2001 UNIFORM BUSINESS REPORT (UBR)

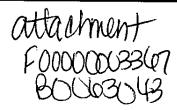
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Aug 31, 2001 8:00 am Secretary of State > **DOCUMENT #** F00000003367 1. Entity Name GATEWAY ASSET MANAGEMENT COMPANY, INC. Principal Place of Business Mailing Address 39 LOCUST AVENUE. STE 202 39 LOCUST AVENUE. STE 202 **NEW CANAN CT 06840 NEW CANAN CT 06840** 2. Principal Place of Business 3. Mailing Address Suite, Apt. #, etc. Suite, Apt. #, etc. DO NOT WRITE IN THIS SPACE City & State City & State Applied For 4. FEI Number 13-3380034 Not Applicable Zip Country Zip Country \$8.75 Additional 5. Certificate of Status Desired Fee Required 6. Name and Address of Current Registered Agent 7. Name and Address of New Registered Agent Name PAYNE, L. HOWARD Street Address (P.O. Box Number is Not Acceptable) 720 SOUTH ORANGE AVENUE SARASOTA FL 34236 Zip Code 8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. Signature, typed or printed name of registered agent and title if applicable. DATE (NOTE: Registered Agent signature required when reinstating) FILE NOW!!! FEE IS \$550.00 9. This corporation is eligible to satisfy its Intangible 10. Election Campaign Financing \$5.00 May Be Tax filing requirement and elects to do so. After September 12, 2001 Fee will be \$750.00 Trust Fund Contribution. Added to Fees (See criteria on back) Make Check Payable to Department of State 11. OFFICERS AND DIRECTORS 12. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11 ☐ Addition TITLE ☐ Delete TITLE BONNE, JACK N NAME NAME 39 LOCUST AVE., STE 202 STREET ADDRESS STREET ADDRESS CITY-ST-ZIP **NEW CANAAN CT** CITY-ST-ZIP TITLE ☐ Delete TITLE ☐ Change ☐ Addition BONNE, BETTE F NAME NAME 39 LOCUST AVE., STE 202 STREET ADDRESS STREET ADDRESS CITY-ST-ZIP **NEW CANAAN CT** CITY-ST-ZIP TITLE ☐ Delete TITLE ☐ Change ☐ Addition THROCKMORTON, TIMOTHY H NAME NAME STREET ADDRESS 36 GROVE STREET STREET ADDRESS CITY-ST-ZIP **NEW CAANAN CT** CITY-ST-ZIP TITLE ☐ Delete TITLE Change ☐ Addition NAME NAME STREET ADDRESS STREET ADDRESS CITY-ST-ZIF CITY-ST-ZIP ☐ Delete TITLE ☐ Change ☐ Addition NAME STREET ADDRESS STREET ADDRESS CITY-ST-ZIP CITY-ST-ZIP TITLE ☐ Delete TITLE ☐ Addition ☐ Change NAME STREET ADDRESS STREET ADDRESS CITY-ST-ZIP CITY-ST-ZIP I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or Block 12 if



GATEWAY Asset Management Company, Inc. Registered Investment Advisors



39 Locust Avenue, Suite 202 New Canaan, CT 06840 (203) 972-8290 Tel. (203) 972-8291 Fax e-mail: gatewayast@snet.net www.gatewayasset.com

August 23, 2001

Florida Department of State Division of Corporations P.O.Box 6327 Tallahasse, Fl 32314

To Whom It May Concern:

We never received the 1st annual business report in January, which should be sent to us at 39 Locust Avenue, Suite 202. Previously, our offices were located at 21 Locust Avenue. As per our telephone conversation today with Carol in your office, we are enclosing a check for \$150.00 for the filing fee. We are sorry for any inconvenience.

Sincerely,

Jack N. Bonne

President