## **2008 FOR PROFIT CORPORATION**

## Jan 22, 2008 8:00 am ANNUAL REPORT **Secretary of State** DOCUMENT # F02000001602 01-22-2008 90061 018 \*\*\*150.00 1. Entity Name STERNE AGEE CAPITAL MARKETS, INC. Principal Place of Business Mailing Address 800 SHADES CREEK PKWY - SUITE 700 800 SHADES CREEK PKWY - SUITE 700 BIRMINGHAM, AL 35209 BIRMINGHAM, AL 35209 2. Principal Place of Business - No P.O. Box # Mailing Address 900sMadesUcculPari Suite, Apt. #, etc. Suite, Apt. #, etc 01112008 CR2E034 (12/06) Cha-P SV1455 City & State City & State 4. EFI Number Applied For Birminaham, 94-3419699 Not Applicable Zip Country \$8.75 Additional 5. Certificate of Status Desired Fee Required 6. Name and Address of Current Registered Agent 7. Name and Address of New Registered Agent CT CORPORATION SYSTEM 1200 SOUTH PINE ISLAND ROAD Street Address (P.O. Box Number is Not Acceptable) PLANTATION, FL 33324 City Zio Code 8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. I am familiar with, and accept the obligations of registered agent. SIGNATURE Signature, typed or printed name of registered agent and title if applicable. (NOTE: Registered Agent signature required when reinstating) DATE 9. Election Campaign Financing \$5.00 May Be FILE NOW!!! FEE IS \$150.00 Trust Fund Contribution. After May 1, 2008 Fee will be \$550.00 Added to Fees ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11 10. OFFICERS AND DIRECTORS 11. D TITLE ☐ Delete TITLE Change ■ Addition HOLBROOK, JAMES S JR NAME NAME STREET ADDRESS 800 SHADES CREEK PKWY - SUITE 700 STREET ADDRESS CITY-ST-ZIP CITY-ST-718 BIRMINGHAM, AL 35209 TITLE DPRE Delete ☐ Change TITLE ☐ Addition FERGUSON, WILLIAM D NAME STREET ADDRESS 800 SHADES CREEK PKWY - SUITE 700 STREET ADDRESS CITY-ST-ZIP CITY-ST-ZIP BIRMINGHAM, AL 35209 TITLE ☐ Delete TITLE ☐ Change Addition NAME WOODHAM, FRANKLIN E NAME 800 SHADES CREEK PKWY - SUITE 700 STREET ADDRESS STREET ADDRESS CITY-ST-ZIP BIRMINGHAM, AL 35209 CITY-ST-ZIP **CFO** ☐ Delete Change ☐ Addition TITLE TITLE WITT, CHERYL NAME NAME 800 SHADES CRK PKWY, STE 550 STREET ADDRESS STREET ADDRESS CITY-ST-ZIP BIRMINGHAM, AL 35209 CITY-ST-ZIP ☐ Delete TITLE TITLE П Спапое ■ Addition NAME NAME STREET ADDRESS STREET ADDRESS CITY-ST-ZIP CITY-ST-ZIP ☐ Delete TITLE ☐ Change TITLE Addition NAME NAME STREET ADDRESS STREET ADDRESS CITY-ST-ZIP CITY-ST-ZIP

12. I hereby certify that the information supplied with this filing does not qualify for the exemptions contained in Chapter 119, Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 10 or Block 11 if changed, or on an attachme

SIGNATURE OF STORY OF

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