

 **RAFFERTY CAPITAL
MARKETS, LLC**

An affiliate of Rafferty Holdings, LLC

1311 Mamaronock Avenue
White Plains, New York 10605
phone (914) 614.6330 fax (914) 614.6322

FILED
SECRETARY OF STATE
DIVISION OF CORPORATIONS
01 APR 23 PM 1:13

F000000004211

January 17, 2001

Lee Rivers
Document Specialist
Florida Department of State
Division of Corporations
P.O. Box 6327
Tallahassee, FL 32314

500003932475--6
-03/30/01--01113--004
*****25.00 *****25.00


RE: Rafferty Capital Markets - F00000004211
Vero Beach, FL Branch Office - Closing

500003932475--6
-04/24/01--01035--010
*****10.00 *****10.00


Please be advised that, effective January 16, 2001, Rafferty Capital Markets has closed its Branch Office at 2801 Ocean Drive, Suite 204, Vero Beach, FL 32963. No further business will be conducted by our Firm at that address.

Any questions relative to this matter may be directed to the attention of the undersigned at (914) 614-6330. Thank you for your assistance in this regard.

Sincerely,


Thomas A. Mulrooney
President

Wither

V. SHEPARD APR 27 2001


7P



FLORIDA DEPARTMENT OF STATE

Katherine Harris
Secretary of State

April 9, 2001

THOMAS A. MULROONEY
RAFFERTY CAPITAL MARKETS
1311 MAMARONECK AVE.
WHITE PLAINS, NY 10605

SUBJECT: RAFFERTY CAPITAL MARKETS, INC.
Ref. Number: F00000004211

We have received your document for RAFFERTY CAPITAL MARKETS, INC. and your check(s) totaling \$25.00. However, the enclosed document has not been filed and is being returned for the following correction(s):

A foreign corporation authorized to transact business or conduct its affairs in Florida may withdraw its authority by completing the enclosed withdrawal application and submitting the appropriate fee.

The fee to file your document is \$35.

There is a balance due of \$10.00.

Please return your document, along with a copy of this letter, within 60 days or your filing will be considered abandoned.

If you have any questions concerning the filing of your document, please call (850) 487-6909.

Velma Shepard
Corporate Specialist

Letter Number: 101A00021007

Rec'd 4/23



**RAFFERTY CAPITAL
MARKETS, LLC**

An Affiliate of Rafferty Holdings, LLC

1311 Mamaroneck Avenue
White Plains, New York 10605
phone (914) 614.6330 fax (914) 614.6322

April 19, 2001

Velma Shepard
Corporate Specialist
Florida Department of State
Division of Corporations
P.O. Box 6327
Tallahassee, FL 32314

Subject: Rafferty Capital Markets, Inc.
Ref. Number: F00000004211

In accordance with your instruction letter of April 9th, please find attached our Firm's Application by Foreign Corporation for Withdrawal of Authority to Transact Business Conduct Affairs in Florida. Also attached, is our check in the amount of \$10.00, representing the balance owed on the \$35.00 filing fee for said Withdrawal.

Kindly direct any questions relative to this matter to the attention of the undersigned. Thank you for your assistance.

Sincerely,

Thomas A. Mulrooney
President

**APPLICATION BY FOREIGN CORPORATION FOR WITHDRAWAL
OF AUTHORITY TO TRANSACT BUSINESS OR CONDUCT AFFAIRS
IN FLORIDA**

RAFFERTY CAPITAL MARKETS, INC.
(Name of Corporation)

NEW YORK
(Incorporated Under Laws Of)

FILED
SECRETARY OF STATE
DIVISION OF CORPORATIONS
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This corporation is no longer transacting business or conducting affairs within the State of Florida and hereby voluntarily surrenders its authority to transact business or conduct affairs in Florida.

This corporation revokes the authority of its registered agent in Florida to accept service on its behalf and appoints the Department of State as its agent for service of process based on a cause of action arising during the time it was authorized to transact business or conduct affairs in Florida.

The following is a current mailing address to which the Department of State may mail a copy of any process against this corporation that may be served on the Department.

1311 MAMARONECK AVENUE - SUITE 140
(Mailing Address)

WHITE PLAINS, NY 10605
(City/ State /Zip)

The corporation agrees to notify the Department of State in the future of any change in its mailing address.

Thomas A. Mulrooney PRESIDENT
Signature of the chairman or vice chairman of the board, Title
president, or any officer.

THOMAS A. MULROONEY 4/12/01
Typed or printed name Date