

# 2004 FOR PROFIT CORPORATION ANNUAL REPORT

**FILED**  
**Apr 29, 2004 8:00 am**  
**Secretary of State**

04-29-2004 90214 026 \*\*\*150.00

**DOCUMENT # F02000000273**

1. Entity Name  
**THE BEAR STEARNS COMPANIES INC. (DE)**



Principal Place of Business  
**383 MADISON AVENUE  
NEW YORK, NY 10179**

Mailing Address  
**115 S. JEFFERSON, BLDG. C2  
WHIPPANY, NJ 07843**

**94070788**



2. Principal Place of Business

3. Mailing Address

Suite, Apt. #, etc.

Suite, Apt. #, etc.

City & State

City & State

Zip

Country

Zip

Country

01062004

Chg-P

CR2E034 (10/03)

4. FEI Number  
**13-3286161**

Applied For  
Not Applicable

5. Certificate of Status Desired ☐

**\$8.75** Additional  
Fee Required

## 6. Name and Address of Current Registered Agent

**C T CORPORATION SYSTEM  
1200 SOUTH PINE ISLAND ROAD  
PLANTATION, FL 33324**

## 7. Name and Address of New Registered Agent

Name

Street Address (P.O. Box Number is Not Acceptable)

City

**FL**

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. I am familiar with, and accept the obligations of registered agent.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

**FILE NOW!!! FEE IS \$150.00  
After May 1, 2004 Fee will be \$550.00**

9. Election Campaign Financing  
Trust Fund Contribution. ☐

**\$5.00** May Be  
Added to Fees

## 10. OFFICERS AND DIRECTORS

TITLE  
NAME  
STREET ADDRESS  
CITY-ST-ZIP  
**CD  
CAYNE, JAMES E  
383 MADISON AVENUE  
NEW YORK, NY 10179** ☐ Delete

TITLE  
NAME  
STREET ADDRESS  
CITY-ST-ZIP  
**D  
GLICKMAN, CARL D  
383 MADISON AVENUE  
NEW YORK, NY 10179** ☐ Delete

TITLE  
NAME  
STREET ADDRESS  
CITY-ST-ZIP  
**D  
GREENBERG, ALAN C  
383 MADISON AVENUE  
NEW YORK, NY 10179** ☐ Delete

TITLE  
NAME  
STREET ADDRESS  
CITY-ST-ZIP  
**PD  
SCHWARTZ, ALAN D  
383 MADISON AVENUE  
NEW YORK, NY 10179** ☐ Delete

TITLE  
NAME  
STREET ADDRESS  
CITY-ST-ZIP  
**PD  
SPECTOR, WARREN J  
383 MADISON AVENUE  
NEW YORK, NY 10179** ☐ Delete

TITLE  
NAME  
STREET ADDRESS  
CITY-ST-ZIP  
**VCFO  
MOLINARO, SAMUEL L JR.  
383 MADISON AVENUE  
NEW YORK, NY 10179** ☐ Delete

## 11. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE  
NAME  
STREET ADDRESS  
CITY-ST-ZIP  
**PLEASE SEE ATTACHED LIST FOR  
ADDITIONAL OFFICERS AND DIRECTORS** ☐ Change ☐ Addition

TITLE  
NAME  
STREET ADDRESS  
CITY-ST-ZIP  
☐ Change ☐ Addition

TITLE  
NAME  
STREET ADDRESS  
CITY-ST-ZIP  
☐ Change ☐ Addition

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STREET ADDRESS  
CITY-ST-ZIP  
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CITY-ST-ZIP  
☐ Change ☐ Addition

TITLE  
NAME  
STREET ADDRESS  
CITY-ST-ZIP  
☐ Change ☐ Addition

12. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 10 or Block 11 if changed, or on an attachment with an address, with all other like empowered.

Secretary,

**SIGNATURE:** *Kenneth L. Edlow*  
SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

**Kenneth L. Edlow**

**04/19/04**

**212-272-2000**

Date

Daytime Phone #

*Attachment 48200000073*  
**THE BEAR STEARNS COMPANIES INC.**

**Officers and Directors**

April 16, 2004

NAME	TITLE	OFFICE LOCATION
James E. Cayne	Chairman of the Board/Chief Executive Officer/ <b>Director</b>	A
Alan D. Schwartz	Co-President/ Co-Chief Operating Officer/ <b>Director</b>	A
Warren J. Spector	Co-President/Co-Chief Operating Officer/ <b>Director</b>	A
Samuel L. Molinaro Jr.	Executive Vice President/ Chief Financial Officer	A
Michael S. Solender	General Counsel	A
Mark E. Lehman	Executive Vice President	A
Kenneth L. Edlow	Secretary / Ethics Compliance Officer	A
Michael Minikes	Treasurer	A
Marshall J Levinson	Senior Vice President - Finance	A
Jeffrey M. Farber	Assistant Secretary / Controller	A
Carl D. Glickman	<b>Director</b>	The Leader Bldg. 526 Superior Ave. E. Cleveland, OH 44114-1602
Alan C. Greenberg	<b>Director</b>	383 Madison Avenue, New York, NY 10179
Donald J. Harrington	<b>Director</b>	St. John's University 8000 Utopia Parkway Jamaica, NY 11439
William L. Mack	<b>Director</b>	The Mack Company 2115 Linwood Ave. S. 110 Fort Lee, NJ 07024
Frank T. Nickell	<b>Director</b>	Kelso & Company, Inc. 320 Park Avenue, 24 <sup>th</sup> Fl. New York, NY 10022
Paul A. Novelly	<b>Director</b>	St. Albans Global Management, L.L.C. P.O. Box 6220 St. Thomas, USVI 00804
Frederic V. Salerno	<b>Director</b>	Verizon Communications 1095 Ave. of the Americas, # 4140 New York, NY 10036
Vincent Tese	<b>Director</b>	A

**OFFICE LOCATION(S)**

**A:** 383 Madison Avenue,  
New York, NY 10179-0024