

# 2006 FOR PROFIT CORPORATION ANNUAL REPORT

**FILED**  
**Mar 17, 2006 8:00 am**  
**Secretary of State**

03-17-2006 90132 043 \*\*\*158.75

**DOCUMENT # F02000001602**

1. Entity Name  
**STERNE AGEE CAPITAL MARKETS, INC.**



Principal Place of Business  
**800 SHADES CREEK PKWY - SUITE 700  
BIRMINGHAM, AL 35209**

Mailing Address  
**800 SHADES CREEK PKWY - SUITE 700  
BIRMINGHAM, AL 35209**

2. Principal Place of Business

3. Mailing Address

Suite, Apt. #, etc.

Suite, Apt. #, etc.

City & State

City & State

Zip

Country

Zip

Country

03092006

Chg-P

CR2E034 (11/05)

4. FEI Number  
**94-3419699**

Applied For  
Not Applicable

5. Certificate of Status Desired ☒ **\$8.75 Additional Fee Required**

6. Name and Address of Current Registered Agent

7. Name and Address of New Registered Agent

**CT CORPORATION SYSTEM  
1200 SOUTH PINE ISLAND ROAD  
PLANTATION, FL 33324**

Name

Street Address (P.O. Box Number is Not Acceptable)

City

**FL**

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. I am familiar with, and accept the obligations of registered agent.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

**FILE NOW!!! FEE IS \$150.00  
After May 1, 2006 Fee will be \$550.00**

9. Election Campaign Financing  
Trust Fund Contribution. ☐ **\$5.00 May Be Added to Fees**

10. OFFICERS AND DIRECTORS

11. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE  
NAME  
STREET ADDRESS  
CITY - ST - ZIP

**D**  
**HOLBROOK, JAMES S JR**  
**800 SHADES CREEK PKWY - SUITE 700**  
**BIRMINGHAM, AL 35209**

☐ Delete

TITLE  
NAME  
STREET ADDRESS  
CITY - ST - ZIP

☐ Change ☐ Addition

TITLE  
NAME  
STREET ADDRESS  
CITY - ST - ZIP

**DCEO**  
**FRANKEL, CHRISTOPHER L**  
**800 SHADES CREEK PKWY - SUITE 700**  
**BIRMINGHAM, AL 35209**

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STREET ADDRESS  
CITY - ST - ZIP

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**DT**  
**WOODHAM, FRANKLIN E**  
**800 SHADES CREEK PKWY - SUITE 700**  
**BIRMINGHAM, AL 35209**

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**Chief Financial OFFICER**  
**Cheryl Witt**  
**800 shades creek Parkway Suite 550**  
**Birmingham AL 35209**

☐ Change ☐ Addition

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STREET ADDRESS  
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12. I hereby certify that the information supplied with this filing does not qualify for the exemptions contained in Chapter 119, Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 10 or Block 11 if changed, or on an attachment with an address, with all other like empowered.

**SIGNATURE:**

*Cheryl Witt*  
**Cheryl Witt**

**3-9-2006**

**205-380-1728**

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

Date

Daytime Phone #