

# FD 000005268

Ragen Mackenzie Investment Services, LLC  
Two Union Square, 42nd Floor  
Seattle, Washington 98101

City/State/Zip

Phone #

800004624798--9  
-10/05/01--01036--015  
\*\*\*\*\*87.50 \*\*\*\*\*87.50

Office Use Only

**CORPORATION NAME(S) & DOCUMENT NUMBER(S), (if known):**

1. \_\_\_\_\_  
(Corporation Name) (Document #)
2. \_\_\_\_\_  
(Corporation Name) (Document #)
3. \_\_\_\_\_  
(Corporation Name) (Document #)
4. \_\_\_\_\_  
(Corporation Name) (Document #)

- ☐ Walk in      ☐ Pick up time \_\_\_\_\_      ☐ Certified Copy  
☐ Mail out      ☐ Will wait      ☐ Photocopy      ☐ Certificate of Status

**NEW FILINGS**

- ☐ Profit  
☐ Not for Profit  
☐ Limited Liability  
☐ Domestication  
☐ Other

**OTHER FILINGS**

- ☐ Annual Report  
☐ Fictitious Name

**AMENDMENTS**

- ☐ Amendment  
☐ Resignation of R.A., Officer/Director  
☐ Change of Registered Agent  
☐ Dissolution/Withdrawal  
☐ Merger

**REGISTRATION/QUALIFICATION**

- ☐ Foreign  
☐ Limited Partnership  
☐ Reinstatement  
☐ Trademark  
☐ Other

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TALLAHASSEE, FLORIDA

*mt*  
10/10

Examiner's Initials

## TRANSMITTAL LETTER

TO: Registration Section  
Division of Corporations

SUBJECT: Fixed Income Analytics Incorporated  
(Name of Corporation - must include suffix)

Dear Sir or Madam:

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida", "Certificate of Existence", and check are submitted to register the above referenced foreign corporation to transact business in Florida.

Please return all correspondence concerning this matter to the following:

Aaron Louch

(Name of Person)

Fixed Income Analytics INC.

(Firm/Company)

Two Union Square, 42nd Floor

(Address)

Seattle, WA 98101

(City/State and Zip Code)

For further information concerning this matter, please call:

Aaron Louch

(Name of Person)

at (206) 652-3660

(Area Code & Daytime Telephone Number)

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### STREET ADDRESS:

Registration Section  
Division of Corporations  
409 E. Gaines St.  
Tallahassee, FL 32399

### MAILING ADDRESS:

Registration Section  
Division of Corporations  
P.O. Box 6327  
Tallahassee, FL 32314

Enclosed is a check for the following amount:

☐ \$70.00 Filing Fee

☐ \$78.75 Filing Fee &  
Certificate of Status

☐ \$78.75 Filing Fee &  
Certified Copy

☒ \$87.50 Filing Fee,  
Certificate of Status &  
Certified Copy

**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT  
BUSINESS IN FLORIDA**

IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO  
REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.

1. Fixed INcome Analytics Incorporated  
(Name of corporation; must include the word "INCORPORATED", "COMPANY", "CORPORATION" or words or abbreviations of like import in language as will clearly indicate that it is a corporation instead of a natural person or partnership if not so contained in the name at present.)
2. Washington State 3. 91-2003266  
(State or country under the law of which it is incorporated) (FEI number, if applicable)
4. October 14, 1999 5. Per Petual  
(Date of Incorporation) (Duration: Year corp. will cease to exist or "perpetual")
6. 10-1-1  
(Date first transacted business in Florida. If corporation has not transacted business in Florida, insert "upon qualification.")  
(SEE SECTIONS 607.1501, 607.1502 and 817.155, F.S.)
7. Two Union Square, 42nd Floor Seattle, WA 98101  
(Principal office address)

(Current mailing address)

8. Transact Securities Business  
(Purpose(s) of corporation authorized in home state or country to be carried out in the state of Florida)

9. Name and street address of Florida registered agent: (P.O. Box or Mail Drop Box **NOT** acceptable)

Name: Edward Riley

Office Address: 225 NE Mizner Boulevard, Suite 300  
Boca Raton, Florida 33432  
(City) (Zip Code)

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**10. Registered agent's acceptance:**

*Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.*

Edward K Riley  
(Registered agent's signature)

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

12. Names and addresses of officers and/or directors:

A. DIRECTORS

Chairman: Edward Riley  
Address: Two Union Square, 42nd Floor Seattle, WA 98101

Vice Chairman: \_\_\_\_\_  
Address: \_\_\_\_\_

Director: \_\_\_\_\_  
Address: \_\_\_\_\_

Director: \_\_\_\_\_  
Address: \_\_\_\_\_

B. OFFICERS

President: Edward Riley  
Address: Two Union Square, 42nd Floor  
Seattle, WA 98101

Vice President: \_\_\_\_\_  
Address: \_\_\_\_\_

Secretary: Edward Riley  
Address: Two Union Square, 42nd Floor  
Seattle, WA 98101

Treasurer: Edward Riley  
Address: Two Union Square, 42nd Floor  
Seattle, WA 98101

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NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13. Edward Riley  
(Signature of Chairman, Vice Chairman, or any officer listed in number 12 of the application)

14. \_\_\_\_\_  
(Typed or printed name and capacity of person signing application)

# STATE of WASHINGTON



## SECRETARY of STATE

*I, SAM REED, Secretary of State of the State of Washington and custodian of its seal,*

hereby issue this

### CERTIFICATE OF EXISTENCE/AUTHORIZATION

OF

**FIXED INCOME ANALYTICS, INC.**

**I FURTHER CERTIFY** that the records on file in this office show that the  
above named profit corporation was formed under the laws of the  
State of Washington and was issued a Certificate of Incorporation  
in Washington on October 14, 1999.

**I FURTHER CERTIFY** that as of the date of this certificate, no Articles of Dissolution  
have been filed, and that the corporation is duly authorized to  
transact business in the corporate form in the State of Washington.

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Date: September 18, 2001

*Given under my hand and the Seal of the State  
of Washington at Olympia, the State Capital*

sm

*Sam Reed, Secretary of State*