

# FOI000002167

CSC  
1201 HAYS STREET  
TALLAHASSEE, FL 32301

CONTACT: *Tamara Odom*

ACCOUNT #: 072100000032

REF#: *956981-20*

AUTHORIZATION:

*Patricia Knight*

COST LIMIT: *\$78.75*

ORDER DATE: *01/10/01*

ENTITY NAME: *Refco - Properties, Inc.*

DOMESTIC FILING

FOREIGN FILING

ARTICLES OF INCORPORATION

CERTIFICATE OF LIMITED PARTNERSHIP

☒ QUALIFICATION

CERTIFICATE OF LLC

ARTICLES OF AMENDMENT

STAMPED COPY

☒ CERTIFIED COPY

CERTIFICATE OF GOOD STANDING

PLEASE RETURN

FILED  
01/10/01 PM 3:00  
SECRETARY OF STATE  
DIVISION OF CORPORATIONS  
TALLAHASSEE, FLORIDA

500004064185--9  
-04/24/01--01059--015  
\*\*\*3450.00 \*\*\*3450.00

600003531566--6

500004064185--9  
-04/24/01--01059--014  
\*\*\*\*\*78.75 \*\*\*\*\*78.75

RECEIVED  
01 JAN 10 PM 12:50  
STATE  
DIVISION OF CORPORATIONS  
TALLAHASSEE, FLORIDA

*FOI-2167*  
*OK*



FLORIDA DEPARTMENT OF STATE

Katherine Harris  
Secretary of State

January 10, 2001

TAMARA ODOM  
CSC

SUBJECT: REFCO-PROPERTIES, INC.  
Ref. Number: W01000000748

We have received your document for REFCO-PROPERTIES, INC. and the authorization to debit your account in the amount of \$78.75. However, the document has not been filed and is being retained for the following:

Based upon information provided by the Florida Department of Revenue, pursuant to section 213.053(14), Florida Statutes, it appears that REFCO-PROPERTIES, INC. has transacted business in Florida prior to submitting an "Application for Authority to Transact Business in Florida". The information received from the Florida Department of Revenue indicates January 1, 1998, as the initial date of transacting business in the State of Florida.

Pursuant to section 607.1502(4), 617.1502(4) or 608.502(4), Florida Statutes, this office collects a civil penalty of \$1000 for each year this entity transacted business or conducted its affairs in Florida prior to qualification and the appropriate annual report fees that would have been due this office had the entity qualified the year it began operations in this state. The amount due this office to cover both annual report/uniform business report and penalty fees is \$3450.00.

If you have any questions concerning the filing of your document, please call (850) 487-6917.

Gretchen Harvey  
Document Specialist Supervisor

Letter Number: 301A00001481

**RESUBMIT**  
Please give original  
submission date as file date.

RECEIVED  
DEPARTMENT OF STATE  
DIVISION OF CORPORATIONS  
2001 APR 23 PM 3:57  
TO ACKNOWLEDGE  
SUFFICIENCY OF FILING

## TRANSMITTAL LETTER

To: Qualification/Tax Lien Section  
Division of Corporations

SUBJECT: Refco-Properties, Inc.

(Name of corporation - must include suffix)

Dear Sir or Madam:

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida", "Certificate of Existence", and check are submitted to register the above referenced foreign corporation to transact business in Florida.

Please return all correspondence concerning this matter to the following:

Trish Kristek

(Name of Person)

Hyatt Corporation

(Firm/Company)

200 W. Madison

(Address)

Chicago, IL 60606

(City/State/Zip)

FILED  
01 APR 23 PM 5:00  
SECRETARY OF STATE  
TALLAHASSEE, FL 32314

Should you need to call someone concerning this matter, please call:

Trish Kristek

(Name of Person)

at ( 312 ) 750-8168

(Area Code & Daytime Telephone Number)

### STREET ADDRESS:

Qualification/Tax Lien Section  
Division of Corporations  
409 E. Gaines St.  
Tallahassee, FL 32399

### MAILING ADDRESS:

Qualification/Tax Lien Section  
Division of Corporations  
P.O. Box 6327  
Tallahassee, FL 32314

Enclosed is a check for the following amount:

☐ \$70.00 Filing Fee

☐ \$78.75 Filing Fee &  
Certificate of Status

☒ \$78.75 Filing Fee &  
Certified Copy

☐ \$87.50 Filing Fee,  
Certificate of Status &  
Certified Copy

**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT  
BUSINESS IN FLORIDA**

*IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO  
REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.*

1. Refco-Properties, Inc.  
(Name of corporation; must include the word "INCORPORATED", "COMPANY", "CORPORATION" or words or abbreviations of like import in language as will clearly indicate that it is a corporation instead of a natural person or partnership if not so contained in the name at present.)
2. Delaware 3. 36-2932174  
(State or country under the law of which it is incorporated) (FEI number, if applicable)
4. April 11, 1975 5. Perpetual  
(Date of incorporation) (Duration: Year corp. will cease to exist or "perpetual")
6. February 1, 1997  
(Date first transacted business in Florida.) (SEE SECTIONS 607.1501, 607.1502 and 817.155, F.S.)
7. 200 W. Madison  
Chicago, IL 60606  
(Current mailing address)
8. Holds various interests in limited liability companies.  
(Purpose(s) of corporation authorized in home state or country to be carried out in state of Florida)
9. **Name and street address of Florida registered agent: (P.O. Box or Mail Drop Box NOT acceptable)**  
**Name:** Corporation Service Company  
**Office Address:** 1201 Hays Street  
Tallahassee, Florida, 32301  
(Zip code)

**10. Registered agent's acceptance:**

*Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.*

Corporation Service Company  
by: Margaret Pike  
(Registered agent's signature)

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

12. Names and addresses of officers and/or directors: (Street address **ONLY** - P.O. Box **NOT** acceptable)

**A. DIRECTORS** (Street address only - P.O. Box **NOT** acceptable)

Chairman: \_\_\_\_\_

Address: \_\_\_\_\_

Vice Chairman: \_\_\_\_\_

Address: \_\_\_\_\_

Director: Thomas J. Pritzker

Address: 200 W. Madison

Chicago, IL 60606

Director: Harold S. Handelsman

Address: 200 W. Madison

Chicago, IL 60606

**B. OFFICERS** (Street address only - P.O. Box **NOT** acceptable)

President: Thomas J. Pritzker

Address: 200 W. Madison

Chicago, IL 60606

Vice President: Sara Hays

Address: 200 W. Madison

Chicago, IL 60606

VP & Secretary: Harold S. Handelsman

Address: 200 W. Madison

Chicago, IL 60606

Treasurer: Harold S. Handelsman

Address: 200 W. Madison

Chicago, IL 60606

**NOTE:** If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13. BY: HS Handelsman

(Signature of Chairman, Vice Chairman, or any officer listed in number 12 of the application)

14. Harold S. Handelsman, Its: VP, Secretary & Treasurer of Refco-Properties, Inc.

(Typed or printed name and capacity of person signing application)

FILED  
07 APR 23 PM 5:00  
SECRETARY OF STATE  
ILLINOIS

*State of Delaware*  
*Office of the Secretary of State*

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PAGE 1

I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY "REFCO-PROPERTIES, INC." IS DULY INCORPORATED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL CORPORATE EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE EIGHTH DAY OF JANUARY, A.D. 2001.

AND I DO HEREBY FURTHER CERTIFY THAT THE FRANCHISE TAXES HAVE BEEN PAID TO DATE.

AND I DO HEREBY FURTHER CERTIFY THAT THE ANNUAL REPORTS HAVE BEEN FILED TO DATE.



*Harriet Smith Windsor*  
\_\_\_\_\_  
Secretary of State

0811326 8300

AUTHENTICATION: 0902309

010011182

DATE: 01-08-01

# FOI000002167

CSC  
1201 HAYS STREET  
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(Address)

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(City/State/Zip)

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by: Margaret Rite  
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**A. DIRECTORS** (Street address only - P.O. Box **NOT** acceptable)

Chairman: \_\_\_\_\_

Address: \_\_\_\_\_  
\_\_\_\_\_

Vice Chairman: \_\_\_\_\_

Address: \_\_\_\_\_  
\_\_\_\_\_

Director: Thomas J. Pritzker

Address: 200 W. Madison

Chicago, IL 60606

Director: Harold S. Handelsman

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(Signature of Chairman, Vice Chairman, or any officer listed in number 12 of the application)

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CHICAGO, ILL

*State of Delaware*  
*Office of the Secretary of State*

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