

70000004719

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(Address)

(Address)

(City/State/Zip/Phone #)

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SEP 14 2016

R. WHITE

FILED  
16 SEP -5 PM 2:38  
SECRETARY OF STATE  
TALLAHASSEE, FLORIDA



**Principal Life  
Insurance Company**

August 23, 2016

Amendment Section  
Division of Corporations  
PO Box 6327  
Tallahassee, FL 32314

RE: Princor Financial Services Corporation  
Change of Name

To whom it may concern:

Enclosed please find the completed Profit Corporation – Application by Foreign Profit Corporation to File Amendment to Application for Authorization to Transact Business in Florida - for Princor Financial Services Corporation.

Please let me know if you have any questions.

Regards,

A handwritten signature in black ink, appearing to read "Ashley VanderSchoor". The signature is fluid and cursive, with the first name "Ashley" being more prominent than the last name "VanderSchoor".

**Ashley VanderSchoor**  
Paralegal | Principal Financial Group  
711 High Street  
Des Moines, IA 50392-0300  
Direct: (515) 235-5629  
Fax: (866) 496-6527  
[vanderschoor.ashley@principal.com](mailto:vanderschoor.ashley@principal.com)

Enclosures

## COVER LETTER

**TO:** Amendment Section  
Division of Corporations

**SUBJECT:** Princor Financial Services Corporation

Name of Corporation

**DOCUMENT NUMBER:** F00000004719

The enclosed Amendment and fee are submitted for filing.

Please return all correspondence concerning this matter to the following:

Pat Barry

Name of Contact Person

Principal Financial Group

Firm/Company

711 High Street

Address

Des Moines IA 50392

City/State and Zip Code

hollister.shirley@principal.com

E-mail address: (to be used for future annual report notification)

For further information concerning this matter, please call:

Pat Barry

at ( 515 ) 2488275

Name of Contact Person

Area Code & Daytime Telephone Number

Enclosed is a check for the following amount:



\$35.00 Filing Fee



\$43.75 Filing Fee &  
Certificate of Status



\$43.75 Filing Fee &  
Certified Copy  
(Additional copy is  
enclosed)



\$52.50 Filing Fee,  
Certificate of Status &  
Certified Copy  
(Additional copy is  
enclosed)

**Mailing Address:**

Amendment Section  
Division of Corporations  
P.O. Box 6327  
Tallahassee, FL 32314

**Street Address:**

Amendment Section  
Division of Corporations  
Clifton Building  
2661 Executive Center Circle  
Tallahassee, FL 32301

**PROFIT CORPORATION**  
**APPLICATION BY FOREIGN PROFIT CORPORATION TO FILE AMENDMENT TO**  
**APPLICATION FOR AUTHORIZATION TO TRANSACT BUSINESS IN FLORIDA**  
(Pursuant to s. 607.1504, F.S.)

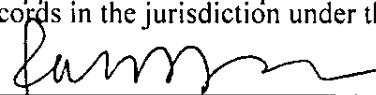
**SECTION I**  
**(1-3 MUST BE COMPLETED)**

\_\_\_\_\_  
(Document number of corporation (if known))

1. Princor Financial Services Corporation  
(Name of corporation as it appears on the records of the Department of State)
2. Iowa 3. August 21, 2000  
(Incorporated under laws of) (Date authorized to do business in Florida)

**SECTION II**  
**(4-7 COMPLETE ONLY THE APPLICABLE CHANGES)**

4. If the amendment changes the name of the corporation, when was the change effected under the laws of its jurisdiction of incorporation? March 30, 2016
5. Principal Securities, Inc.  
(Name of corporation after the amendment, adding suffix "corporation," "company," or "incorporated," or appropriate abbreviation, if not contained in new name of the corporation)
- (If new name is unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)
6. If the amendment changes the period of duration, indicate new period of duration.  
N/A  
(New duration)
7. If the amendment changes the jurisdiction of incorporation, indicate new jurisdiction.  
N/A  
(New jurisdiction)
8. Attached is a certificate or document of similar import, evidencing the amendment, authenticated not more than 90 days prior to delivery of the application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the laws of which it is incorporated.

  
\_\_\_\_\_  
(Signature of a director, president or other officer - if in the hands of a receiver or other court appointed fiduciary, by that fiduciary)

Patricia A. Barry

\_\_\_\_\_  
(Typed or printed name of person signing)

Assistant Secretary

\_\_\_\_\_  
(Title of person signing)

FILED  
18 SEP -6 PM 2:39  
TALLAHASSEE, FLORIDA

**IOWA SECRETARY OF STATE  
PAUL D. PATE**



**CERTIFICATE OF EXISTENCE**

Date: 8/2/2016

Name: PRINCIPAL SECURITIES, INC. (490 DP - 3281)

Date of Incorporation: 5/1/1968

Duration: PERPETUAL

I, Paul D. Pate, Secretary of State of the State of Iowa, custodian of the records of incorporations, certify the following for the corporation named on this certificate:

- a. The entity is in existence and duly incorporated under the laws of Iowa.
- b. All fees required under the Iowa Business Corporation Act due the Secretary of State have been paid.
- c. The most recent biennial report required has been filed with the Secretary of State.
- d. Articles of dissolution have not been filed.

Certificate ID: **CS124626**

To validate certificates visit:

[sos.iowa.gov/ValidateCertificate](http://sos.iowa.gov/ValidateCertificate)

A handwritten signature in black ink, reading "Paul D. Pate".

Paul D. Pate, Iowa Secretary of State

3281  
ARTICLES OF AMENDMENT  
OF

SECRETARY OF STATE  
IOWA

16 MAR 30 PM 12:46

PRINCOR FINANCIAL SERVICES CORPORATION

To the Secretary of State  
of the State of Iowa

Pursuant to the provisions of the Iowa Business Corporation Act, the corporation hereinafter named (the "corporation") does hereby submit the following Articles of Amendment.

1. The name of the corporation is PRINCOR FINANCIAL SERVICES CORPORATION.

2. Paragraph 1 of the Articles of Incorporation is hereby amended so as to read as follows:

RESOLVED, that the current Paragraph 1 of the Articles of Incorporation, as amended, be deleted and a new Paragraph 1 be substituted, so that said Paragraph 1 shall be and read as follows:

"The name of the corporation is Principal Securities, Inc."

3. The date of adoption of the aforesaid amendment by the board of directors was March 25, 2016, and the matter was recommended to the sole shareholder of the corporation on March 25, 2016.

4. The amendment was duly approved by the sole shareholder in the manner required by Chapter 490 and by the articles of incorporation effective March 25, 2016, and the total number of votes cast for in favor of the amendment was 100% of all outstanding shares of stock.

5. The said number of votes cast for the said amendment was sufficient for the approval thereof by the sole shareholder.

6. The effective time and date of these Articles of Amendment shall be March 30, 2016.

7. The amendment is being filed pursuant to section 490.120, subsection 12.

Executed on March 30, 2016.

  
Patricia A. Barry  
Assistant Corporate Secretary

FILED  
IOWA  
SECRETARY OF STATE  
3-30-16  
12:46 PM  
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779749 AMEN \$50.00 RAVE 2 3/20/16