

CT CORPORATION SYSTEM

F01000005574

CORPORATION(S) NAME

World Group Securities, Inc.

FILED

01 OCT 25 PM 2:59

SECRETARY OF STATE
TALLAHASSEE, FLORIDA

RECEIVED

01 OCT 26 PM 2:03

DEPARTMENT OF STATE
DIVISION OF CORPORATIONS
TALLAHASSEE, FLORIDA

<input checked="" type="checkbox"/> Profit	<input type="checkbox"/> Amendment	<input type="checkbox"/> Merger
<input type="checkbox"/> Nonprofit		
<input checked="" type="checkbox"/> Foreign	<input type="checkbox"/> Dissolution/Withdrawal	<input type="checkbox"/> Mark
	<input type="checkbox"/> Reinstatement	
<input type="checkbox"/> Limited Partnership	<input type="checkbox"/> Annual Report	<input type="checkbox"/> Other
<input type="checkbox"/> LLC	<input type="checkbox"/> Name Registration	<input type="checkbox"/> Change of RA
	<input type="checkbox"/> Fictitious Name	<input type="checkbox"/> UCC
<input type="checkbox"/> Certified Copy	<input type="checkbox"/> Photocopies	<input type="checkbox"/> CUS
<input type="checkbox"/> Call When Ready	<input type="checkbox"/> Call If Problem	<input type="checkbox"/> After 4:30
<input checked="" type="checkbox"/> Walk In	<input type="checkbox"/> Will Wait	<input checked="" type="checkbox"/> Pick Up
<input type="checkbox"/> Mail Out		

Name _____
Availability _____
Document _____
Examiner _____
Updater _____
Verifier _____
W.P. Verifier _____

10/25/01

Order#: 4824823

200004653712--0
-10/25/01--01045--024

Ref#: *****70.00 *****70.00

Amount: \$ _____

660 East Jefferson Street
Tallahassee, FL 32301
Tel. 850 222 1092
Fax 850 222 7615

A CCH LEGAL INFORMATION SERVICES COMPANY

**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT
BUSINESS IN FLORIDA**

*IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO
REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.*

1. World Group Securities, Inc.
(Name of corporation; must include the word "INCORPORATED", "COMPANY", "CORPORATION" or words or abbreviations of like import in language as will clearly indicate that it is a corporation instead of a natural person or partnership if not so contained in the name at present.)
2. Delaware 3. 42-1517006
(State or country under the law of which it is incorporated) (FEI number, if applicable)
4. 02/06/2001 5. Perpetual
(Date of incorporation) (Duration: Year corp. will cease to exist or "perpetual")
6. 01/01/2002
(Date first transacted business in Florida. If corporation has not transacted business in Florida, insert "upon qualification.")
(SEE SECTIONS 607.1501, 607.1502 and 817.155, F.S.)
7. 11315 Johns Creek Parkway, Duluth, GA 30097
(Principal office address)
same
(Current mailing address)
To act as a broker-dealer, and to engage in any other lawful business
8. _____
(Purpose(s) of corporation authorized in home state or country to be carried out in state of Florida)
9. **Name and street address of Florida registered agent:** (P.O. Box or Mail Drop Box **NOT** acceptable)
Name: C T Corporation System
Office Address: 1200 South Pine Island Road
Plantation, Florida 33324
(City) (Zip code)

FILED
01 OCT 25 PM 2:59
SECRETARY OF STATE
TALLAHASSEE, FLORIDA

10. Registered agent's acceptance:

Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.

C T Corporation System
By: Barbara A. Burke
(Registered agent's signature)

BARBARA A. BURKE
SPECIAL ASSISTANT SECRETARY

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

12. Names and business addresses of officers and/or directors:

A. DIRECTORS

Chairman: SEE ATTACHMENT

Address: _____

Vice Chairman: _____

Address: _____

Director: _____

Address: _____

Director: _____

Address: _____

B. OFFICERS

President: SEE ATTACHMENT

Address: _____

Vice President: _____

Address: _____

Secretary: _____

Address: _____

Treasurer: _____

Address: _____

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13. Nancy A. Moate

(Signature of Chairman, Vice Chairman, or any officer listed in number 12 of the application)

14. Nancy A. Moate, Vice President

(Typed or printed name and capacity of person signing application)

WORLD GROUP SECURITIES, INC.

OFFICERS AND DIRECTORS

<u>Name</u>	<u>Home Address</u>	<u>Business Address</u>	<u>Title</u>
Clive Slovin	1576 Nantahalla Court Atlanta, GA 30329	11315 Johns Creek Parkway Duluth, GA 30097	Director, President, Chief Supervisory Officer, Chief Operations Officer
Thomas R. Moriarty	2975 Whisper Lane, N. Clearwater, FL 33762	570 Carillon Parkway St. Petersburg, FL 33716	Director
Kimberly A. Scouller	3182 Hyde Park Drive Clearwater, FL 33761	570 Carillon Parkway St. Petersburg, FL 33716	Director, Vice President, Chief Legal Officer, Secretary
Nancy A. Moate	2553 Broach Spur Road, N.W. Monroe, GA 30656	11315 Johns Creek Parkway Duluth, GA 30097	Vice President, Chief Financial Officer, Treasurer
Kevin Palmer	77 Peachtree Place NE #315 Atlanta, GA 30309	11315 Johns Creek Parkway Duluth, GA 30097	Vice President, Chief Compliance Officer
Mark Tucker	5615 Bahia Mar Circle Stone Mountain, Georgia 30087	11315 Johns Creek Parkway Duluth, GA 30097	Assistant Vice President, Chief Information Officer

State of Delaware
Office of the Secretary of State PAGE 1

I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY "WORLD GROUP SECURITIES, INC." IS DULY INCORPORATED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL CORPORATE EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE EIGHTEENTH DAY OF OCTOBER, A.D. 2001.

AND I DO HEREBY FURTHER CERTIFY THAT THE FRANCHISE TAXES HAVE NOT BEEN ASSESSED TO DATE.



Harriet Smith Windsor
Harriet Smith Windsor, Secretary of State

3353167 8300

AUTHENTICATION: 1398450

010521523

DATE: 10-18-01