

2012 FOR PROFIT CORPORATION ANNUAL REPORT

DOCUMENT# F02000001602

FILED
Jan 20, 2012
Secretary of State

Entity Name: STERNE AGEE CLEARING, INC.

Current Principal Place of Business:

800 SHADES CREEK PKWY
SUITE 700
BIRMINGHAM, AL 35209

New Principal Place of Business:

Current Mailing Address:

800 SHADES CREEK PKWY
STE 550
BIRMINGHAM, AL 35209

New Mailing Address:

FEI Number: 94-3419699

FEI Number Applied For ()

FEI Number Not Applicable ()

Certificate of Status Desired ()

Name and Address of Current Registered Agent:

NRAI SERVICES, INC.
515 E. PARK AVENUE
TALLAHASSEE, FL 32301 US

Name and Address of New Registered Agent:

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE:

Electronic Signature of Registered Agent

Date

OFFICERS AND DIRECTORS:

Title: CHRM
Name: HOLBROOK, JAMES S JR
Address: 800 SHADES CREEK PKWY - SUITE 700
City-St-Zip: BIRMINGHAM, AL 35209

Title: PD
Name: FERGUSON, DENNIS
Address: 800 SHADES CREEK PKWY
City-St-Zip: BIRMINGHAM, AL 35209

Title: SD
Name: HOLBROOK, WILLIAM K
Address: 800 SHADES CREEK PKWY - SUITE 700
City-St-Zip: BIRMINGHAM, AL 35209

Title: TCFO
Name: WAGSTAFF, C FRED III
Address: 800 SHADES CREEK PKWY, STE 550
City-St-Zip: BIRMINGHAM, AL 35209

Title: ATD
Name: BARZE, BRIAN K
Address: 800 SHADES CREEK PKWY, SUITE 700
City-St-Zip: BIRMINGHAM, AL 35209

I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.

SIGNATURE: WILLIAM K HOLBROOK

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01/20/2012

Electronic Signature of Signing Officer or Director

Date