# FORPRION YS ENDUOUS 1127

CORPORATION(S) NAME **AFSG Securities Corporation** Profit () Amendment () Merger Nonprofit Foreign -() Dissolution/Withdrawal () Mark () Reinstatement () Limited Partnership () Annual Report () Other ()LLC () Name Registration () Change of RA () Fictitious Name () UCC Certified Copy () Photocopies () CUS () Call When Ready () Call If Problem () After 4:30 (x) Walk In () Will Wait (x) Pick Up () Mail Out Name 2/27/01 Order#: 363881 Availability M.S. Document Examiner Ref#: Updater Verifier W.P. Verifier Amount: \$

660 East Jefferson Street Tallahassee, FL 32301 Tel. 850 222 1092 Fax 850 222 7615 400003783594--5 -02/27/01--01116--014 \*\*\*\*\*\*78.75 \*\*\*\*\*\*78.75

### APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT BUSINESS IN FLORIDA

REGISTER A FOREIGN CORPORATION TO T			
1 AFSG Securities Corporation	•		
(Name of corporation; must include the word "ING words or abbreviations of like import in language natural person or partnership if not so contained in	as will clearly indica	te that it is a corporation instead of a	FILED PH 3: 51
2. Pennsylvania	3, 23-242		10 m
(State or country under the law of which it is inco	orporated)	(FEI number, if applicable)	
4. 03/12/1986	5. Perpet		<del></del>
(Date of incorporation)	(Dur	ation: Year corp. will cease to exist or "p	erpetual")
6. Upon Qualification	-		-
(Date first transacted business in Florida. If corpor		eted business in Florida, insert "upon qua 502 and 817.155, F.S.)	lification.")
(OLL SECTION	115 007.1501, 007.1	302 and 317.133, 1.5.)	
7. 4333 Edgewood Road NE, Cedar Rapids, IA 524			
(Princip	pal office address)		
400 West Market Street Louisville, KY 40202			
(Curren	t mailing address)		
To engage in any and all lawful act or activity per 8.	rmitted by law.		
(Purpose(s) of corporation authorized in hor	me state or country to	be carried out in state of Florida)	-
9. Name and <u>street address</u> of Florida registe	ered agent: (P.O.	Box or Mail Drop Box NOT accepta	ble)
Name: CT Corporation System			
Office Address: 1200 South Pine Island Road			
Plantation		Florida 33324	
(City)	,	(Zip code)	•
10. Registered agent's acceptance: Having been named as registered agent and to designated in this application, I hereby accept to further agree to comply with the provisions of duties, and I am familiar with and accept the o	the appointment a all statutes relative	s registered agent and agree to act in to the proper and complete perforn	this capacity. I
CT Corporation S  By: Orlara (Registe	System  OULE Q  ared agent's signature	BABARA A. BURKE SPECIAL ASSISTANT SECRETARY	,

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

## 12. Names and business addresses of officers and/or directors: A. DIRECTORS Chairman: SEE ATTACHMENT Address: Vice Chairman: \_ Address: \_\_ Address: Director: \_ Address: \_ **B. OFFICERS** President: SEE ATTACHMENT Address: Vice President: \_ Address: \_ Secretary: \_ Address: \_ NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors. (Signature of Chairman, Vice Chairman, or any officer listed in number 12 of the application)

(Typed or printed name and capacity of person signing application)

14. John K. Carter, Vice President

# OFFICERS AND DIRECTORS OF AFSG SECURITIES CORPORATION

#### AS OF JANUARY 17, 2001

(1)AEGON USA 4333 Edgewood Road, NE Cedar Rapids, IA 52499

(2)AEGON 400 W. Market Street Louisville, KY 40202 (3)AEGON EQUITY GROUP 570 Carillon Parkway St. Petersburg, FL 33716-1202

(4)AEGON 1111 N. Charles Street Baltimore, MD 21201

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#### Officers

Larry N. Norman(1) Anne M. Spaes(2) Lisa A. Wachendorf(2)

John K. Carter(3)
William G. Cummings(3)
Thomas R. Moriarty(3)
Christopher G. Roetzer(3)
Michael V. Williams(3)
Frank A. Camp(1)
Linda Gilmer(1)
Priscilla I. Hechler(3)

Thomas E. Pierpan(3)

Darin D. Smith(1)

Teresa L. Stolba(1) Emily Bates(2) Clifton W. Flenniken(4) President Vice President

Vice President and Chief Compliance Officer Vice President Vice President Vice President Vice President Vice President Secretary

Controller and Treasurer Assistant Vice President and

Assistant Secretary

Assistant Vice President and

Assistant Secretary

Vice President and Assistant

Secretary

Assistant Compliance Officer

Assistant Treasurer Assistant Treasurer

#### Directors

Larry N. Norman Lisa A. Wachendorf Anne M. Spaes

#### COMMONWEALTH OF PENNSYLVANI

#### DEPARTMENT OF STATE

FEBRUARY 20, 2001

TO ALL WHOM THESE PRESENTS SHALL COME, GREETING:

I DO HEREBY CERTIFY THAT.

#### AFSG SECURITIES CORPORATION

is duly incorporated under the laws of the Commonwealth of Pennsylvania and remains a subsisting corporation so far as the records of this office show, as of the date herein.

To Report Alvery register.

IN TESTIMONY WHEREOF, I have hereunto set my hand and caused the Seal of the Secretary's Office to be affixed, the day and year above written.

Secretary of the Commonwealth

DPOS