

**FOR PROFIT CORPORATION
UNIFORM BUSINESS REPORT (UBR)**

FILED

02 MAY 14 AM 8:35

SECRETARY OF STATE
TALLAHASSEE, FLORIDA

DOCUMENT # **FD1000000663** ✓

1. Entity Name

Lehman Risk Advisors, Inc.

DO NOT WRITE IN THIS SPACE

2. Principal Place of Business

3 World Financial Center

3. Mailing Address

101 Hudson Street

Suite, Apt. #, etc.

Suite, Apt. #, etc.

39th. Floor

City & State

New York, NY

City & State

Jersey City, NJ

Zip

10285

Country

Zip

07302

Country

4. FEI Number

13-4007808

Applied For

Not Applicable

5. Certificate of Status Desired ☐

\$8.75 Additional
Fee Required

DO NOT WRITE IN THIS SPACE

**DO NOT WRITE
IN THIS SPACE**

7. Name and Address of Current Registered Agent

Name

The Prentice-Hall Corporation System, Inc.

Street Address (P.O. Box Number is Not Acceptable)

1201 Hays Street

City

Tallahassee

FL

Zip Code
32301

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable

(NOTE: Registered Agent Signature required when reinstating)

DATE

9. This corporation is eligible to satisfy its Intangible
Tax filing requirement and elects to do so.
(See criteria on back) ☐

January 1 - May 1 Fee is \$150.00

After May 1, Fee is \$550.00

Amended UBR is \$61.25

Make Check Payable to Department of State

10. Election Campaign Financing
Trust Fund Contribution. ☐

\$5.00 May Be
Added to Fees

11. OFFICERS AND DIRECTORS

TITLE
NAME
STREET ADDRESS
CITY- ST- ZIP
PD
Micheal Mazzei
3 World Financial Center
New York, NY 10285

TITLE
NAME
STREET ADDRESS
CITY- ST- ZIP
T
Heidemaria Echtermann
3 World Financial Center
New York, NY 10285

TITLE
NAME
STREET ADDRESS
CITY- ST- ZIP
S
Jeffrey A. Welison
3 World Financial Center
New York, NY 10285

TITLE
NAME
STREET ADDRESS
CITY- ST- ZIP
AT
Barry J O'Brien
101 Hudson Steet
Jersey City, NJ 07302

TITLE
NAME
STREET ADDRESS
CITY- ST- ZIP
D
Kenneth C. Cohen
3 World Financial Center
New York, NY 10285

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**DO NOT WRITE
IN THIS SPACE**

13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or on an attachment with an address, with all other like empowered.

SIGNATURE:

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

Barry J O'Brien

Date

Daytime Phone #

CR2E034B (12/01)