

9.  
FOI 0000002288

TRANSMITTAL LETTER

TO: Registration Section  
Division of Corporations

SUBJECT: New York Air Brake Corporation  
(Name of corporation - must include suffix)

Dear Sir or Madam:

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida",  
"Certificate of Existence", and check are submitted to register the above referenced foreign corporation  
to transact business in Florida.

Please return all correspondence concerning this matter to the following:

900003654679--5  
-02/06/01--01099--003  
\*\*\*\*\*87.50 \*\*\*\*\*87.50

William D. Kolberg  
(Name of Person)

W01-3084

New York Air Brake Corporation  
(Firm/Company)

748 Starbuck Avenue  
(Address)

Watertown, New York 13601  
(City/State and Zip code)

900003654679--5  
-03/23/01--01064--004  
\*\*\*1265.00 \*\*\*1265.00

For further information concerning this matter, please call:

William D. Kolberg at ( 315 ) 786-5437  
(Name of Person) (Area Code & Daytime Telephone Number)

**STREET ADDRESS:**

Registration Section  
Division of Corporations  
409 E. Gaines St.  
Tallahassee, FL 32399

**MAILING ADDRESS:**

Registration Section  
Division of Corporations  
P.O. Box 6327  
Tallahassee, FL 32314

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01 MAY -1 PM 8 42  
TALLAHASSEE, FLORIDA

Enclosed is a check for the following amount:

- ☐ \$70.00 Filing Fee    ☐ \$78.75 Filing Fee & Certificate of Status    ☐ \$78.75 Filing Fee & Certified Copy    ☒ \$87.50 Filing Fee, Certificate of Status & Certified Copy
- with 5/1



FLORIDA DEPARTMENT OF STATE

Katherine Harris  
Secretary of State

February 8, 2001

WILLIAM D. KOLBERG  
748 STARBUCK AVENUE  
WATERTOWN, NY 13601

SUBJECT: NEW YORK AIR BRAKE CORP.  
Ref. Number: W01000003084

We have received your document for NEW YORK AIR BRAKE CORP. and your check(s) totaling \$87.50. However, the document has not been filed and is being retained in this office for the following:

Pursuant to section 607.1502(4), 617.1502(4) or 608.502(4), Florida Statutes, this office collects a civil penalty of \$1000 for each year this entity transacted business or conducted its affairs in Florida prior to qualification and the appropriate annual report/uniform business report fees that would have been due this office had the entity qualified the year it began operations in this state. The amount due this office to cover both annual report/uniform business report and penalty fees is \$5765.00.

Enclosed please find a copy of section 607.1501, 617.1501, or 608.502, Florida Statutes, which lists those activities that do not constitute transacting business in this state. If after reviewing this section you determine erroneous information was inserted on the application, a notarized affidavit containing the following information must be submitted: 1.) a statement indicating erroneous information was listed on the application; and 2.) the correct date the corporation began transacting business in Florida prior to the year the application was submitted did not constitute transacting business pursuant to section 607.1501, 617.1501 or 608.502, Florida Statutes.

A certificate of existence or a certificate of good standing, dated no more than 90 days prior to the delivery of the application to the Department of State, duly authenticated by the secretary of state or other official having custody of the records in the jurisdiction under the laws of which it is incorporated/organized, must be submitted to this office. A translation of the certificate under oath of the translator must be attached to a certificate which is in a language other than the English language. A photocopy of this certificate is not acceptable.

If you have any questions concerning the filing of your document, please call (850) 487-6097.

Michael Mays

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Document Specialist

Letter Number: 301A00007873

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SECRET  
ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED



FLORIDA DEPARTMENT OF STATE  
Katherine Harris  
Secretary of State

March 28, 2001

WILLIAM D. KOLBERG  
748 STARBUCK AVENUE  
WATERTOWN, NY 13601

SUBJECT: NEW YORK AIR BRAKE CORP.  
Ref. Number: W01000003084

We have received your document for NEW YORK AIR BRAKE CORP. and your check(s) totaling \$87.50. However, the document has not been filed and is being retained in this office for the following:

A certificate of existence or a certificate of good standing, dated no more than 90 days prior to the delivery of the application to the Department of State, duly authenticated by the secretary of state or other official having custody of the records in the jurisdiction under the laws of which it is incorporated/organized, must be submitted to this office. A translation of the certificate under oath of the translator must be attached to a certificate which is in a language other than the English language. A photocopy of this certificate is not acceptable.

Please return your document, along with a copy of this letter, within 60 days or your filing will be considered abandoned.

If you have any questions concerning the filing of your document, please call (850) 487-6097.

Michael Mays  
Document Specialist

Letter Number: 001A00018503

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# *Department of State*

## *Memorandum Office of the General Counsel*

TO: File

FROM: Gerard York, Assistant General Counsel

DATE: March 19, 2001

RE: New York Air Brake Corporation

Based on my review of the file, and the payments and documentation received from the corporation, it is my recommendation that this file be closed. Corporation has paid outstanding report fees from 1996 of \$765.00 and collect foreign non-qualified penalties assessed in a lump sum of \$500.00. Corporation wishes to be qualified to do business in the State of Florida. Accordingly, it is recommended corporation be issued a certificate of authority.

/gty

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SERIALS SECTION  
MAY 1 19 2001

**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT  
BUSINESS IN FLORIDA**

IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO  
REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.

1. New York Air Brake Corporation  
(Name of corporation; must include the word "INCORPORATED", "COMPANY", "CORPORATION" or words or abbreviations of like import in language as will clearly indicate that it is a corporation instead of a natural person or partnership if not so contained in the name at present.)
2. Delaware 3. 16-1385584  
(State or country under the law of which it is incorporated) (FEI number, if applicable)
4. December 11, 1990 5. Perpetual  
(Date of incorporation) (Duration: Year corp. will cease to exist or "perpetual")
6. 1996  
(Date first transacted business in Florida. If corporation has not transacted business in Florida, insert "upon qualification.")  
(SEE SECTIONS 607.1501, 607.1502 and 817.155, F.S.)
7. New York Air Brake Corporation  
(Principal office address)  
748 Starbuck Avenue, Watertown, NY 13601  
(Current mailing address)
8. Equipment Leasing  
(Purpose(s) of corporation authorized in home state or country to be carried out in state of Florida)
9. Name and street address of Florida registered agent: (P.O. Box or Mail Drop Box **NOT** acceptable)
- Name: CT Corporation System
- Office Address: 1200 South Pine Island Road  
Plantation, Florida 33324, Florida 33324  
(City) (Zip code)

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TALLAHASSEE, FLORIDA

**10. Registered agent's acceptance:**

*Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.*

Robert L. Lott, Special Assistant Secretary  
(Registered agent's signature)

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

12. Names and business addresses of officers and/or directors:

A. DIRECTORS

Chairman: Mr. Heinz Hermann Thiele

Address: Moosacher Strasse 80  
80809, Munich, Germany

Vice Chairman: Mr. Peter Riedlinger

Address: Moosacher Strasse 80  
80809, Munich, Germany

Director: Mr. Albrecht Kohler

Address: Moosacher Strasse 80  
80809 Munich, Germany

Director: J. Paul Morgan

Address: 748 Starbuck Avenue  
Watertown, New York 13601

B. OFFICERS

President: J. Paul Morgan

Address: 748 Starbuck Avenue  
Watertown, NY 13601

Sr. Vice President: Marshall G. Beck

Address: 748 Starbuck Avenue  
Watertown, New York 13601

Vice President:

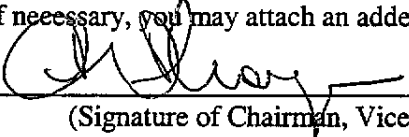
~~Secretary:~~ Daniel G. Brownell

Address: 748 Starbuck Avenue, Watertown, NY 13601

Sec. & Treasurer: Francis G. Andre

Address: 748 Starbuck Avenue, Watertown, NY 13601

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13.   
(Signature of Chairman, Vice Chairman, or any officer listed in number 12 of the application)

14. J. Paul Morgan, President  
(Typed or printed name and capacity of person signing application)

*State of Delaware*  
*Office of the Secretary of State* PAGE 1

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I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY "NEW YORK AIR BRAKE CORPORATION" IS DULY INCORPORATED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL CORPORATE EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE TWENTIETH DAY OF APRIL, A.D. 2001.

FILED  
01 MAY -1 PM 3:42  
STATE OF DELAWARE  
TALLMAGE, DEPT. 1400



*Harriet Smith Windsor*  
Harriet Smith Windsor, Secretary of State

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AUTHENTICATION: 1091598

010188496

DATE: 04-20-01