

2002 UNIFORM BUSINESS REPORT (UBR)

FILED
Apr 09, 2002 8:00 am
Secretary of State

04-09-2002 90017 010 ***150.00

0370992 AV

DOCUMENT # F01000002424

1. Entity Name

DIRECT XCHANGE SECURITIES, INC.

Principal Place of Business

Mailing Address

**2700 N. MILITARY TRAIL, SUITE 200
 BOCA RATON FL 33431**

**2700 N. MILITARY TRAIL, SUITE 200
 BOCA RATON FL 33431**



DO NOT WRITE IN THIS SPACE

2. Principal Place of Business

3. Mailing Address

Suite, Apt. #, etc.

Suite, Apt. #, etc.

City & State

City & State

4. FEI Number

75-2697870

Applied For

Not Applicable

Zip

Country

Zip

Country

5. Certificate of Status Desired ☐

\$8.75 Additional
 Fee Required

6. Name and Address of Current Registered Agent

7. Name and Address of New Registered Agent

SHAFFER, ROGER L

**2700 N. MILITARY TRAIL, SUITE 200
 BOCA RATON FL 33431**

Name

Street Address (P.O. Box Number is Not Acceptable)

City

FL

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

9. This corporation is eligible to satisfy its Intangible
 Tax filing requirement and elects to do so.
 (See criteria on back) ☐

FILE NOW!!! FEE IS \$150.00
After May 1, 2002 Fee will be \$550.00
Make Check Payable to Department of State

10. Election Campaign Financing
 Trust Fund Contribution. ☐

\$5.00 May Be
 Added to Fees

11. OFFICERS AND DIRECTORS

12. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE **PC** ☒ Delete
 NAME **ZUM TOBEL, ERNEST S**
 STREET ADDRESS **2700 N. MILITARY TRAIL, SUITE 200**
 CITY-ST-ZIP **BOCA RATON FL 33431**

TITLE **COO** ☐ Change ☒ Addition
 NAME **Joseph NIKOLSON**
 STREET ADDRESS **2700 N. Military Trail Suite 200**
 CITY-ST-ZIP **BOCA RATON, FL 33431**

TITLE **VTSD** ☐ Delete
 NAME **SHAFFER, ROGER L**
 STREET ADDRESS **2700 N. MILITARY TRAIL, SUITE 200**
 CITY-ST-ZIP **BOCA RATON FL 33431**

TITLE ☐ Change ☐ Addition
 NAME
 STREET ADDRESS
 CITY-ST-ZIP

TITLE ☐ Delete
 NAME
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TITLE ☐ Change ☐ Addition
 NAME
 STREET ADDRESS
 CITY-ST-ZIP

13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or Block 12 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE:

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

3/28/02

Date

541-995-1010

Daytime Phone #

CR2E034 (9/01)