

# 2002 UNIFORM BUSINESS REPORT (UBR)

**FILED**  
**Feb 04, 2002 8:00 am**  
**Secretary of State**

02-04-2002 90014 017 \*\*\*150.00

0613633 AT

**DOCUMENT # F01000004112**

1. Entity Name

**NEWMAN FINANCIAL SERVICES, INC. OF COLORADO**

Principal Place of Business

**1801 CALIFORNIA STREET, SUITE 3700  
 DENVER CO 80202**

Mailing Address

**1801 CALIFORNIA STREET, SUITE 3700  
 DENVER CO 80202**

2. Principal Place of Business

3. Mailing Address

Suite, Apt. #, etc.

Suite, Apt. #, etc.

City & State

City & State

Zip

Country

Zip

Country

4. FEI Number

**84-0916496**

Applied For

Not Applicable

5. Certificate of Status Desired ☐

**\$8.75 Additional  
 Fee Required**

DO NOT WRITE IN THIS SPACE

6. Name and Address of Current Registered Agent

**C T CORPORATION SYSTEM  
 1200 SOUTH PINE ISLAND ROAD  
 PLANTATION FL 33324**

7. Name and Address of New Registered Agent

Name

Street Address (P.O. Box Number is Not Acceptable)

City

**FL**

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

9. This corporation is eligible to satisfy its Intangible  
 Tax filing requirement and elects to do so:  
 (See criteria on back) ☐

**FILE NOW!!! FEE IS \$150.00  
 After May 1, 2002 Fee will be \$550.00  
 Make Check Payable to Department of State**

10. Election Campaign Financing  
 Trust Fund Contribution. ☐

**\$5.00 May Be  
 Added to Fees**

11. OFFICERS AND DIRECTORS

TITLE **PD** ☐ Delete  
 NAME **BARKER, SCOT B**  
 STREET ADDRESS **1801 CALIFORNIA STREET, SUITE 3700**  
 CITY-ST-ZIP **DENVER CO 80202**

TITLE **V** ☐ Delete  
 NAME **CHEUNG, DAVID**  
 STREET ADDRESS **1801 CALIFORNIA STREET, SUITE 3700**  
 CITY-ST-ZIP **DENVER CO 80202**

TITLE **S** ☐ Delete  
 NAME **KIM, ELIZABETH**  
 STREET ADDRESS **200 WITMER ROAD**  
 CITY-ST-ZIP **HORSHAM PA 19044**

TITLE **T** ☐ Delete  
 NAME **WATTS, ROBERT L**  
 STREET ADDRESS **1801 CALIFORNIA STREET, SUITE 3700**  
 CITY-ST-ZIP **DENVER CO 80202**

TITLE **VD** ☐ Delete  
 NAME **SMITH, DAVID C**  
 STREET ADDRESS **1801 CALIFORNIA STREET, SUITE 3700**  
 CITY-ST-ZIP **DENVER CO 80202**

TITLE **VD** ☐ Delete  
 NAME **DALE, LAWRENCE H SR.**  
 STREET ADDRESS **1801 CALIFORNIA STREET, SUITE 3700**  
 CITY-ST-ZIP **DENVER CO 80202**

12. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE ☐ Change ☐ Addition  
 NAME  
 STREET ADDRESS  
 CITY-ST-ZIP

TITLE ☐ Change ☐ Addition  
 NAME  
 STREET ADDRESS  
 CITY-ST-ZIP

TITLE ☐ Change ☐ Addition  
 NAME  
 STREET ADDRESS  
 CITY-ST-ZIP

TITLE ☐ Change ☐ Addition  
 NAME  
 STREET ADDRESS  
 CITY-ST-ZIP

TITLE ☐ Change ☐ Addition  
 NAME  
 STREET ADDRESS  
 CITY-ST-ZIP

TITLE **VICE PRESIDENT - NOT A DIRECTOR** ☒ Change ☐ Addition  
 NAME  
 STREET ADDRESS  
 CITY-ST-ZIP

13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or Block 12 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE:

**Elizabeth Kim**  
 SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

Date

Daytime Phone #

**215 328-3215**

CR2E034 (9/01)