

DOCUMENT # F00000002779

1. Entity Name

STATE STREET RESEARCH &amp; MANAGEMENT COMPANY

Aug 13, 2001 8:00 am  
Secretary of State

08-13-2001 90066 026 \*\*\*\*61.25

Principal Place of Business

ONE FINANCIAL CENTER, 30TH FLOOR  
BOSTON MA 02111

Mailing Address

ONE FINANCIAL CENTER, 30TH FLOOR  
BOSTON MA 02111

2. Principal Place of Business

Suite, Apt. #, etc.

3. Mailing Address

Suite, Apt. #, etc.

City &amp; State

City &amp; State

Zip

Country

Zip

Country

4. FEI Number

13-3142135

Applied For

Not Applicable

5. Certificate of Status Desired ☐\$8.75 Additional  
Fee Required

6. Name and Address of Current Registered Agent

C T CORPORATION SYSTEM  
1200 SOUTH PINE ISLAND ROAD  
PLANTATION FL 33324

7. Name and Address of New Registered Agent

Name

Street Address (P.O. Box Number is Not Acceptable)

City

FL

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the state of Florida.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

FILE NOW: FEE IS \$61.25  
After September 12, 2001, min. will be \$236.259. Election Campaign Financing  
Trust Fund Contribution. ☐\$5.00 May Be  
Added to FeesMake Check Payable to  
Department of State

10. OFFICERS AND DIRECTORS

TITLE	NAME	STREET ADDRESS	CITY-ST-ZIP	Delete
P	HODGMAN, JEFFREY J	ONE FINANCIAL CENTER, 30TH FLOOR	BOSTON MA 02111	<input checked="" type="checkbox"/>
V	ABBOTT, CHRISTOPHER C	ONE FINANCIAL CENTER, 30TH FLOOR	BOSTON MA 02111	<input type="checkbox"/>
VD	BENNETT, PETER C	ONE FINANCIAL CENTER, 30TH FLOOR	BOSTON MA 02111	<input checked="" type="checkbox"/>
VTD	MAUS, GERARD P	ONE FINANCIAL CENTER, 30TH FLOOR	BOSTON MA 02111	<input checked="" type="checkbox"/>
VS	MCNAMARA, FRANCIS J III	ONE FINANCIAL CENTER, 30TH FLOOR	BOSTON MA 02111	<input type="checkbox"/>
V	PANNELL, JAMES C	ONE FINANCIAL CENTER, 30TH FLOOR	BOSTON MA 02111	<input type="checkbox"/>

11. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 10

TITLE	NAME	STREET ADDRESS	CITY-ST-ZIP	Change	Addition
P/D/C	Davis, Richard S.	One Financial Center	Boston MA 02111	<input type="checkbox"/>	<input checked="" type="checkbox"/>
V/D	Weiss, James M.	One Financial Center	Boston MA 02111	<input type="checkbox"/>	<input checked="" type="checkbox"/>
V/T	Romich, Douglas A.	One Financial Center	Boston MA 02111	<input type="checkbox"/>	<input checked="" type="checkbox"/>
V	Lombardo, John S.	One Financial Center	Boston, MA 02111	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	See Attached.			<input type="checkbox"/>	<input type="checkbox"/>
				<input type="checkbox"/>	<input type="checkbox"/>

12. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 617, Florida Statutes; and that my name appears in Block 10 or Block 11 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE:

F. SIGNATURE/McNamara, III

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

8/2/01

Date

617-357-1397

Daytime Phone #

Senior Vice President

Knut Langholm  
Elizabeth G. McKown

CR2E037 (5/01)

Senior Vice President  
Senior Vice President  
Senior Vice President  
Senior Vice President  
Senior Vice President  
Senior Vice President  
Senior Vice President  
Senior Vice President  
Senior Vice President  
Senior Vice President  
and Treasurer  
Senior Vice President  
Senior Vice President  
Senior Vice President  
Senior Vice President  
Senior Vice President  
Senior Vice President  
Senior Vice President  
Senior Vice President  
Senior Vice President  
Senior Vice President  
Senior Vice President  
Senior Vice President,  
Assistant Secretary and  
Assistant General Counsel  
Senior Vice President  
Senior Vice President  
Senior Vice President  
Vice President  
Vice President  
Vice President  
Vice President  
Vice President  
Vice President  
Vice President  
Vice President  
Vice President, Assistant  
Secretary and Counsel.  
Vice President  
Vice President  
Vice President  
Vice President, Assistant  
Secretary and Counsel  
Vice President  
Vice President  
Vice President  
Vice President  
Vice President  
Vice President  
Vice President  
Vice President  
Vice President  
Vice President

Thomas P. Moore, Jr.  
JoAnne C. Mulligan  
Kim M. Peters  
Dean E. Poritzky  
E.K. Easton Ragsdale  
Jeffrey A. Rawlins  
Michael F. Refojo  
David C. Reilly  
Daniel J. Rice III  
  
Douglas A. Romich  
Michael J. Ryan  
Michael M. Schrage  
William G. Shean  
Richard D. Shoemaker  
Amy McDermott Swanson  
Benjamin W. Thorndike  
Robyn S. Tice  
Anne M. Trebino  
Jon P. Wagenseller  
Samuel A. Wardwell  
Elizabeth McCombs Westvold  
John T. Wilson  
Darman A. Wing

Robert W. Woodbury  
Kennard Woodworth, Jr.  
Peter Zuger  
Linda Bangs  
T. Kevin Beatty  
Gary M. Bigley  
Peter Borghi  
Marilyn O. Boss  
Karen L. Brezinski  
Susan H. Brown  
Thomas Bruno  
Andrea L. Buffum  
Mara D. Calame

Michael J. Callahan  
Linda C. Carley  
Linda C. Carstens  
Terrence J. Cullen

Robert D. Davis  
Donald DeVeue  
Vincent P. DiVasta  
Karen L. Even  
Steven A. Fazo  
Michael Fechter  
Kimberley Feeney  
Rosalina Feliciano  
Bonnie A. Ficco  
Phyllis A. Fleno

# 2001 UNIFORM BUSINESS REPORT (UBR)

DOCUMENT # F00000002779

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STATE STREET RESEARCH & MANAGEMENT COMPANY

Principal Place of Business

Mailing Address

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BOSTON MA 02111

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Suite, Apt. #, etc.

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City & State

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Not Applicable

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**After September 12, 2001, min. will be \$236.25**

9. Election Campaign Financing  
Trust Fund Contribution ☐

**\$5.00 May Be  
Added to Fees**

**Make Check Payable to  
Department of State**

10. OFFICERS AND DIRECTORS

TITLE	P	<input checked="" type="checkbox"/> Delete
NAME	HODGMAN, JEFFREY J	
STREET ADDRESS	ONE FINANCIAL CENTER, 30TH FLOOR	
CITY-ST-ZIP	BOSTON MA 02111	
TITLE	V	<input type="checkbox"/> Delete
NAME	ABBOTT, CHRISTOPHER C	
STREET ADDRESS	ONE FINANCIAL CENTER, 30TH FLOOR	
CITY-ST-ZIP	BOSTON MA 02111	
TITLE	VD	<input checked="" type="checkbox"/> Delete
NAME	BENNETT, PETER C	
STREET ADDRESS	ONE FINANCIAL CENTER, 30TH FLOOR	
CITY-ST-ZIP	BOSTON MA 02111	
TITLE	VTD	<input checked="" type="checkbox"/> Delete
NAME	MAUS, GERARD P	
STREET ADDRESS	ONE FINANCIAL CENTER, 30TH FLOOR	
CITY-ST-ZIP	BOSTON MA 02111	
TITLE	VS	<input type="checkbox"/> Delete
NAME	MCHAMARA, FRANCIS J III	
STREET ADDRESS	ONE FINANCIAL CENTER, 30TH FLOOR	
CITY-ST-ZIP	BOSTON MA 02111	
TITLE	V	<input type="checkbox"/> Delete
NAME	PANNELL, JAMES C	
STREET ADDRESS	ONE FINANCIAL CENTER, 30TH FLOOR	
CITY-ST-ZIP	BOSTON MA 02111	

11. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 10

TITLE	P/D/7C	<input type="checkbox"/> Change <input checked="" type="checkbox"/> Addition
NAME	Davis, Richard S.	
STREET ADDRESS	One Financial Center	
CITY-ST-ZIP	Boston MA 02111	
TITLE	V/D	<input type="checkbox"/> Change <input checked="" type="checkbox"/> Addition
NAME	Weiss, James M.	
STREET ADDRESS	One Financial Center	
CITY-ST-ZIP	Boston MA 02111	
TITLE	V/T	<input type="checkbox"/> Change <input checked="" type="checkbox"/> Addition
NAME	Romich, Douglas A.	
STREET ADDRESS	One Financial Center	
CITY-ST-ZIP	Boston MA 02111	
TITLE	V	<input type="checkbox"/> Change <input checked="" type="checkbox"/> Addition
NAME	Lombardo, John S.	
STREET ADDRESS	One Financial Center	
CITY-ST-ZIP	Boston, MA 02111	
TITLE	See Attached.	<input type="checkbox"/> Change <input type="checkbox"/> Addition
NAME		
STREET ADDRESS		
CITY-ST-ZIP		
TITLE		<input type="checkbox"/> Change <input type="checkbox"/> Addition
NAME		
STREET ADDRESS		
CITY-ST-ZIP		

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SIGNATURE:

*Francis J. McNamara, III*  
SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

8/2/01

Date

617-357-1397

Daytime Phone #

CR2F037 (5/01)



**STATE STREET RESEARCH  
& MANAGEMENT COMPANY**

Attachment

Doc # F 000000002779  
B0061895

August 3, 2001

Division of Corporations  
Uniform Business Report Filings  
P.O. Box 1500  
Tallahassee, FL 32302-1500

Re: State Street Research & Management Company #F00000002779

Ladies and Gentlemen:

Enclosed please find the Uniform Business Report Filing for the above referenced entity and a check in the amount of \$61.25 to cover the filing fee.

Would you please acknowledge receipt of the enclosed by stamping the enclosed copy of this UBR and returning it to me in the enclosed self addressed envelope.

Please feel free to contact me if you have any questions or need any further information. My direct dial number is (617) 357-1369.

Sincerely,

Sherry L. Rausedo

Enclosure

cc: Frank McNamara