

CT CORPORATION SYSTEM

# F010000001698

CORPORATION(S) NAME

National Equity Fund, Inc.

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FILED  
MAR -9 PM 2:06  
SECRETARY OF STATE  
TALLAHASSEE, FLORIDA

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SECRETARY OF STATE  
TALLAHASSEE, FLORIDA

*Advised them of 1/33/92  
- requested docs. be filed as is  
- OK per KB/let - let  
3/27/01*

☐ Profit

☐ Amendment

☐ Merger

☒ Nonprofit

☐ Dissolution/Withdrawal

☐ Mark

☒ Foreign

☐ Reinstatement

☐ Limited Partnership

☐ Annual Report

☐ Other

☐ LLC

☐ Name Registration

☐ Change of RA

☐ Certified Copy

☐ Photocopies

☐ CUS

☐ Call When Ready

☐ Call If Problem

☐ After 4:30

☒ Walk In

☐ Will Wait

☒ Pick Up

☐ Mail Out

Name

3/9/01

Order#: 3772184

Availability \_\_\_\_\_

Document

Examiner \_\_\_\_\_

Updater \_\_\_\_\_

Verifier \_\_\_\_\_

W.P. Verifier \_\_\_\_\_

Ref#:

100003829011--9

-03/09/01--01090--010

Amount: \$ \*\*\*\*\*70.00 \*\*\*\*\*70.00

RECEIVED  
FILED  
DIVISION OF CORPORATIONS  
DEPARTMENT OF STATE  
TALLAHASSEE, FLORIDA  
01 MAR -9 PM 12:49  
NOT INTENDED  
TO ACKNOWLEDGE  
SUFFICIENCY OF FILING

660 East Jefferson Street  
Tallahassee, FL 32301  
Tel. 850 222 1092  
Fax 850 222 7615

A CCH LEGAL INFORMATION SERVICES COMPANY

*3/12/28 9*



FLORIDA DEPARTMENT OF STATE

Katherine Harris  
Secretary of State

March 9, 2001

C T CORPORATION SYSTEM

TALLAHASSEE, FL

SUBJECT: NATIONAL EQUITY FUND, INC.  
Ref. Number: W01000005432

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01 MAR -9 PM 2:06  
SECRETARY OF STATE  
TALLAHASSEE, FL  
WACK -  
pick -

We have received your document for NATIONAL EQUITY FUND, INC. and your check(s) totaling \$70.00. However, the enclosed document has not been filed and is being returned for the following correction(s):

Please note that we have RETAINED your \$70.00 payment.

The name designated in your document is not available. Therefore, corporation must adopt an alternate name for use in the state of Florida. adopt an alternate name the corporation must submit a corporate resolution the board of directors adopting the alternate name for use in the state of Florida. Please note the corporate resolution must be signed by the chairman, vice chairman, or an officer of the corporation. The alternate name must contain a corporate suffix. Such suffixes include: Corporation, Corp., Incorporated, Inc., Company, and CO.

Please RETURN ALL DOCUMENTATION to the ATTENTION of the DOCUMENT SPECIALIST indicated.

Please return your document, along with a copy of this letter, within 60 days or your filing will be considered abandoned.

If you have any questions concerning the filing of your document, please call (850) 487-6914.

A.M.: Buck Kohr  
Corporate Specialist

Letter Number: 001A00014621

Please Back Date.  
THANK YOU.

FILED  
01 MAR -9 PM 2:06  
SECRETARY OF STATE  
TALLAHASSEE, FL  
RECEIVED  
01 MAR 27 PM 3:51  
DIVISION OF CORPORATIONS  
TALLAHASSEE, FLORIDA

**APPLICATION BY FOREIGN NOT FOR PROFIT CORPORATION FOR  
AUTHORIZATION TO CONDUCT ITS AFFAIRS IN FLORIDA**

IN COMPLIANCE WITH SECTION 617.1503, FLORIDA STATUTES, THE FOLLOWING IS  
SUBMITTED TO REGISTER A FOREIGN NOT FOR PROFIT CORPORATION FOR  
AUTHORIZATION TO CONDUCT ITS AFFAIRS IN THE STATE OF FLORIDA

1. National Equity Fund, Inc.

(Name of corporation: must include the word "INCORPORATED" or "CORPORATION" or words or abbreviations of like import in language as will clearly indicate that it is a corporation instead of a natural person or partnership if not so contained in the name at present. "Company" or "Co." may not be used as corporate suffix by a nonprofit corporation.)

2. Illinois

(State or country under the law of which it is incorporated)

3. 36-3490231

(FBI number, if applicable)

4. January 9, 1987

(Date of Incorporation)

5. Perpetual

(Duration: Year corp. will cease to exist or "perpetual")

6. February, 2001

(Date corporation first conducted Affairs in Florida -  
See sections 617.1501, 617.1502, and 817.155, F.S.)

7. 547 W. Jackson Blvd., Suite 601

Chicago, IL 60661

(Current mailing address)

8. Non-profit, social welfare organization, promoting investment in low-income housing.

(Purpose(s) of corporation authorized in home state or country to be carried out in the state of Florida)

9. **Name and street address of Florida registered agent:**

C T CORPORATION SYSTEM

(Name)

c/o C T CORPORATION SYSTEM, 1200 S. Pine Island Rd.,

(Office address)

Plantation

(City)


Florida, 33324

(Zip Code)

10. **Registered agent's acceptance:**

*Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.*

C T CORPORATION SYSTEM

  
(Registered agent's signature)

Christine M. Eastwine  
Assistant Secretary

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other

official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

**12. Names and addresses of officers and/or directors: (Street address only- P. O. Box NOT acceptable)**

**A. DIRECTORS (Street address only- P. O. Box NOT acceptable)**

Chairman: See attached list.

Address: \_\_\_\_\_

Vice Chairman: \_\_\_\_\_

Address: \_\_\_\_\_

Director: \_\_\_\_\_

Address: \_\_\_\_\_

Director: \_\_\_\_\_

Address: \_\_\_\_\_

**B. OFFICERS (Street address only- P. O. Box NOT acceptable)**

President: See attached list.

Address: \_\_\_\_\_

Vice President: \_\_\_\_\_

Address: \_\_\_\_\_

Secretary: \_\_\_\_\_

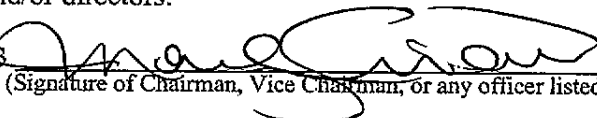
Address: \_\_\_\_\_

Treasurer: \_\_\_\_\_

Address: \_\_\_\_\_

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13

  
(Signature of Chairman, Vice Chairman, or any officer listed in number 12 of the application)

Mark Siranovic, Vice President

(Typed or printed name and capacity of person signing application)

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TALLAHASSEE, FLORIDA

**NATIONAL EQUITY FUND, INC.**  
**LIST OF OFFICERS AND DIRECTORS**

<u>Name</u>	<u>Office</u>	<u>Address</u>
Joseph S. Hagan	President, Chief Executive Officer, and Director	547 W. Jackson Blvd. Suite 601 Chicago, IL 60661
Rick Gentry	Senior Vice President	547 W. Jackson Blvd. Suite 601 Chicago, IL 60661
Darrell Hubbard	Senior Vice President	547 W. Jackson Blvd. Suite 601 Chicago, IL 60661
Karen Przypyszny	Senior Vice President	547 W. Jackson Blvd. Suite 601 Chicago, IL 60661
Jeff Bachman	Vice President	547 W. Jackson Blvd. Suite 601 Chicago, IL 60661
Edward Barnett	Vice President	547 W. Jackson Blvd. Suite 601 Chicago, IL 60661
Deborah Burkart	Vice President	547 W. Jackson Blvd. Suite 601 Chicago, IL 60661
Joseph Forgue	Vice President	547 W. Jackson Blvd. Suite 601 Chicago, IL 60661
David Lichterman	Vice President and Controller	547 W. Jackson Blvd. Suite 601 Chicago, IL 60661
Jeff M. Mudrick	Vice President	1055 Wilshire Blvd. Suite 1600 Los Angeles, CA 90017
Sonya Prear	Vice President	547 W. Jackson Blvd. Suite 601 Chicago, IL 60661
Brian Segel	Vice President	733 Third Avenue 8th Fl. New York, NY 10017

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Page 2- 2001 Officers and Directors

<u>Name</u>	<u>Office</u>	<u>Address</u>
Mark Siranovic	Vice President and Treasurer	547 W. Jackson Blvd. Suite 601 Chicago, IL 60661
William E. Papaj	Secretary	547 W. Jackson Blvd. Suite 601 Chicago, IL 60661
Thomas Flanagan	Assistant Secretary	547 W. Jackson Blvd Suite 601 Chicago, IL 60661
Eva Garrett	Assistant Secretary	547 W. Jackson Blvd Suite 601 Chicago, IL 60661
Ted Handel	Assistant Secretary	1055 Wilshire Blvd. Suite 1600 Los Angeles, CA 90017
Mark Leitson	Assistant Secretary	547 W. Jackson Blvd Suite 601 Chicago, IL 60661
Janet Wexler	Assistant Secretary	733 Third Avenue 8th Fl. New York, NY 10017
Larry H. Dale	Chairman and Director	1801 California Street Denver, CO 80202
Michael Rubinger	Vice Chairman and Director	733 Third Avenue, 8th Fl. New York, NY 10017
Andrew McA. Ditton	Director	850 Third Avenue 13th Floor New York, NY 10043
Marangal Domingo	Director	1201 3 <sup>rd</sup> Ave. Seattle, WA 98101
Printice Gary	Director	5485 Belt Line Road Dallas, TX 75240
Paul S. Grogan	Director	733 Third Avenue, 8th Fl. New York, NY 10017

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JANUARY 9 2001

Page 3- 2001 Officers and Directors

<u>Name</u>	<u>Office</u>	<u>Address</u>
Colleen D. Hernandez	Director	3822 Summit Suite 200 Kansas City, MO 64111
Louis W. Hoyes	Director	3900 Wisconsin Avenue Washington, DC 20016
George Latimer	Director	1600 Grand Avenue St. Paul, MN 55105
Edward Lloyd	Director	733 Third Avenue 8th Fl. New York, NY 10017
Mike Mantle	Director	1500 Newell Avenue Suite 200 Walnut Creek, CA 94526
Herbert E. Morse	Director	18 Porters Cove Road Hingham, MA 02043
J. Michael Pitchford	Director	101 S. Tryon St. Charlotte, NC 28255
David Stanley	Director	3424 Zenith Avenue So. Minneapolis, MN 55416
Juanita Tate	Director	4707 South Central Los Angeles, CA 90011
The Rev. Richard L. Toliver	Director	St. Edmund's Episcopal Church 6105 S. Michigan Ave. Chicago, IL 60637
Thomas Watt	Director	8250 Jones Branch Drive McLean, VA 22102-3110
Mark Willis	Director	380 Madison Avenue 14th Floor New York, NY 10017

As of February 2001

File Number 5451-323-2



*To all to whom these Presents Shall Come, Greeting:*

*I, Jesse White, Secretary of State of the State of Illinois, do hereby certify that*

NATIONAL EQUITY FUND, INC., A DOMESTIC CORPORATION, INCORPORATED UNDER THE LAWS OF THIS STATE JANUARY 9, 1987, APPEARS TO HAVE COMPLIED WITH ALL THE PROVISIONS OF THE GENERAL NOT FOR PROFIT CORPORATION ACT OF THIS STATE, AND AS OF THIS DATE, IS A DOMESTIC CORPORATION IN GOOD STANDING IN THE STATE OF ILLINOIS\*\*\*\*\*



*In Testimony Whereof, I, hereto set my hand and cause to be affixed the Great Seal of the State of Illinois, this* 7TH *day of* MARCH *A.D.* 2001.

*Jesse White*

SECRETARY OF STATE