



THE UNITED STATES CORPORATION COMPANY

**F00000005105**

RECEIVED  
DIVISION OF OCCASIONAL INVESTMENTS  
00 SEP 12 PM 1:33

ACCOUNT NO. : 072100000032

REFERENCE : 824550 7123523

AUTHORIZATION : *Patricia Pigatto*

COST LIMIT : \$ 70.00

ORDER DATE : September 8, 2000

ORDER TIME : 10:34 AM

ORDER NO. : 824550-005

500003389895--33

CUSTOMER NO: 7123523

CUSTOMER: Sharon Gehrke  
First Penn-pacific Life  
10 North Martingale Road

Schaumburg, IL 60173-2268

FOREIGN FILINGS

5

NAME: FIRST PENN-PACIFIC SECURITIES, INC.

RECEIVED  
00 SEP 12 AM 11:30

XXXX QUALIFICATION (TYPE: CO)

PLEASE RETURN THE FOLLOWING AS PROOF OF FILING:

- CERTIFIED COPY
- PLAIN STAMPED COPY
- CERTIFICATE OF GOOD STANDING

CONTACT PERSON: Tamara Odom

*hjk*  
*9/12*

APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT BUSINESS IN FLORIDA

00 22 12 PM 1:33  
DIVISION OF STATE CORPORATIONS

IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.

1. First Penn-Pacific Securities, Inc.  
(Name of corporation; must include the word "INCORPORATED", "COMPANY", "CORPORATION" or words or abbreviations of like import in language as will clearly indicate that it is a corporation instead of a natural person or partnership if not so contained in the name at present.)

2. Illinois 3. 36-4301181  
(State or country under the law of which it is incorporated) (FEI number, if applicable)

4. June 18, 1999 5. Perpetual  
(Date of incorporation) (Duration: Year corp. will cease to exist or "perpetual")

6. Upon Qualification  
(Date first transacted business in Florida.) (SEE SECTIONS 607.1501, 607.1502 and 817.155, F.S.)

7. 10 North Martingale Road  
Schaumburg, IL 60173  
(Current mailing address)

8. Limited authority broker/dealer for wholesaling variable life and variable annuity products To engage in any act or activity for which corporations may be organized.  
(Purpose(s) of corporation authorized in home state or country to be carried out in state of Florida)

9. Name and street address of Florida registered agent: (P.O. Box or Mail Drop Box **NOT** acceptable)

Name: Corporation Service Company  
Office Address: 1201 Hays Street  
Tallahassee, Florida, 32301  
(Zip code)

10. Registered agent's acceptance:

*Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.*

Corporation Service Company  
By: Lisa Mulligan  
(Registered agent's signature)  
Lisa Mulligan, Assistant Vice President

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

12. Names and addresses of officers and/or directors: (Street address ONLY - P.O. Box NOT acceptable)

STATE OF MISSISSIPPI  
DIVISION OF CORPORATIONS  
00 SEP 12 PM 1:33

**A. DIRECTORS (Street address only - P.O. Box NOT acceptable)**

Chairman: See attached officers/directors rider

Address: \_\_\_\_\_  
\_\_\_\_\_

Vice Chairman: \_\_\_\_\_

Address: \_\_\_\_\_  
\_\_\_\_\_

Director: \_\_\_\_\_

Address: \_\_\_\_\_  
\_\_\_\_\_

Director: \_\_\_\_\_

Address: \_\_\_\_\_  
\_\_\_\_\_

**B. OFFICERS (Street address only - P.O. Box NOT acceptable)**

President: See attached officers/directors rider

Address: \_\_\_\_\_  
\_\_\_\_\_

Vice President: \_\_\_\_\_

Address: \_\_\_\_\_  
\_\_\_\_\_

Secretary: \_\_\_\_\_

Address: \_\_\_\_\_  
\_\_\_\_\_

Treasurer: \_\_\_\_\_

Address: \_\_\_\_\_  
\_\_\_\_\_

**NOTE:** If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13. Marcia DuMond  
(Signature of Chairman, Vice Chairman, or any officer listed in number 12 of the application)

14. Marcia DuMond, Secretary  
(Typed or printed name and capacity of person signing application)

## OFFICERS/DIRECTORS RIDER

FL-Application by Foreign Corporation for Authorization

First Penn-Pacific Securities, Inc.

STATE OF ILLINOIS  
DIVISION OF CORPORATIONS  
00 SEP 12 PM 1:33

### List of Officers

**Name:** Michael Jeanfreau **Title:** President  
**Bus. Addr.:** 10 North Martingale Road, Schaumburg, IL 60173

**Name:** Maureen Klouda **Title:** Vice President  
**Bus. Addr.:** 10 North Martingale Road, Schaumburg, IL 60173

**Name:** Steven W. Rogers **Title:** Treasurer  
**Bus. Addr.:** 10 North Martingale Road, Schaumburg, IL 60137

**Name:** Marcia L. DuMond **Title:** Secretary  
**Bus. Addr.:** 10 North Martingale Road, Schaumburg, IL 60173

### List of Directors

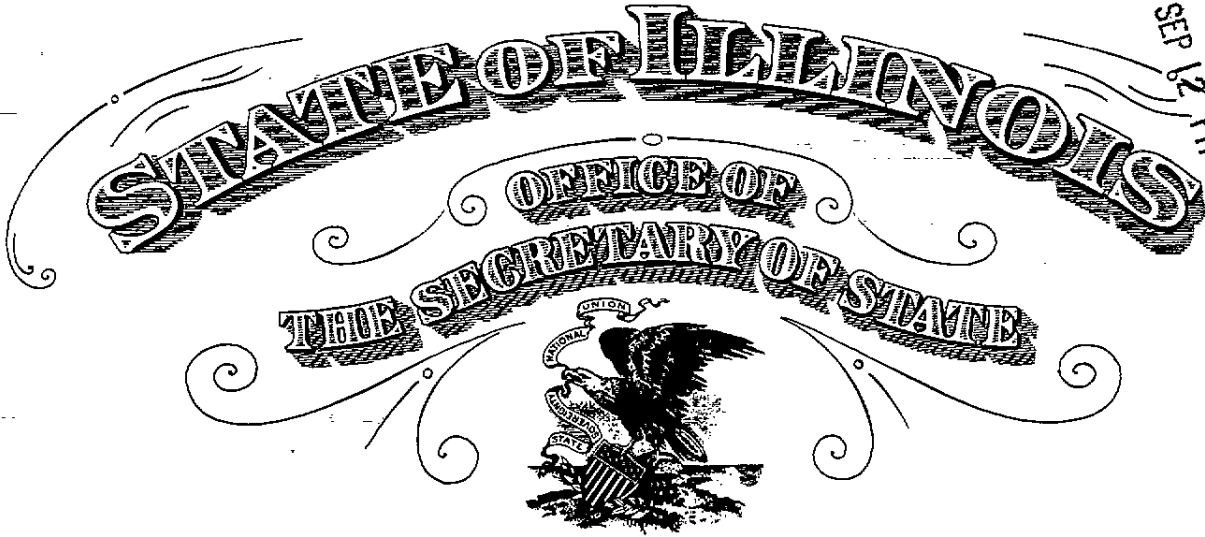
**Name:** Roland C. Baker **Term:** Jun 18, 2001  
**Bus. Addr.:** 10 North Martingale Road, Schaumburg, IL 60173

**Name:** Thomas W. Fitch **Term:** Jun 18, 2001  
**Bus. Addr.:** 10 North Martingale Road, Schaumburg, IL 60173

**Name:** Michael Jeanfreau **Term:** Jun 18, 2001  
**Bus. Addr.:** 10 North Martingale Road, Schaumburg, IL 60173

File Number 6054-487-5

00 SEP 12 PM 1:33  
DIVISION OF CORPORATIONS  
OFFICE OF THE SECRETARY OF STATE



**To all to whom these Presents Shall Come, Greeting:**

*I, Jesse White, Secretary of State of the State of Illinois, do hereby certify that*

FIRST PENN-PACIFIC SECURITIES, INC., A DOMESTIC CORPORATION, INCORPORATED UNDER THE LAWS OF THIS STATE JUNE 18, 1999, APPEARS TO HAVE COMPLIED WITH ALL THE PROVISIONS OF THE BUSINESS CORPORATION ACT OF THIS STATE RELATING TO THE FILING OF ANNUAL REPORTS AND PAYMENT OF FRANCHISE TAXES, AND AS OF THIS DATE, IS IN GOOD STANDING AS A DOMESTIC CORPORATION IN THE STATE OF ILLINOIS\*\*\*\*\*

**In Testimony Whereof, I, hereto set my hand and cause to be affixed the Great Seal of the State of Illinois, this** 11TH **day of** SEPTEMBER **A.D.** 2000



*Jesse White*

SECRETARY OF STATE