



FOI 000000663

ACCOUNT NO. : 072100000032

REFERENCE : 986615 5024449

AUTHORIZATION :

COST LIMIT : \$ 70.00

Patricia Pizzuto

FILED
01 FEB -2 AM 10:07
SECRETARY OF STATE
TALLAHASSEE, FLORIDA

ORDER DATE : February 1, 2001

ORDER TIME : 11:23 AM

ORDER NO. : 986615-005

CUSTOMER NO: 5024449

200003631122--1

CUSTOMER: Ms. Eileen M. Bannon
Lehman Brothers
1 World Financial Center
27th Floor
New York, NY 10281

W-1-2574

FOREIGN FILINGS

NAME: LEHMAN RISK ADVISORS INC.

XXXX QUALIFICATION (TYPE: CO)

PLEASE RETURN THE FOLLOWING AS PROOF OF FILING:

 CERTIFIED COPY
XX PLAIN STAMPED COPY
 CERTIFICATE OF GOOD STANDING

CONTACT PERSON: *Denise Mick*
~~Jeanine Reynolds~~ -- EXT# 1150

EXAMINER: _____

RECEIVED
01 FEB -2 PM 1:00
DIVISION OF CORPORATION

BE 2/2



FLORIDA DEPARTMENT OF STATE
Katherine Harris
Secretary of State

February 2, 2001

CSC
ATTN: DENISE MICK

SUBJECT: LEHMAN RISK ADVISORS INC.
Ref. Number: W01000002574

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SECRETARY OF STATE
TALLAHASSEE, FLORIDA

We have received your document for LEHMAN RISK ADVISORS INC. and the authorization to debit your account in the amount of \$70.00. However, the document has not been filed and is being returned for the following:

The registered agent must sign accepting the designation.

Please return your document, along with a copy of this letter, within 60 days or your filing will be considered abandoned.

If you have any questions concerning the filing of your document, please call (850) 487-6958.

Lee Rivers
Document Specialist

Letter Number: 301A00006577

RECEIVED

01 FEB -5 AM 8:51

DEPT. OF STATE
DIVISION OF CORPORATIONS
TALLAHASSEE, FLORIDA

RESUBMIT
Please give original
submission date as file date.

**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT
BUSINESS IN FLORIDA**

IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO
REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.

1. LEHMAN RISK ADVISORS INC.
(Name of corporation; must include the word "INCORPORATED", "COMPANY", "CORPORATION" or words or abbreviations of like import in language as will clearly indicate that it is a corporation instead of a natural person or partnership if not so contained in the name at present.)
2. Delaware 3. 13-400 7808
(State or country under the law of which it is incorporated) (FEI number, if applicable)
4. April 21, 1998 5. perpetual
(Date of incorporation) (Duration: Year corp. will cease to exist or "perpetual")
6. UPON QUALIFICATION
(Date first transacted business in Florida. If corporation has not transacted business in Florida, insert "upon qualification.")
(SEE SECTIONS 607.1501, 607.1502 and 817.155, F.S.)
7. 3 World Financial Center, 27th Floor, New York, NY 10285
(Principal office address)

(Current mailing address)

8. REINSURANCE BROKER / AGENT
(Purpose(s) of corporation authorized in home state or country to be carried out in state of Florida)

9. Name and street address of Florida registered agent: (P.O. Box or Mail Drop Box **NOT** acceptable)

Name: Corporation Service Company

Office Address: 1201 Hays Street

Tallahassee, Florida 32301
(City) (Zip code)

10. Registered agent's acceptance:

Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.

Corporation Service Company

Lynette Coleman
(Registered agent's signature)

Lynette Coleman
as its agent

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

12. Names and business addresses of officers and/or directors:

A. DIRECTORS

Chairman: MICHAEL MAZZEI

Address: 3 WORLD FINANCIAL CENTER
NEW YORK NEW YORK 10285

Director
Vice Chairman: JOHN NICHOLSON

Address: 3 WORLD FINANCIAL CENTER
NEW YORK NEW YORK 10285

Director: CLAUDIO CORNACI

Address: 3 WORLD FINANCIAL CENTER
NEW YORK NEW YORK 10285

Director: MICHAEL MAZZEI

Address: 3 WORLD FINANCIAL CENTER
NEW YORK, NEW YORK 10285

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01 FEB -2 M 10:07
SECRETARY OF STATE
TALLAHASSEE, FLORIDA

B. OFFICERS

President: MICHAEL MAZZEI

Address: 3 WORLD FINANCIAL CENTER
NEW YORK NEW YORK, 10285

Executive
Vice President: CLAUDIO CORNACI

Address: 3 WORLD FINANCIAL CENTER
NEW YORK NEW YORK 10285

Secretary: JEFFREY NELINSON

Address: 3 WORLD FINANCIAL CENTER, NEW YORK, NEW YORK 10285

Treasurer: DAN T. LOWITT

Address: 3 WORLD FINANCIAL CENTER, NEW YORK, NEW YORK
10285

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13. Eileen M. Bannon

(Signature of Chairman, Vice Chairman, or any officer listed in number 12 of the application)

14. Eileen M. Bannon, Assistant Secretary

(Typed or printed name and capacity of person signing application)

State of Delaware
Office of the Secretary of State

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I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY "LEHMAN RISK ADVISORS INC." IS DULY INCORPORATED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL CORPORATE EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE FIRST DAY OF FEBRUARY, A.D. 2001.

AND I DO HEREBY FURTHER CERTIFY THAT THE FRANCHISE TAXES HAVE BEEN PAID TO DATE.

AND I DO HEREBY FURTHER CERTIFY THAT THE ANNUAL REPORTS HAVE BEEN FILED TO DATE.

FILED
01 FEB -2 AM 10:07
SECRETARY OF STATE
TALLAHASSEE, FLORIDA



Harriet Smith Windsor
Harriet Smith Windsor, Secretary of State

2886525 8300

AUTHENTICATION: 0949039

010052857

DATE: 02-01-01