

# 2002 UNIFORM BUSINESS REPORT (UBR)

**FILED**  
**Sep 12, 2002 8:00 am**  
**Secretary of State**

09-12-2002 90065 041 \*\*\*550.00

**DOCUMENT # F01000006218**

1. Entity Name  
**PEREGRINE FINANCIALS & SECURITIES, INC.**

Principal Place of Business  
**190 S. LASALLE ST., 7TH FLOOR  
 CHICAGO IL 60603**

Mailing Address  
**190 S. LASALLE ST., 7TH FLOOR  
 CHICAGO IL 60603**

2. Principal Place of Business

3. Mailing Address

Suite, Apt. #, etc.

Suite, Apt. #, etc.

City & State

City & State

4. FEI Number **42-1461985**

Applied For  
 Not Applicable

Zip

Country

Zip

Country

5. Certificate of Status Desired ☐ **\$8.75 Additional Fee Required**

6. Name and Address of Current Registered Agent

7. Name and Address of New Registered Agent

**PARK, ROBERT C  
 307 N. PARK AVE.  
 WINTER PARK FL 32789**

Name

Street Address (P.O. Box Number is Not Acceptable)

City

**FL**

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. I am familiar with, and accept the obligations of registered agent.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable

(NOTE: Registered Agent signature required when reinstating)

DATE

9. This corporation is eligible to satisfy its Intangible Tax filing requirement and elects to do so. (See criteria on back) ☒

**FILE NOW!!! FEE IS \$550.00  
 After September 13, 2002 Fee will be \$750.00  
 Make Check Payable to Department of State**

10. Election Campaign Financing Trust Fund Contribution. ☐ **\$5.00 May Be Added to Fees**

11. OFFICERS AND DIRECTORS

12. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE **SB** ☐ Delete  
 NAME **WASENDORF, RUSSELL R SR.**  
 STREET ADDRESS **190 S. LASALLE ST., 7TH FLOOR**  
 CITY-ST-ZIP **CHICAGO IL 60603**

TITLE **Director** ☒ Change ☐ Addition  
 NAME  
 STREET ADDRESS  
 CITY-ST-ZIP

TITLE **DE** ☐ Delete  
 NAME **PEARSON, THOMAS E**  
 STREET ADDRESS **190 S. LASALLE ST., 7TH FLOOR**  
 CITY-ST-ZIP **CHICAGO IL 60603**

TITLE **CFO & Director** ☒ Change ☐ Addition  
 NAME  
 STREET ADDRESS  
 CITY-ST-ZIP

TITLE **P** ☒ Delete  
 NAME **KELLY, JAMES**  
 STREET ADDRESS **190 S. LASALLE ST., 7TH FLOOR**  
 CITY-ST-ZIP **CHICAGO IL 60603**

TITLE ☐ Change ☐ Addition  
 NAME  
 STREET ADDRESS  
 CITY-ST-ZIP

TITLE ☐ Delete  
 NAME  
 STREET ADDRESS  
 CITY-ST-ZIP

TITLE ☐ Change ☐ Addition  
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TITLE ☐ Change ☐ Addition  
 NAME  
 STREET ADDRESS  
 CITY-ST-ZIP

13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or Block 12 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE:

**Director**  
 SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

**9-10-02 (312) 775-3500**

Date

Daytime Phone #

CR2E034 (4/02)

**Peregrine  
Financials &  
Securities, Inc.**

Rebecca J. Wing  
Chief Legal Officer

*Attachment*

*# F01000006218  
125209*

September 10, 2002

Uniform Business Report  
Division of Corporations  
P.O. Box 1500  
Tallahassee, FL 32302-1500

Re: Peregrine Financials & Securities, Inc.  
FEI Number 42-1461985  
Document # F01000006218

To Whom It May Concern:

Enclosed is the 2002 Uniform Business Report (UBR) for Peregrine Financials & Securities, Inc. along with check number 3462 in the amount of \$550.00 to cover the filing fee.

If you have any questions or need further information, please contact me.

Very truly yours,

PEREGRINE FINANCIALS  
& SECURITIES, INC.

By:

Rebecca J. Wing

RJW:prm