



F01000005838

ACCOUNT NO. : 072100000032

REFERENCE : 332224 4811593

AUTHORIZATION : *Patricia Pizito*

COST LIMIT : \$ 70.00

ORDER DATE : November 6, 2001

ORDER TIME : 10:28 AM

ORDER NO. : 332224-015

CUSTOMER NO: 4811593

CUSTOMER: Ross Smith, Esq
Bourne, Noll & Kenyon
382 Springfield Avenue
Po Box 690
Summit, NJ 07902

FILED
01 NOV -08 PM 3:34
SECRETARY OF STATE
TALLAHASSEE, FLORIDA

RECEIVED
01 NOV -08 AM 11:33
SECRETARY OF STATE
TALLAHASSEE, FLORIDA

FOREIGN FILINGS

NAME: FAHNESTOCK TRUST COMPANY

XXXX QUALIFICATION (TYPE: CO)

500004672815--5

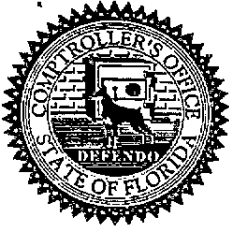
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PLEASE RETURN THE FOLLOWING AS PROOF OF FILING:

 CERTIFIED COPY
XX PLAIN STAMPED COPY
 CERTIFICATE OF GOOD STANDING

CONTACT PERSON: Jeanine Reynolds -- EXT# 1133

EXAMINER: _____



ROBERT F. MILLIGAN
COMPTROLLER OF FLORIDA

OFFICE OF THE COMPTROLLER
DEPARTMENT OF BANKING AND FINANCE
STATE OF FLORIDA
TALLAHASSEE 32399-0350

September 4, 2001

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SECRETARY OF STATE
TALLAHASSEE, FLORIDA

Charles R. Berman, Esq.
Bourne, Noli & Kenyon
Post Office Box 690
Summit, New Jersey 07902-0690

Dear Mr. Berman:

We have reviewed your letter of August 20, 2001, requesting a determination of whether an out-of-state trust company is required to file an application or provide notice to this office in order to act as fiduciary under Florida law for its clients in Florida.

Florida law does not prohibit a properly licensed or chartered trust company located outside Florida from serving as trustee for Florida residents. The trust company, however, must administer such trust accounts at its non-Florida places of business. It must not conduct actual trust business or administer trust accounts at offices in Florida.

An out-of-state corporate trustee that acts within the scope of otherwise lawful activities that are not prohibited by Section 660.41, Florida Statutes, is not required to qualify with this office in order to do so. Again, however, the statute does not authorize out-of-state trust institutions to establish or maintain trust offices in Florida for the conduct of trust business in Florida. If you have any questions, do not hesitate to contact our office.

Sincerely,

David T. Burgess
Financial Control Analyst

dtb

**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT
BUSINESS IN FLORIDA**

IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO
REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.

1. FAHNESTOCK TRUST COMPANY

(Name of corporation; must include the word "INCORPORATED", "COMPANY", "CORPORATION",
words or abbreviations of like import in language as will clearly indicate that it is a corporation instead of
natural person or partnership if not so contained in the name at present.)

2. NEW JERSEY

(State or country under the law of which it is incorporated)

3. 22-3831284

(FEI number, if applicable)

4. AUGUST 30, 2001

(Date of incorporation)

5. PERPETUAL

(Duration: Year corp. will cease to exist or "perpetual")

6. UPON QUALIFICATION

(Date first transacted business in Florida. If corporation has not transacted business in Florida, insert "upon qualification."
(SEE SECTIONS 607.1501, 607.1502 and 817.155, F.S.)

7. 382 SPRINGFIELD AVENUE, SUMMIT, NEW JERSEY 07901

(Principal office address)

382 SPRINGFIELD AVENUE, SUMMIT, NEW JERSEY 07901

(Current mailing address)

TO ACT AS A FIDUCIARY, EXECUTOR AND TRUSTEE

8.

(Purpose(s) of corporation authorized in home state or country to be carried out in state of Florida)

9. Name and street address of Florida registered agent: (P.O. Box or Mail Drop Box **NOT** acceptable)

Name: Corporation Service Company

Office Address: 1201 Hays Street

Tallahassee, Florida 32301

(City)

(Zip code)

10. Registered agent's acceptance:

*Having been named as registered agent and to accept service of process for the above stated corporation at the place
designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I
further agree to comply with the provisions of all statutes relative to the proper and complete performance of my
duties, and I am familiar with and accept the obligations of my position as registered agent.*

Corporation Service Company

Elizabeth B. Konieczny

(Registered agent's signature)

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to
the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction
under the law of which it is incorporated.

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12. Names and business addresses of officers and/or directors:

A. DIRECTORS

Chairman: See attached officers/directors rider

Address: _____

Vice Chairman: _____

Address: _____

Director: _____

Address: _____

Director: _____

Address: _____

B. OFFICERS

President: See attached officers/directors rider

Address: _____

Vice President: _____

Address: _____

Secretary: _____

Address: _____

Treasurer: _____

Address: _____

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13. James S. Little
(Signature of Chairman, Vice Chairman, or any officer listed in number 12 of the application)

14. JAMES S. LITTLE, PRESIDENT

(Typed or printed name and capacity of person signing application)

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TALLAHASSEE, FLORIDA

OFFICERS

<u>Name</u>	<u>Title</u>	<u>Residence Address</u>	<u>Business Address</u>
Albert G. Lowenthal	Chairman of the Board	188 Mamaroneck Road, Scarsdale, New York 10583	Fahnestock & Co., Inc., 125 Broad Street, New York, New York 10004
James S. Little	President	64 Beekman Road, Summit, New Jersey 07901	Fahnestock Trust Company, 382 Springfield Avenue, Summit, New Jersey 07901
George F. Stroebe	Vice President, Treasurer and Secretary	1B Cove Lane, North Bergen, New Jersey 07047	Fahnestock & Co., Inc., 125 Broad Street, New York, New York 10004
Deborah M. Vallat	Assistant Secretary	5 Quaker Hill Drive, Pittstown, New Jersey 08867	Fahnestock Trust Company, 382 Springfield Avenue, Summit, New Jersey 07901

DIRECTORS

<u>Name</u>	<u>Residence Address</u>	<u>Business Address</u>
Albert G. Lowenthal	188 Mamaroneck Road, Scarsdale, New York 10583	Fahnestock & Co., Inc., 125 Broad Street, New York, New York 10004
Robert M. Neuhoff	1184 Ocean Avenue, Sea Bright, New Jersey 07760	Fahnestock & Co., Inc., 125 Broad Street, New York, New York 10004
James S. Little	64 Beekman Road, Summit, New Jersey 07901	Fahnestock Trust Company, 382 Springfield Avenue, Summit, New Jersey 07901
Elaine K. Roberts	186 Forest Hill Road, Toronto, Ontario, Canada M5P 2N4	Fahnestock Viner Holdings Inc., 20 Eglinton Avenue West, Suite 1110, Toronto, Canada M4R 1K8
George F. Stroebel	1B Cove Lane, North Bergen, New Jersey 07047	Fahnestock & Co., Inc., 125 Broad Street, New York, New York 10004

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State of New Jersey
DEPARTMENT OF BANKING AND INSURANCE
DIVISION OF BANKING
PO BOX 40
TRENTON, NJ 08625-0040

DONALD T. DiFRANCESCO
Acting Governor

DONALD BRYAN
Acting Commissioner

October 31, 2001

TO WHOM IT MAY CONCERN:

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01 NOV -8 PM 3:34
SECRETARY OF STATE
TALLAHASSEE, FLORIDA

THIS IS TO CERTIFY that FAHNESTOCK TRUST COMPANY, with its principal office located at 382 Springfield Avenue, Summit, Union County, is a corporation duly incorporated under the laws of this State and authorized by the Commissioner of Banking and Insurance to transact business in this State in accordance with the Banking Act of 1948.

Michael D. Sheridan
Chief Examiner
Applications