

2003 FOR PROFIT CORPORATION UNIFORM BUSINESS REPORT (UBR)

FILED
Apr 23, 2003 8:00 am
Secretary of State

04-23-2003 90180 045 ***150.00

DOCUMENT # F02000002175

1. Entity Name
UBS INTERNATIONAL INC.



Principal Place of Business
**101 PARK AVENUE
NEW YORK NY 10178**

Mailing Address
**101 PARK AVENUE
NEW YORK NY 10178**

2. Principal Place of Business

3. Mailing Address

Suite, Apt. #, etc.

Suite, Apt. #, etc.

City & State

City & State

Zip

Country

Zip

Country

4. FEI Number **30-0015266**

Applied For
Not Applicable

5. Certificate of Status Desired ☐ **\$8.75 Additional Fee Required**

☐ CHECK HERE IF MAKING CHANGES

11010056



6. Name and Address of Current Registered Agent

7. Name and Address of New Registered Agent

**CORPORATION SERVICE COMPANY
1201 HAYS STREET
TALLAHASSEE FL 32301-2525**

Name

Street Address (P.O. Box Number is Not Acceptable)

City

FL

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. I am familiar with, and accept the obligations of registered agent.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

FILE NOW!!! FEE IS \$150.00
After May 1, 2003 Fee will be \$550.00
Make Check Payable to Florida Department of State

9. Election Campaign Financing
Trust Fund Contribution. ☐ **\$5.00 May Be Added to Fees**

10. OFFICERS AND DIRECTORS

11. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE **PD** ☒ Delete
NAME **SUTER, RUDY H.R.**
STREET ADDRESS **101 PARK AVENUE**
CITY-ST-ZIP **NEW YORK NY 10178**

TITLE **D** ☐ Change ☒ Addition
NAME **SAFIR, RONALD**
STREET ADDRESS **1200 HARBOR BOULEVARD**
CITY-ST-ZIP **WEEHAWKEN, NJ 07086**

TITLE **PD** ☐ Delete
NAME **RICARDO, GONZALEZ**
STREET ADDRESS **101 PARK AVENUE**
CITY-ST-ZIP **NEW YORK NY 10178**

TITLE **C/D** ☒ Change ☐ Addition
NAME **GONZALEZ, RICARDO**
STREET ADDRESS **101 PARK AVENUE**
CITY-ST-ZIP **NEW YORK, NY 10178**

TITLE **CFO** ☐ Delete
NAME **QUINN, MICHAEL**
STREET ADDRESS **101 PARK AVENUE**
CITY-ST-ZIP **NEW YORK NY 10178**

TITLE ☐ Change ☐ Addition
NAME
STREET ADDRESS
CITY-ST-ZIP

TITLE **D** ☐ Delete
NAME **ROWLAND, CHRISTOPHER**
STREET ADDRESS **101 PARK AVENUE**
CITY-ST-ZIP **NEW YORK NY 10178**

TITLE ☐ Change ☐ Addition
NAME
STREET ADDRESS
CITY-ST-ZIP

TITLE **SD** ☒ Delete
NAME **TOOTHAKER, T. RONALD**
STREET ADDRESS **101 PARK AVENUE**
CITY-ST-ZIP **NEW YORK NY 10178**

TITLE **D/S** ☐ Change ☒ Addition
NAME **NOAH, SCOTT**
STREET ADDRESS **101 PARK AVENUE**
CITY-ST-ZIP **NEW YORK, NY 10178**

TITLE **OPER** ☐ Delete
NAME **FERGUSON, KEVIN**
STREET ADDRESS **101 PARK AVENUE**
CITY-ST-ZIP **NEW YORK NY 10178**

TITLE ☐ Change ☐ Addition
NAME
STREET ADDRESS
CITY-ST-ZIP

12. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 10 or Block 11 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE:

SIGNATURE REQUIRED Scott Noah
SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

4/22/03 212-916-2265
Date Daytime Phone *

CR2E034 (10/02)

Attachment FD2600002175
11010056



UBS International Inc.
101 Park Avenue, 16th Floor
New York, NY 10178
Tel. +1-212-916-2000

April 22, 2003

Via Federal Express

Florida Department of State
Division of Corporations
409 East Gaines Street
Tallahassee, FL 32399

Re: 2003 For Profit Corporation Uniform Business Report

Dear Sir/Madam:

Enclosed please find the Uniform Business Report for UBS International Inc (BD# 10772). as well as a check for \$150.00 as requested.

If you have any questions concerning the above, please do not hesitate to contact me at (212) 916-2112.

Regards,

A handwritten signature in cursive script, appearing to read "Julio Avendano".

Julio Avendano
Associate Director
Compliance Department

cc: Scott Noah
Michael Quinn