

2004 FOR PROFIT CORPORATION ANNUAL REPORT

FILED
Jan 20, 2004 8:00 am
Secretary of State

01-20-2004 90064 032 ***150.00

DOCUMENT # F01000001041

1. Entity Name
WS GRIFFITH ADVISORS, INC.



Principal Place of Business
**ONE AMERICAN ROW
HARTFORD, CT 06102-5056**

Mailing Address
**% JOHN H. BEERS
ONE AMERICAN ROW
HARTFORD, CT 06102-5056**

24002219



01052004 Chg-P CR2E034 (10/03)

2. Principal Place of Business

3. Mailing Address

Suite, Apt. #, etc.

Suite, Apt. #, etc.

City & State

City & State

Zip

Country

Zip

Country

4. FEI Number
06-1605027

Applied For
☐ Not Applicable

5. Certificate of Status Desired ☐ **\$8.75 Additional Fee Required**

6. Name and Address of Current Registered Agent

7. Name and Address of New Registered Agent

**C T CORPORATION SYSTEM
1200 SOUTH PINE ISLAND ROAD
PLANTATION, FL 33324**

Name

Street Address (P.O. Box Number is Not Acceptable)

City

FL

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. I am familiar with, and accept the obligations of registered agent.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

**FILE NOW!!! FEE IS \$150.00
After May 1, 2004 Fee will be \$550.00**

9. Election Campaign Financing
Trust Fund Contribution. ☐ **\$5.00 May Be Added to Fees**

10. OFFICERS AND DIRECTORS

11. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE
NAME
STREET ADDRESS
CITY - ST - ZIP
**CEOC
KELLEHER, JOSEPH E
1444 FOUNDAS PLAZA SUITE 400
HARTFORD, CT 061025056** ☐ Delete

TITLE
NAME
STREET ADDRESS
CITY - ST - ZIP
One American Row, ☒ Change ☐ Addition

TITLE
NAME
STREET ADDRESS
CITY - ST - ZIP
**CFOV
MILLER, LAURA
3131 CAMINO DEL RIO NORTH SUITE 400
SAN DIEGO, CA 92108** ☐ Delete

TITLE
NAME
STREET ADDRESS
CITY - ST - ZIP
☐ Change ☐ Addition

TITLE
NAME
STREET ADDRESS
CITY - ST - ZIP
**VS
BEERS, JOHN H
ONE AMERICAN ROW
HARTFORD, CT 061025056** ☐ Delete

TITLE
NAME
STREET ADDRESS
CITY - ST - ZIP
☐ Change ☐ Addition

TITLE
NAME
STREET ADDRESS
CITY - ST - ZIP
**AT
GUZZELLI, SUSAN L
66 PROSPECT STREET
HARTFORD, CT 061150000** ☐ Delete

TITLE
NAME
STREET ADDRESS
CITY - ST - ZIP
**One American Row
Hartford, CT 06102** ☒ Change ☐ Addition

TITLE
NAME
STREET ADDRESS
CITY - ST - ZIP
**VT
CODY, KATHERINE
66 PROSPECT STREET
HARTFORD, FL 06115** ☐ Delete

TITLE
NAME
STREET ADDRESS
CITY - ST - ZIP
**One American Row
Hartford, CT 06102** ☒ Change ☐ Addition

TITLE
NAME
STREET ADDRESS
CITY - ST - ZIP
☐ Delete

TITLE
NAME
STREET ADDRESS
CITY - ST - ZIP
☐ Change ☐ Addition

12. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 10 or Block 11 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE:

John H. Beers
SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

01-09-04

Date

(860) 403-5050

Daytime Phone #

Attachment

24002219

#F01000001041

**WS Griffith Advisors, Inc.
Director/Officer Listing**

<i>Name</i>	<i>Title</i>	<i>Business Address</i>
J. Gary Beagin	Chief Operating Officer, Branch Division	One American Row, Mail Stop 1CP8 Hartford, CT 06102-5056
Stuart L. Bergman	Vice President, Distribution Development	One American Row Hartford, CT 06102-5056
Virginia Carman	Vice President, Distribution Relations	One American Row, Mail Stop 1CP8 Hartford, CT 06102-5056
Constance Cook	Vice President, Trading	3131 Camino Del Rio North, Suite 400 San Diego, CA 92108
Richard S. Dahl	Vice President, Administration	3131 Camino Del Rio North, Suite 400 San Diego, CA 92108
Albert D'Agosto	Vice President, Recruiting/Development	One American Row, Mail Stop 1CP8 Hartford, CT 06102-5056
Nancy J. Engberg	Vice President and Chief Compliance Officer	One American Row Hartford, CT 06102-5056
James J. Gadarowski	Assistant Secretary	One American Row Hartford, CT 06102-5056
Elaine Gruet	Assistant Vice President, Registered Investment Advise	One American Row, Mail Stop 1CP8 Hartford, CT 06102-5056
Michael E. Haylon	Director	One American Row, Mail Stop 1CP8 Hartford, CT 06102-5056
Mark R. Hill	Vice President, Insurance Operations	One American Row, Mail Stop 1CP8 Hartford, CT 06102-5056
Karen M. Hurley	Vice President, Business Operations	One American Row, Mail Stop 1CP8 Hartford, CT 06102-5056
Steven J. Kaminski	Assistant Vice President, Compliance	One American Row, Mail Stop 1CP8 Hartford, CT 06102-5056
Robert G. Lautensack, Jr.	Director	One American Row Hartford, CT 06102-5056
Marlene E. Luebeck	Assistant Treasurer	One American Row Hartford, CT 06102-5056
Bonnie J. Masamery	Assistant Vice President, Regional & Field Operations	One American Row, Mail Stop 1CP8 Hartford, CT 06102-5056
Sharon M.N. Presch	Vice President, Business Operations	One American Row, Mail Stop 1CP8 Hartford, CT 06102-5056
Katherine M. Siino	Vice President, Compliance	One American Row, Mail Stop 1CP8 Hartford, CT 06102-5056
Shai Silberman	Assistant Vice President, Information Technology	3131 Camino Del Rio North, Suite 400 San Diego, CA 92108
C. Patricia Stovell	Vice President, HR & BOAD	One American Row, Mail Stop 1CP8 Hartford, CT 06102-5056
Daniel E. Roy	Assistant Vice President	100 Bright Meadow Boulevard Enfield, CT 06082
John A. Vaccaro	Senior Vice President	One American Row, Mail Stop 1CP8 Hartford, CT 06102-5056
John F. Vaughn	Assistant Vice President, Compliance	One American Row Hartford, CT 06102-5056
Matthew D. Verdi	Vice President, Distribution Development	One American Row, Mail Stop 1CP8 Hartford, CT 06102-5056
Faye W. Williamson	Vice President, Training/Development	One American Row, Mail Stop 1CP8 Hartford, CT 06102-5056

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<i>Name</i>	<i>Title</i>	<i>Business Address</i>
Jane Wolff	Vice President, Marketing & Communications	One American Row, Mail Stop 1CP8 Hartford, CT 06102-5056