

2002 UNIFORM BUSINESS REPORT (UBR)

FILED
Feb 24, 2002 8:00 am
Secretary of State

02-24-2002 90044 019 ***150.00

DOCUMENT # F01000001698

1. Entity Name

NATIONAL EQUITY FUND, INC.

Principal Place of Business

**547 WEST JACKSON BLVD., SUITE 601
 CHICAGO IL 60661**

Mailing Address

**547 WEST JACKSON BLVD., SUITE 601
 CHICAGO IL 60661**

2. Principal Place of Business

**120 S. Riverside Plaza
 Suite, Apt. #, etc.
 15th Floor**

3. Mailing Address

**120 S. Riverside Plaza
 Suite, Apt. #, etc.
 15th Floor**

City & State

Chicago, IL

City & State

Chicago, IL

Zip
60606

Country
USA

Zip
60606

Country
USA

4. FEI Number

36-3490231

Applied For

☐ Not Applicable

5. Certificate of Status Desired ☐

**\$8.75 Additional
 Fee Required**

6. Name and Address of Current Registered Agent

C T CORPORATION SYSTEM

**1200 SOUTH PINE ISLAND ROAD
 PLANTATION FL 33324**

7. Name and Address of New Registered Agent

Name

Street Address (P.O. Box Number is Not Acceptable)

City

FL

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

9. This corporation is eligible to satisfy its Intangible
 Tax filing requirement and elects to do so.
 (See criteria on back) ☒

**FILE NOW!!! FEE IS \$150.00
 After May 1, 2002 Fee will be \$550.00
 Make Check Payable to Department of State**

10. Election Campaign Financing
 Trust Fund Contribution. ☐

**\$5.00 May Be
 Added to Fees**

11. **EXISTING OFFICERS AND DIRECTORS**

TITLE ☐ Delete
 NAME **PD. WILLIAM E. HOGAN**
 STREET ADDRESS **HAGAN, JOSEPH H**
 CITY-ST-ZIP **547 WEST JACKSON BLVD., SUITE 601
 CHICAGO IL 60661**

TITLE ☐ Delete
 NAME **V GENTRY, RICK**
 STREET ADDRESS **547 WEST JACKSON BLVD., SUITE 601**
 CITY-ST-ZIP **CHICAGO IL 60661**

TITLE ☐ Delete
 NAME **V HUBBARD, DARRELL**
 STREET ADDRESS **547 WEST JACKSON BLVD., SUITE 601**
 CITY-ST-ZIP **CHICAGO IL 60661**

TITLE ☐ Delete
 NAME **V PRZYPYSZNY, KAREN**
 STREET ADDRESS **547 WEST JACKSON BLVD., SUITE 601**
 CITY-ST-ZIP **CHICAGO IL 60661**

TITLE ☐ Delete
 NAME **V BACHMAN, JEFF**
 STREET ADDRESS **547 WEST JACKSON BLVD., SUITE 601**
 CITY-ST-ZIP **CHICAGO IL 60661**

TITLE ☐ Delete
 NAME **V BARNETT, EDWARD**
 STREET ADDRESS **547 WEST JACKSON BLVD., SUITE 601**
 CITY-ST-ZIP **CHICAGO IL 60661**

12. **ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11**

TITLE ☐ Change ☐ Addition
 NAME
 STREET ADDRESS
 CITY-ST-ZIP
PLEASE SEE ATTACHED LIST.

TITLE ☐ Change ☐ Addition
 NAME
 STREET ADDRESS
 CITY-ST-ZIP

TITLE ☐ Change ☐ Addition
 NAME
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 CITY-ST-ZIP

TITLE ☐ Change ☐ Addition
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 CITY-ST-ZIP

TITLE ☐ Change ☐ Addition
 NAME
 STREET ADDRESS
 CITY-ST-ZIP

13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or Block 12 if changed, or on an attachment with an address with all other time empowered.

SIGNATURE:

William E. Papaj
 SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

William E. Papaj,

Secretary

1/28/02

(312) 697-6169

Date

Daytime Phone #

CR2E034 (9/01)

ATTACHMENT

DOC# FO1000001698/
600581

NATIONAL EQUITY FUND, INC.
LIST OF OFFICERS AND DIRECTORS

<u>Name</u>	<u>Office</u>	<u>Address</u>
Joseph S. Hagan	President, Chief Executive Officer, and Director	120 S. Riverside Plaza 15th Floor Chicago, IL 60606
Rick Gentry	Senior Vice President	120 S. Riverside Plaza 15th Floor Chicago, IL 60606
Darrell R. Hubbard	Senior Vice President	120 S. Riverside Plaza 15th Floor Chicago, IL 60606
Karen Przypyszny	Senior Vice President	120 S. Riverside Plaza 15th Floor Chicago, IL 60606
Jeff Bachman	Vice President	120 S. Riverside Plaza 15th Floor Chicago, IL 60606
Edward Barnett	Vice President	120 S. Riverside Plaza 15th Floor Chicago, IL 60606
Kevin Boes	Vice President and Controller	120 S. Riverside Plaza 15th Floor Chicago, IL 60606
Deborah Burkart	Vice President	120 S. Riverside Plaza 15th Floor Chicago, IL 60606
Edward Stone	Vice President	120 S. Riverside Plaza 15th Floor Chicago, IL 60606
Judy Schneider	Vice President	120 S. Riverside Plaza 15th Floor Chicago, IL 60606

ATTACHMENT

DOC# FO10000001698/600581

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<u>Name</u>	<u>Office</u>	<u>Address</u>
Jeff M. Mudrick	Vice President	1055 Wilshire Blvd. Suite 1600 Los Angeles, CA 90017
Denise Notice-Scott	Vice President	733 Third Avenue 8th Fl. New York, NY 10017
Sonya Prear	Vice President	120 S. Riverside Plaza 15th Floor Chicago, IL 60606
Brian Segel	Vice President	733 Third Avenue 8th Fl. New York, NY 10017
Mark Siranovic	Vice President and Treasurer	120 S. Riverside Plaza 15 th Floor Chicago, IL 60606
William E. Papaj	Secretary	120 S. Riverside Plaza 15th Floor Chicago, IL 60606
Thomas Flanagan	Assistant Secretary	120 S. Riverside Plaza 15th Floor Chicago, IL 60606
Eva Garrett	Assistant Secretary	120 S. Riverside Plaza 15th Floor Chicago, IL 60606
Mark Leitson	Assistant Secretary	120 S. Riverside Plaza Suite 601 Chicago, IL 60606
Janet Wexler	Assistant Secretary	733 Third Avenue 8th Fl. New York, NY 10017

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<u>Name</u>	<u>Office</u>	<u>Address</u>
Larry H. Dale	Chairman and Director	1801 California Street Denver, CO 802012
Michael Rubinger	Vice Chairman and Director	733 Third Avenue, 8th Fl. New York, NY 10017
Kenneth Bacon	Director	3900 Wisconsin Ave., N.W. Washington, DC 20016
Adrian B. Corbiere	Director	8100 Jones Branch Dr. Mail Stop B4M McLean, VA 22102-3110
Andrew McA. Ditton	Director	850 Third Avenue 13th Floor New York, NY 10043
Marangal Domingo	Director	1201 3rd Ave. Seattle, WA 98101
Printice Gary	Director	5485 Belt Line Road Dallas, TX 75240
Paul S. Grogan	Director	733 Third Avenue, 8th Fl. New York, NY 10017
Colleen D. Hernandez	Director	3822 Summit Suite 200 Kansas City, MO 64111
George Latimer	Director	1600 Grand Avenue St. Paul, MN 55105
Mike Mantle	Director	1500 Newell Avenue Suite 200 Walnut Creek, CA 94526
Herbert E. Morse	Director	18 Porters Cove Road Hingham, MA 02043

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<u>Name</u>	<u>Office</u>	<u>Address</u>
Karen Phillips	Director	131 W. 138 th St. New York, NY 10030
J. Michael Pitchford	Director	101 S. Tryon St. Charlotte, NC 28255
David Stanley	Director	3424 Zenith Avenue So. Minneapolis, MN 55416
Juanita Tate	Director	4707 South Central Los Angeles, CA 90011
The Rev. Richard L. Toliver	Director	St. Edmund's Episcopal Church 6105 S. Michigan Ave. Chicago, IL 60637
Thomas Watt	Director	8250 Jones Branch Drive McLean, VA 22102-3110
Mark Willis	Director	380 Madison Avenue 14th Floor New York, NY 10017

As of January, 2002