Ram Kelkar	Senior Vice President	500 West Monroe Street 24 th Floor Chicago, IL 60661
Christopher McGhee	Managing Director	114 W. 47 th Street New York, NY 10036

Marsh & McLennan Securities Corporation List of Officers and Directors

As of June 4, 2002

Name	Position	Address
Molly A. McQueen	Chief Counsel & Secretary	1166 Avenue of the Americas New York, NY 10036
Dilip Naib	Senior Vice President	1166 Avenue of the Americas New York, NY 10036
Douglas O'Brien	Senior Vice President	1166 Avenue of the Americas New York, NY 10036
Joseph Peiser	Managing Director	1166 Avenue of the Americas New York, NY 10036
Marc Rakotomalala	Senior Vice President	1166 Avenue of the Americas New York, NY 10036
Paul Sclafani	Managing Director	1166 Avenue of the Americas New York, NY 10036
Andrew Stein	Senior Vice President	1166 Avenue of the Americas New York, NY 10036
Barry Wood	Senior Vice President	1166 Avenue of the Americas New York, NY 10036
LoriAnn Vaughan-Lowery	Managing Director	1166 Avenue of the Americas New York, NY 10036

List of Directors¹

Jamshid Ehsani, Patrick Jordan and Molly A. McQueen

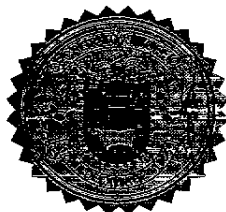
¹ Please see List of Officers for address.

Delaware

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The First State

I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY "MARSH & MCLENNAN SECURITIES CORPORATION" IS DULY INCORPORATED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL CORPORATE EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE TWENTY-THIRD DAY OF MAY, A.D. 2002.



Harriet Smith Windsor

Harriet Smith Windsor, Secretary of State

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AUTHENTICATION: 1793344

020316303

DATE: 05-23-02