

# 2006 FOR PROFIT CORPORATION ANNUAL REPORT

DOCUMENT# F02000000105

Entity Name: USI SECURITIES, INC.

FILED  
Apr 17, 2006  
Secretary of State

## Current Principal Place of Business:

95 GLASTONBURY BLVD.  
GLASTONBURY, CT 06033

## New Principal Place of Business:

## Current Mailing Address:

95 GLASTONBURY BLVD.  
GLASTONBURY, CT 06033

## New Mailing Address:

FEI Number: 06-1493970

FEI Number Applied For ( )

FEI Number Not Applicable ( )

Certificate of Status Desired ( )

## Name and Address of Current Registered Agent:

CT CORPORATION SYSTEM  
1200 SOUTH PINE ISLAND RD.  
PLANTATION, FL 33324 US

## Name and Address of New Registered Agent:

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE:

Electronic Signature of Registered Agent

Date

Election Campaign Financing Trust Fund Contribution ( ).

## OFFICERS AND DIRECTORS:

Title: P ( ) Delete  
Name: O'CONNOR, JOHN  
Address: 95 GLASTONBURY BLVD.  
City-St-Zip: GLASTONBURY, CT 06033

Title: S ( ) Delete  
Name: NEWBORN, ERNEST II  
Address: 50 CALIFORNIA STREET, 24TH FLOOR  
City-St-Zip: SAN FRANCISCO, CA 94111

Title: T ( ) Delete  
Name: SCHNEIDER, ROBERT  
Address: 555 PLEASANTVILLE RD.  
City-St-Zip: BRIARCLIFF MANOR, NY 10510

Title: D ( ) Delete  
Name: ESLICK, DAVID  
Address: 555 PLEASANTVILLE RD.  
City-St-Zip: BRIARCLIFF MANOR, NY 10510

Title: ( ) Delete  
Name:  
Address:  
City-St-Zip:

## ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS:

Title: P (X) Change ( ) Addition  
Name: GRITZER, JOSEPH  
Address: 95 GLASTONBURY BLVD.  
City-St-Zip: GLASTONBURY, CT 06033

Title: SD (X) Change ( ) Addition  
Name: NEWBORN, ERNEST II  
Address: 555 PLEASANTVILLE ROAD  
City-St-Zip: BRIARCLIFF MANOR, NY 10510

Title: ( ) Change ( ) Addition  
Name:  
Address:  
City-St-Zip:

Title: AS (X) Change ( ) Addition  
Name: OBERST, NAMEE  
Address: 555 PLEASANTVILLE RD.  
City-St-Zip: BRIARCLIFF MANOR, NY 10510

Title: AT ( ) Change (X) Addition  
Name: HESS, DAVID  
Address: 555 PLEASANTVILLE ROAD  
City-St-Zip: BRIARCLIFF MANOR, NY 10510

I hereby certify that the information supplied with this filing does not qualify for the for the exemption stated in Chapter 119, Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with an address, with all other like empowered.

SIGNATURE: NAMEE OBERST

AS

04/17/2006

Electronic Signature of Signing Officer or Director

Date