

**FOR PROFIT CORPORATION
UNIFORM BUSINESS REPORT (UBR)**

FILED
May 02, 2002 8:00 am
Secretary of State

05-02-2002 90047 016 ***150.00

DOCUMENT # F01000005574

1. Entity Name

WORLD GROUP SECURITIES, INC.

DO NOT WRITE IN THIS SPACE

2. Principal Place of Business

11315 JOHNS CREEK PKWY.

3. Mailing Address

11315 JOHNS CREEK PKWY.

Suite, Apt. #, etc.

Suite, Apt. #, etc.

DO NOT WRITE IN THIS SPACE

City & State

DULUTH, GA

City & State

DULUTH, GA

4. FEI Number

42-1517006

Applied For

Not Applicable

Zip

30097

Country

USA

Zip

30097

Country

USA

5. Certificate of Status Desired

☐

**\$8.75 Additional
Fee Required**

7. Name and Address of Current Registered Agent

Name

CT CORPORATION SYSTEM

Street Address (P.O. Box Number is Not Acceptable)

1200 S. PINE ISLAND ROAD

City

PLANTATION

FL

Zip Code

33324

**DO NOT WRITE
IN THIS SPACE**

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent Signature required when reinstating)

DATE

**9. This corporation is eligible to satisfy its Intangible
Tax filing requirement and elects to do so.
(See criteria on back)**

☐

January 1 - May 1 Fee is \$150.00

After May 1, Fee is \$550.00

Amended UBR is \$61.25

Make Check Payable to Department of State

**10. Election Campaign Financing
Trust Fund Contribution.**

☐

**\$5.00 May Be
Added to Fees**

11. *See attached OFFICERS AND DIRECTORS

TITLE	P/D
NAME	CLIVE SLOVIN
STREET ADDRESS	11315 JOHNS CREEK PARKWAY
CITY-ST-ZIP	DULUTH, GA 30097
TITLE	VP
NAME	MICHAEL GALE
STREET ADDRESS	11315 JOHNS CREEK PARKWAY
CITY-ST-ZIP	DULUTH, GA 30097
TITLE	VP
NAME	JULIE A. SULLIVAN
STREET ADDRESS	11315 JOHNS CREEK PARKWAY
CITY-ST-ZIP	DULUTH, GA 30097
TITLE	VP/T
NAME	NANCY A. MOATE
STREET ADDRESS	11315 JOHNS CREEK PARKWAY
CITY-ST-ZIP	DULUTH, GA 30097
TITLE	VP/S/D
NAME	KIMBERLY A. SCOULLER
STREET ADDRESS	570 CARILLON PARKWAY
CITY-ST-ZIP	ST. PETERSBURG, FL 33716
TITLE	D
NAME	THOMAS R. MORIARTY
STREET ADDRESS	570 CARILLON PARKWAY
CITY-ST-ZIP	ST. PETERSBURG, FL 33716

TITLE	
NAME	
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CITY-ST-ZIP	

**DO NOT WRITE
IN THIS SPACE**

13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or on an attachment with an address, with all other like empowered.

SIGNATURE: *Nancy Moate* **NANCY A. MOATE**

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

4/15/02

770-453-9300

Date

Daytime Phone #

Attachment
DOL# F01000005574/644435

List of Officers for
World Group Securities, Inc.
(Continued from form)

DOCUMENT # F01000005574

Kevin Palmer
Vice President/Chief Compliance Officer
11315 Johns Creek Parkway
Duluth, GA 30097

Mark Tucker
Assistant Vice President/Chief Information Officer
11315 Johns Creek Parkway
Duluth, GA 30097