

2001 UNIFORM BUSINESS REPORT (UBR)**DOCUMENT # F00000005251**

1. Entity Name

XL CAPITAL ASSURANCE, INC.

Principal Place of Business

**250 PARK AVENUE, 19TH FLOOR
NEW YORK NY 10177**

Mailing Address

**250 PARK AVENUE, 19TH FLOOR
NEW YORK NY 10177**

2. Principal Place of Business

3. Mailing Address

Suite, Apt. #, etc.

Suite, Apt. #, etc.

City & State

City & State

Zip

Country

Zip

Country

4. FEI Number **13-4079733**

Applied For

Not Applicable

5. Certificate of Status Desired ☐**\$8.75** Additional
Fee Required

6. Name and Address of Current Registered Agent

**C T CORPORATION SYSTEM
1200 SOUTH PINE ISLAND ROAD
PLANTATION FL 33324**

7. Name and Address of New Registered Agent

Name

Street Address (P.O. Box Number is Not Acceptable)

City

FL

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

9. This corporation is eligible to satisfy its Intangible
Tax filing requirement and elects to do so.
(See criteria on back) ☐**FILE NOW!!! FEE IS \$150.00
After MAY 1, 2001 Fee will be \$550.00
Make Check Payable to Department of State**10. Election Campaign Financing
Trust Fund Contribution. ☐**\$5.00** May Be
Added to Fees

11. OFFICERS AND DIRECTORS

TITLE **CD** ☐ Delete
NAME **ESPOSITO, MICHAEL P JR.**
STREET ADDRESS **712 FIFTH AVE. 22ND FLOOR**
CITY-ST-ZIP **NEW YORK NY 10019**TITLE **PCOO** ☐ Delete
NAME **STEVENS, DAVID C**
STREET ADDRESS **250 PARK AVENUE, 19TH FLOOR**
CITY-ST-ZIP **NEW YORK NY 10177**TITLE **TD** ☐ Delete
NAME **HUBBARD, EDWARD B**
STREET ADDRESS **250 PARK AVENUE, 19TH FLOOR**
CITY-ST-ZIP **NEW YORK NY 10177**TITLE **D** ☐ Delete
NAME **MATHIS, PATRICK L**
STREET ADDRESS **250 PARK AVENUE, 19TH FLOOR**
CITY-ST-ZIP **NEW YORK NY 10177**TITLE **SD** ☒ Delete
NAME **MORRIS, T. WYNNE JR.**
STREET ADDRESS **250 PARK AVENUE, 19TH FLOOR**
CITY-ST-ZIP **NEW YORK NY 10177**TITLE **D** ☒ Delete
NAME **CAMPBELL, TERRY D**
STREET ADDRESS **250 PARK AVENUE, 19TH FLOOR**
CITY-ST-ZIP **NEW YORK NY 10177**

12. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE **Director, Secretary** ☐ Change ☒ Addition
NAME **Frederick B. Hnat**
STREET ADDRESS **250 Park Avenue, 19th Floor**
CITY-ST-ZIP **New York, NY 10177**TITLE **Director** ☐ Change ☒ Addition
NAME **Robert M. Lichten**
STREET ADDRESS **712 Fifth Avenue, 22nd Floor**
CITY-ST-ZIP **New York, NY 10019**TITLE **Director** ☐ Change ☒ Addition
NAME **Alan Zachary Senter**
STREET ADDRESS **2 West 67 Street, Suite 10-B**
CITY-ST-ZIP **New York, NY 10023**TITLE **SEE ATTACHED** ☐ Change ☒ Addition
NAME
STREET ADDRESS
CITY-ST-ZIPTITLE ☐ Change ☐ Addition
NAME
STREET ADDRESS
CITY-ST-ZIPTITLE ☐ Change ☐ Addition
NAME
STREET ADDRESS
CITY-ST-ZIP

13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or Block 12 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE:

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

Angella Blackwood**1/5/01**
Date**(646) 658-5932**
Daytime Phone #**FILED**
Jan 22, 2001 8:00 am
Secretary of State

01-22-2001 90104 025 ***150.00



DO NOT WRITE IN THIS SPACE

CR2E034 (10/00)

attachment.
D# F0000000 5251
605876



XL Capital Assurance Inc.
250 Park Avenue, 19th Floor
New York, New York 10177
Phone: 646-658-5900
Fax: 646-658-5910

**TO: Florida Secretary of State
Division of Corporations**

XL Capital Assurance Inc.
FEIN Number: 13-4079733

DIRECTORS
as of December 31, 2000

<u>Additions</u>	<u>Name, Address</u>	<u>Title</u>
X	Kenneth B. Connell XL Capital Products Ltd. Richmond House 12 Par-la-ville Road Hamilton, Bermuda	Director
X	Paul S. Giordano XL Capital Ltd. Cumberland House One Victoria Street Hamilton, Bermuda	Director
X	Fiona E. Luck XL Capital Ltd. Cumberland House One Victoria Street Hamilton, Bermuda	Director
X	Robert R. Lusardi XL Capital Ltd. Cumberland House One Victoria Street Hamilton, Bermuda	Director
X	James P. McNichols XL Capital Products Ltd. Richmond House 12 Par-la-ville Road Hamilton, Bermuda	Director
X	Clive R. Tobin XL Insurance Ltd. Cumberland House One Victoria Street Hamilton, Bermuda	Director

attachment
D# F0000000 5251
605876

TO: Florida Secretary of State
Division of Corporations



XL Capital Assurance Inc.
250 Park Avenue, 19th Floor
New York, New York 10177
Phone: 646-658-5900
Fax: 646-658-5910

OFFICERS
as of December 31, 2000

FEIN NUMBER: 13-4079733

<u>Additions</u>	<u>Name, Address</u>	<u>Title</u>
X	Terry D. Campbell 250 Park Avenue, 19 th Floor New York, NY 10177	Managing Director
X	Steven P. Czark 250 Park Avenue, 19 th Floor New York, NY 10177	Managing Director
X	David Czerniecki 250 Park Avenue, 19 th Floor New York, NY 10177	Managing Director
X	Henri N. Gourd 250 Park Avenue, 19 th Floor New York, NY 10177	Managing Director
X	Richard P. Heberton 250 Park Avenue, 19 th Floor New York, NY 10177	Managing Director
X	Frederick B. Hnat 250 Park Avenue, 19 th Floor New York, NY 10177	Managing Director, General Counsel & Secretary
X	Francisco Javier de la Hoz 250 Park Avenue, 19 th Floor New York, NY 10177	Managing Director
X	Edward B. Hubbard 250 Park Avenue, 19 th Floor New York, NY 10177	Senior Managing Director
X	Catherine R. Lau 250 Park Avenue, 19 th Floor New York, NY 10177	Managing Director
X	Patrick L. Mathis 250 Park Avenue, 19 th Floor New York, NY 10177	Senior Managing Director
X	T. Wynne Morriss, Jr. 250 Park Avenue, 19 th Floor New York, NY 10177	Senior Managing Director
X	Richard G. Pfaltzgraff 250 Park Avenue, 19 th Floor New York, NY 10177	Managing Director
X	Alberto Ramos 250 Park Avenue, 19 th Floor New York, NY 10177	Managing Director

Attachment
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TO: **Florida Secretary of State
Division of Corporations**

Page 2 of 2

X	Pamela J. Snyder 250 Park Avenue, 19 th Floor New York, NY 10177	Managing Director
X	Betty J. Starkey 250 Park Avenue, 19 th Floor New York, NY 10177	Managing Director
X	David C. Stevens 250 Park Avenue, 19 th Floor New York, NY 10177	President & Chief Operating Officer
X	David D. Stortz 250 Park Avenue, 19 th Floor New York, NY 10177	Managing Director
X	Robert J. Tausz 250 Park Avenue, 19 th Floor New York, NY 10177	Managing Director
X	Trude Akersveen 250 Park Avenue, 19 th Floor New York, NY 10177	Vice President
X	Mario Binetti 250 Park Avenue, 19 th Floor New York, NY 10177	Vice President
X	Anthony Corrado 250 Park Avenue, 19 th Floor New York, NY 10177	Vice President
X	Peter A. Giacone 250 Park Avenue, 19 th Floor New York, NY 10177	Vice President
X	Hong Jiang 250 Park Avenue, 19 th Floor New York, NY 10177	Vice President
X	Nancy Kelly 250 Park Avenue, 19 th Floor New York, NY 10177	Vice President
X	Katherine C. Skelley 250 Park Avenue, 19 th Floor New York, NY 10177	Vice President
X	Gabriel G. Torres 250 Park Avenue, 19 th Floor New York, NY 10177	Vice President
X	Christopher D. Tucker 250 Park Avenue, 19 th Floor New York, NY 10177	Vice President
X	Angella A. Blackwood 250 Park Avenue, 19 th Floor New York, NY 10177	Assistant Secretary/Compliance Specialist