



UCC FILING & SEARCH SERVICES, INC.  
16 East Main Avenue  
Tallahassee, Florida 32301  
(850) 686-0288

**F01000002555**

HOLED  
FOR PLE  
UCC SERVICES  
OFFICE USE ONLY

846910/7000U

May 14, 2001

**CORPORATION NAME (S) AND DOCUMENT NUMBER (S):**

Azurix North America Engineering, Inc.

**Filing Evidence**

☒ Plain/Confirmation Copy

☐ Certified Copy

**Type of Document**

☐ Certificate of Status

☐ Certificate of Good Standing

☐ Articles Only

☐ All Charter Documents to include  
Articles & Amendments

☐ Fictitious Name Certificate

☐ Other

**Retrieval Request**

☐ Photocopy

☐ Certified Copy

NEW FILINGS	
<input type="checkbox"/>	Profit
<input type="checkbox"/>	Non Profit
<input type="checkbox"/>	Limited Liability
<input type="checkbox"/>	Domestication
<input type="checkbox"/>	Other

AMENDMENTS	
<input type="checkbox"/>	Amendment
<input type="checkbox"/>	Resignation of RA Officer/Director
<input type="checkbox"/>	Change of Registered Agent
<input type="checkbox"/>	Dissolution/Withdrawal
<input type="checkbox"/>	Merger

OTHER FILINGS	
<input type="checkbox"/>	Annual Reports
<input type="checkbox"/>	Fictitious Name
<input type="checkbox"/>	Name Reservation
<input type="checkbox"/>	Reinstatement

REGISTRATION/QUALIFICATION	
<input checked="" type="checkbox"/>	Foreign
<input type="checkbox"/>	Limited Liability
<input type="checkbox"/>	Reinstatement
<input type="checkbox"/>	Trademark
<input type="checkbox"/>	Other

700004214387-8

-05/14/01--01018--009

\*\*\*\*\*70.00 \*\*\*\*\*70.00

325714

FILED  
01 MAY 14 PM 12:46  
TALLAHASSEE, FLORIDA  
SECRETARY OF STATE

FILED  
2001 MAY 14 AM 10:06  
TALLAHASSEE, FLORIDA  
SECRETARY OF STATE

**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT  
BUSINESS IN FLORIDA**

*IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO  
REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.*

1. Azurix North America Engineering, Inc.

(Name of corporation; must include the word "INCORPORATED", "COMPANY", "CORPORATION" or words or abbreviations of like import in language as will clearly indicate that it is a corporation instead of a natural person or partnership if not so contained in the name at present.)

2. New Jersey

(State or country under the law of which it is incorporated)

3. applied for

(FEI number, if applicable)

4. June 24, 1998

(Date of incorporation)

5. perpetual

(Duration: Year corp. will cease to exist or "perpetual")

6. upon qualification

(Date first transacted business in Florida. If corporation has not transacted business in Florida, insert "upon qualification.")  
(SEE SECTIONS 607.1501, 607.1502 and 817.155, F.S.)

7. 1400 Smith Street, Houston, TX 77002

(Principal office address)

1400 Smith Street, Houston, TX 77002

(Current mailing address)

8. To provide engineering services and operations and maintenance of water/wastewater facilities.

(Purpose(s) of corporation authorized in home state or country to be carried out in state of Florida)

9. **Name and street address of Florida registered agent:** (P.O. Box or Mail Drop Box **NOT** acceptable)

Name: NRAI Services, Inc.

Office Address: 526 E. Park Avenue

Tallahassee

(City)

, Florida 32301

(Zip code)

10. **Registered agent's acceptance:**

*Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.*

NRAI Services, Inc.



(Registered agent's signature)

Anthony J. Alexander, Asst. Secretary

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

FILED  
01 MAY 14 PM 12:46  
TALLAHASSEE, FLORIDA  
SECRETARY OF STATE

12. Names and business addresses of officers and/or directors:

A. DIRECTORS

Chairman: see attached schedule

Address: \_\_\_\_\_

Vice Chairman: \_\_\_\_\_

Address: \_\_\_\_\_

Director: \_\_\_\_\_

Address: \_\_\_\_\_

Director: \_\_\_\_\_

Address: \_\_\_\_\_

B. OFFICERS

President: see attached schedule

Address: \_\_\_\_\_

Vice President: \_\_\_\_\_

Address: \_\_\_\_\_

Secretary: \_\_\_\_\_

Address: \_\_\_\_\_

Treasurer: \_\_\_\_\_

Address: \_\_\_\_\_

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13. Kate B. Cole

(Signature of Chairman, Vice Chairman, or any officer listed in number 12 of the application)

14. Kate B. Cole, Assistant Secretary

(Typed or printed name and capacity of person signing application)

FILED  
MAY 14 PM 2:46  
SECRETARY OF STATE  
TALLAHASSEE, FLORIDA

## Data Sheet Report

May 07, 2001

### Azurix North America Engineering, Inc.

#### DIRECTORS

##### Title

John C. Ale  
J. Michael Anderson  
James V. Derrick, Jr.

Director  
Director  
Director

FILED  
01 MAY 14 PM 12:46  
SECRETARY OF STATE  
TALLAHASSEE, FLORIDA

#### OFFICERS

##### Title

John M. Stokes  
John C. Ale  
David Clancy  
Amanda K. Martin  
R. Laird Smith  
G. Stanley Spencer  
Klaus Stolch  
Norma A. Tidrow  
David W. Glassford  
Stephen M. Brooke  
Kate B. Cole

President  
Vice President  
Vice President  
Vice President  
Vice President  
Vice President  
Vice President  
Secretary  
Treasurer  
Assistant Secretary  
Assistant Secretary

The address for each of the above individuals is:

1400 Smith Street  
Houston, TX 77002

STATE OF NEW JERSEY  
DEPARTMENT OF TREASURY  
SHORT FORM STANDING

AZURIX NORTH AMERICA ENGINEERING, INC.

*With the Previous or Alternate Name*

PHILIP UTILITIES MANAGEMENT (NEW JERSEY)  
CORPORATION

FILE  
01 MAY 14 PM 12:46  
SECRETARY OF STATE  
TALLAHASSEE, FLORIDA

*I, the Treasurer of the State of New Jersey,  
do hereby certify that the above-named  
New Jersey Domestic Profit Corporation was  
registered by this office on June 24, 1998.*

*As of the date of this certificate, said business  
continues as an active business in good standing  
in the State of New Jersey, and its Annual Reports  
are current.*

*I further certify that the registered agent and  
registered office are:*

*The Corporation Trust Company  
820 Bear Tavern Road  
Trenton, NJ 08628*

*Continued on next page . . .*

STATE OF NEW JERSEY  
DEPARTMENT OF TREASURY  
SHORT FORM STANDING

AZURIX NORTH AMERICA ENGINEERING, INC.

*With the Previous or Alternate Name*

PHILIP UTILITIES MANAGEMENT (NEW JERSEY)  
CORPORATION

FILED  
01 MAY 14 PM 12:46  
SECRETARY OF STATE  
TALLAHASSEE, FLORIDA



IN TESTIMONY WHEREOF, I have  
hereunto set my hand and  
affixed my Official Seal  
at Trenton, this  
9th day of May, 2001

Peter R Lawrance  
Acting State Treasurer